Characteristics of Japanese structures that give rise to English cleft constructions

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This study examines how Japanese structures translated as English wh-clefts or English it-clefts correspond to these two types of English cleft constructions at the pragmatic level. By examining empirical data, this study demonstrates that both Japanese *wa*-clefts and Japanese non-cleft structures render the discourse functions of wh-clefts and it-clefts and that these two Japanese structures tend to complement each other with respect to the types of discourse functions they render or the information status of the constituents in the salient position. The results also shed light on the similarities and differences among the three cleft constructions – *wa*-clefts, wh-clefts and it-clefts – with respect to the types of discourse functions and the range of constituents permitted in the salient position.

Keywords: *Wa-*clefts, Non-cleft structures, English cleft constructions, Discourse function, Information status

Introduction

This study explores which Japanese structures are translated from Japanese to English as English wh-clefts (e.g. *What you have to do is check the train timetable immediately*) or as English it-clefts (e.g. *It was John who broke the window*), and how these Japanese structures render the discourse functions of the English clefts. As the background to this study, we give an overview of Yamada (2016).

Yamada (2016) examined the effect of the discourse functions of wh-clefts and it-clefts on the choice of Japanese constructions in translations. When these two types of English cleft constructions are translated into Japanese, ~ no wa ... da constructions (henceforth, wa-clefts) tend to be chosen as the Japanese counterpart. However, wh-clefts and it-clefts differ from each other pragmatically as well as syntactically. Further, Japanese wa-clefts do not contain all the discourse functions of wh-clefts and it-clefts and are not always interchangeable with wh-clefts and it-clefts. Based on previous studies covering the discourse functions of cleft constructions (e.g. Weinert and Miller 1996; Sunakawa 2005), Yamada (2016) focused on the following discourse functions of wh-clefts, it-clefts and wa-clefts. First, although in some cases wh-clefts function to overtly express contrast as in (1), wh-clefts have a forward-pointing function; that is, a function that marks an important starting point for what follows.

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Example (2) is an instance of a wh-cleft with a forward-pointing function.

(1) What you're looking at now is a record of the construction work, not the excavation.

(example (7a) in Yamada 2016: 238, originally from

Peter Lovesey, The Vault: 25)

(2) 'Watch you?' she said. This could be worse than she'd imagined.

'Aye. Well no. What I mean is, I'd like you to keep your eyes skinned and see if there's any other sod watching us. [...]'

(example (15a) in Yamada 2016: 241, originally from Reginald Hill, *Midnight Fugue*: 80)¹

In (2), the specificational aspect of the clefts is a background feature. The forward-pointing discourse function is not found in it-clefts and *wa*-clefts.

Second, unlike wh-clefts, it-clefts are preferred when overtly expressing contrast, as in (3).

(3) 'My name's Roddy Liddle,' the young man was telling them. 'I work for Megan.'

'And who exactly *is* Megan?' Rebus asked. Liddle stared at him as if he were maybe making a joke. 'All our boss told us,' Rebus explained, 'was to come down here and talk to someone with that name. Apparently she phoned him.'

'<u>It was me who did the phoning</u>,' Liddle said, making it sound like yet another arduous task that he'd taken in his stride.

(example (17a) in Yamada 2016: 242, originally from Ian Rankin, *Exit Music*: 68)²

In this example, the clefted constituent³ (i.e. *me*) is contrasted with *she* (= *Megan*). However, there are it-clefts that do not have a specificational function; some it-clefts have cleft clauses carrying new information and function to assign a property to an entity rather than to specify that entity. Consider (4) below.

(4) It was then that her phone sounded.

(example (26a) in Yamada 2016: 246, originally from Jeffery Deaver, *Roadside Crosses*: 178)

In this example, the clefted constituent (i.e. then) is anaphoric and links with the preceding discourse,

whereas the information carried by the cleft clause is new and it assigns a property to the clefted constituent.

Finally, wa-clefts denote a specificational function consistent with the discourse function of the it-cleft in (3). The subject of the wa-cleft is a proposition in which one element is unspecified, and the unspecified element is specified as a referent in the predicate (see Sunakawa 2005).

Based on empirical research, Yamada (2016) demonstrated that the discourse functions of wh-clefts strongly influence the choice of *wa*-clefts. When wh-clefts function to overtly express contrast, 80.0% (32 out of 40 instances) of wh-clefts are translated in Japanese as *wa*-clefts. In contrast, when wh-clefts mainly function as forward-pointing, 89.5% (34 out of 38 instances) of the wh-clefts are translated as Japanese non-cleft structures.

For it-clefts, the discourse functions of the clefts have less influence on the choice of *wa*-clefts: 31.6% (18 out of 57 instances) of the it-clefts expressing overt contrasts were translations of some non-cleft structures. This is partly because of the tendency of the clefted constituents of it-clefts to be subjects in the non-cleft counterparts. In Japanese, subjects are indicated by the particle *ga*, which has several types, one of which has an exclusive feature. The feature enables the selection of Japanese uncleft structures to specify an entity functioning as the subject in a non-cleft counterpart. In short, for it-clefts, the types of clefted constituents also influence the choice of Japanese counterparts.

Yamada (2016) left several questions to be answered by further studies. For example, when it-clefts covertly express contrast and cleft clauses carry new information, the function of the cleft is to assign a property to an entity rather than to specify that entity (see (4)). This feature of it-clefts is predicted to be inconsistent with the specificational function of wa-clefts; however, in 10 out of 40 instances (25.0%), it-clefts which covertly express contrast and have cleft clauses carrying new information were translated as wa-clefts. What explains this result? This question was left for further research.

To determine whether or not Yamada's findings were just a reflection of the data being investigated, further research using different data is necessary. To deal with the questions left unanswered in Yamada (2016), a closer examination of the characteristics of the Japanese structures that give rise to English cleft constructions is needed using Japanese texts. Although Yamada focused on several discourse functions of wh-clefts, it-clefts and wa-clefts, there are other discourse functions that should be considered when examining cleft constructions in Japanese and English.

The purpose of this study, therefore, is to explore which Japanese structures are translated from Japanese to English as wh-clefts or it-clefts, and how these Japanese structures render the discourse functions of the English clefts. The results of this investigation enrich the understanding of how Japanese structures correspond to English cleft constructions at the pragmatic level. This information is of particular importance not only in contrastive cleft construction studies across languages (e.g. Ahlemeyer

and Kohlhof 1999; M. Johansson 2001; S. Johansson 2001; Gómez-González and Gonzálvez-García 2005; Gundel 2008; Katz Bourns 2014) but also in understanding L2 learners' discourse management (e.g. Boström Aronsson 2003; Callies 2009; Hasselgård 2014). Previous research has found that L2 pragmatic acquisition is difficult even for advanced learners for various reasons. For example, there are contexts wherein the use of the cleft construction in one language is appropriate but the use of its corresponding cleft construction in another language is pragmatically odd (e.g. Katz Bourns 2014). This means that learners have to learn contexts in which L2 cleft constructions are used appropriately. Another possible reason is that unlike the grammatical inappropriateness, learners can convey information even if they use constructions that are pragmatically inappropriate, which makes it difficult for learners to notice the pragmatic inappropriateness.

The rest of this paper is structured as follows. In the next section, the data for this study is explained; in Sections 2 and 3, the characteristics of the Japanese structures that give rise to it-clefts and wh-clefts are examined. In Section 4, the implications of the findings are discussed and in Section 5, conclusions of this study are presented.

1. Data

Eight Japanese novels and their respective English translations served as sources of data; these are listed in Table 1.

Table 1. Data sources

Japanese original novels	English translations	
Keigo Higashino's Seijo no kyuusai	Salvation of a Saint	
	(translated by Alexander O. Smith with Elye J.	
	Alexander)	
Hiromi Kawakami's Sensei no kaban	The Briefcase	
	(translated by Allison Markin Powell)	
Haruki Murakami's Shikisai o motanai Tazaki	Colorless Tsukuru Tazaki and His Years of	
Tsukuru to, kare no junrei no toshi	Pilgrimage (translated by Philip Gabriel)	
Yoko Ogawa's Hakase no aishita suushiki	The Housekeeper and the Professor	
	(translated by Stephen Snyder)	
Arimasa Osawa's Shinjukuzame	Shinjuku Shark (translated by Andrew Clare)	
Hideo Yokoyama's Rokuyon	Six Four (translated by Jonathan Lloyd-Davies)	
Shuichi Yoshida's Akunin	Villain (translated by Philip Gabriel)	
Banana Yoshimoto's Amurita	Amrita (translated by Russell F. Wasden)	

The original Japanese novels depict the present-day Japanese society.

First, the wh-clefts and it-clefts were manually collected from all pages of the English versions if there were less than 300 pages in the novel. However, if there were more than 300 pages, the wh-clefts and it-clefts were taken from the first 300 pages of the novel.⁴ Similar to Yamada (2016), only it-clefts that had cleft clauses and occurred in declarative clauses were collected.⁵ In total, 68 instances of wh-clefts and 156 instances of it-clefts were extracted.

Then, the Japanese structures that had been translated into these wh-clefts and it-clefts were extracted, as shown in Tables 2 and 3.6

Table 2. Japanese structures translated into English wh-clefts

English	wh-cleft overtly	wh-cleft covertly expressing	g contrast
translation Original Japanese structures	expressing contrast	wh-cleft with a forward-pointing function	others
wa-cleft	22 (78.6%)	3 (11.1%)	11 (84.6%)
non-cleft structure	6 (21.4%)	24 (88.9%)	2 (15.4%)
TOTAL	28 (100%)	27 (100%)	13 (100%)

Table 3. Japanese structures translated into English it-clefts

English translation	it-cleft overtly	it-cleft covertly expressing contrast	
	expressing	cleft clause carries	cleft clause carries
Original	contrast	new information	given/accessible
Japanese structures			information
wa-cleft	15 (38.5%)	35 (43.75%)	18 (48.6%)
non-cleft structure	24 (61.5%)	43 (53.75%)	19 (51.4%)
ga-cleft/ no equivalent	0 (0.0%)	2 (2.5%)	0 (0.0%)
expression in original novel			
TOTAL	39 (100%)	80 (100%)	37 (100%)

The data showed a similar tendency to Yamada's (2016) in terms of the proportional distribution of wa-clefts and non-cleft structures, with wh-clefts overtly expressing contrast and wh-clefts showing a forward-pointing function. (Recall that in Yamada 2016, 80.0% of the wh-clefts that overtly express contrast were translated as Japanese wa-clefts, whereas 89.5% of the wh-clefts with a forward-pointing function were translated as Japanese non-cleft structures.) The following question arises from Table 2: what characteristics do the Japanese structures categorised as 'others' have?

When Japanese structures were translated as it-clefts overtly expressing contrast, as many as 61.5% of the Japanese structures were non-cleft structures (see Table 3). As it-clefts that overtly express contrast have a specificational function, it is natural that *wa*-clefts, which denote the specificational function, are translated into English as it-clefts but how do the Japanese non-cleft structures render the specificational function of it-clefts? Moreover, when Japanese structures are translated as it-clefts which covertly express contrast and have cleft clauses carrying new information, roughly 44% of the Japanese structures are

wa-clefts. When it-clefts covertly express contrast and cleft clauses carry new information, the clefts function to assign a property to an entity rather than to specify that entity. This function is not consistent with the specificational function of wa-clefts. What, then, is the discourse function of wa-clefts translated as it-clefts which covertly express contrast and have cleft clauses that carry new information? We propose some answers in the following sections.

Before examining the characteristics of Japanese structures that give rise to English cleft constructions, a clarification of the assessment process of the clefted constituent and cleft clause is given. The information status of the clefted constituent and cleft clause was assessed by applying Chafe's (1994) notion of activation. In Chafe's (1994) consciousness-based approach to information flow, information is divided into three types: new information, which is information newly activated at a given point in a discourse; given information, which is information already activated; and accessible information, which is information that was previously semi-active. When the notion of activation is applied, the information status of the clefted constituent and the cleft clause in example (3) for instance is assessed as follows: both the clefted constituent and the cleft clause carry given information as the referent *me* expressed by the clefted constituent is already activated and the proposition that someone had called Rebus's boss, that is, the proposition expressed by the cleft clause in (3), was activated in the preceding discourse.

2. Characteristics of Japanese structures that give rise to it-clefts

2.1. Japanese structures that give rise to it-clefts overtly expressing contrast

In the data, there were 39 instances at which the Japanese structures were translated as it-clefts that overtly expressed contrast, 15 of which were *wa*-clefts, as exemplified in (5) and (6).⁷

(Keigo Higashino, Seijo no kyuusai: 296) (Underline added)

b. Me leaving first, even though it's you who's quitting.

(Salvation of a Saint: 262) (Underline added)

(6) a. Kotae-ta no wa Kuramae dat-ta.

answer-PAST NLZ TOP Kuramae COP-PAST

(Hideo Yokoyama, Rokuyon, (Jou): 160-161)

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b. 'Who would be willing to consider leaving the document here?'

Mikami's question prompted Suwa to look up from his notes. [...]

'What about the other three?'

'Right, yes.' This time it was Kuramae who responded.

(Six Four: 134) (Underline added)

In (5a), the subject of the *wa*-cleft is the proposition that X is quitting. The unspecified element X is specified as the referent in the predicate (i.e. *Hiromi*), who is overtly contrasted with the speaker of (5a). Similarly, in (6a), the subject of the *wa*-cleft is the proposition that X responded to the question about the situation of the other three press agencies. The unspecified element X is specified as the referent in the predicate (i.e. *Kuramae*), who is overtly contrasted with Suwa.

Referents in the predicates of wa-clefts function as the subject in the non-cleft counterparts of the wa-clefts, as illustrated in (5') and (6').

(5') Hiromi-chan-no-hou-ga kyoushitsu-o yameru. Hiromi-dear-GEN-side-NOM school-ACC quit

(6') Kuramae-ga kotae-ta.

Kurama-NOM answer-PAST

There were 13 such instances out of 15. The strong tendency of the referents in the predicates of the wa-clefts to function as the subject in the non-cleft counterparts of wa-clefts is consistent with the tendency of the clefted constituents in it-clefts to function as the subject in the non-cleft counterparts of it-clefts.⁸

There were 24 instances at which the non-cleft structures were translated as it-clefts, 15 of which were instances where the particle ga, which denotes exclusiveness, explicitly contrasted the referent expressed by the subject NP with a limited set of other referents, as exemplified in (7) and (8).

(7) a. E? Sensei kubi-o kashigeru. to wa ii, what? head-ACC OT sensei TOP sav tilt dokoka Tsukiko-san koso. anotoki-no danshi to ni Tsukiko-Ms PT PT somewhere that time-GEN PT man kikikaeshi-ta. it-ta ka. Sensei-ga n desu go-PAST NLZ COP 0 sensei-NOM retort-PAST E? to kondo wa watashi-ga kubi-o kashigeru. what? OT this time TOP I-NOM head-ACC tilt

(Hiromi Kawakami, Sensei no kaban: 158) (Underline added)

b. What? Sensei said, tilting his head. Tsukiko, weren't you the one who went off with some young man? he retorted.

What? This time it was I who tilted my head.

(The Briefcase: 101) (Underline added)

(8) a. Sono Kishitani-ga kizui-ta. that Kishitani-NOM notice-PAST

(Keigo Higashino, Seijo no kyuusai: 194)

b. Kusanagi peeked into the next room. Utsumi was standing in front of the sink, a man kneeling next to her. He had his face stuck into the cabinet beneath the basin, so Kusanagi couldn't see who he was. Kishitani was standing next to them.

It was Kishitani who noticed the new arrival first.

(Salvation of a Saint: 166-167) (Underline added)

In (7a), the particle *ga* explicitly contrasts the referent expressed by the subject NP (i.e. *watashi*) with a limited set of other referents in the discourse (i.e. *Sensei*). In (8a), *ga* explicitly contrasts the referent expressed by the subject NP (i.e. *Kishitani*) with a limited set of other referents in the discourse. Again, examples such as (7) and (8) are consistent with the tendency of the clefted constituents in it-clefts to be the subjects of the non-cleft counterparts.

Examples (7a) and (8a) show that some factors at the pragmatic level play an important role in the choice of non-cleft structures with the particle *ga* over *wa*-clefts. In (8a), as the expression *sono* ('that') indicates, the referent expressed by the subject NP (i.e. *Kishitani*) is given information, as it was already mentioned in the previous sentence. Therefore, choosing a non-cleft structure with the particle *ga* rather than a *wa*-cleft controls the information flow in discourse. In (7a), the narrator of the story (i.e. *watashi*) is explicitly contrasted with Sensei. By repeating the same structure (see the first and last sentences in (7a)), the contrast between these two people is enhanced.

Examples such as (7) and (8) are instances at which a simple clause in one language is translated into a more complex structure. Why is it that a more complex structure is chosen in translation from Japanese to English? One plausible explanation for this may come from the tendency of the English subject to be 'least likely to carry intonational prominence in ordinary declaratives' (Gómez-González and Gonzálvez-García 2005: 177).

The remaining it-clefts were translations of Japanese non-cleft structures in which the contrast was explicitly given in the context (e.g. (9a)) or by using particles such as *dake* ('only') (e.g. (10a)).

(9) a. Kare-wa Sari de naku, onnanoko wa betsu-no to he-TOP COP TOP РΤ Sari another-GEN not girl sannenkan tsukiat-teori, for three years go out-PROG

(Shuichi Yoshida, Akunin, (Jou): 41)

b. But the truth was that it was another girl who'd gone out with the boy, not Sari,

(*Villain*: 23) (Underline added)

- (10) a. Sensei-no dake saishonokorokara mimi ni nokot-ta. koe wa, Sensei-GEN voice TOP from the beginning РΤ remain-PAST only ear (Hiromi Kawakami, Sensei no kaban: 196)
 - b. Even once we began chatting now and then, I still barely ever looked at his face. He was just an abstract presence, quietly drinking his saké in the seat next to mine at the counter.

It was only his voice that I remembered from the beginning.

(The Briefcase: 126) (Underline added)

In short, Japanese structures that have specificational functions give rise to it-clefts that overtly express contrast, and specified elements tend to be the subjects in Japanese non-cleft structures (e.g. (7a) and (8a)) or function as subjects in the non-cleft counterparts of the *wa*-clefts (e.g. (5') and (6')).

2.2. Japanese structures that give rise to it-clefts which covertly express contrast and have cleft clauses carrying new information

There were 80 instances at which the Japanese structures were translated as it-clefts that covertly expressed contrast and had cleft clauses that carried new information, 35 of which were instances at which the *wa*-clefts were translated in English as it-clefts, as exemplified in (11) and (12).

(11) a. Mootaabooto-ga 'Tomikawamaru' kaet-teki-ta ni motorboat-NOM Tomikawamaru return-SUB-PAST PT sorekara wa. sanjuppungo dat-ta. NLZ TOP after thirty minutes COP-PAST then

(Arimasa Osawa, Shinjukuzame: 216)

b. It was thirty minutes later that the motorboat returned to Tomikawa.

(Shinjuku Shark: 155)

(12) a. Hannin-ga sandome-no denwaokake-teki-ta no kidnapper-NOM third time-GEN call-SUB-PAST NLZyojisugi wa yoku muika-no dat-ta. gogo TOP next 6th day-GEN after four o'clock COP-PAST p.m.

(Hideo Yokoyama, Rokuyon, (Jou): 85)

b. It was after 4 p.m. on the following day that the kidnapper's third call had finally come in.

(Six Four: 68-69)

In (11a), the proposition expressed by the subject of the *wa*-cleft carries new information as the proposition that the motorboat returned to Tomikawa had not been previously mentioned. Similarly, in (12a), the proposition expressed by the subject of the *wa*-cleft carries new information as the proposition that the victim's family had received the kidnapper's third call had not been previously mentioned.

When wa-clefts are translated as it-clefts, the referents in the predicates of the wa-clefts tend to be time expressions, as in (11a) and (12a). Sunakawa (2005: 121) suggested that $\sim wa$... da constructions, wherein the focus is on the referent in the predicate, functionally provide background information about the story that the speaker introduces in the discourse and give the temporal setting for the story. Sunakawa (2005: 120) claimed that when this type of construction has this function, the propositions expressed in the topic position carry unpredictable new information and the referents in the predicates tend to be time expressions. The wa-clefts in (11a) and (12a) provide background information about the story that the speaker introduces in the discourse and give a temporal setting for the story, which is further supported by the position in which the wa-clefts occur: (11a) is placed in the paragraph-initial position and (12a) is the second sentence of a new paragraph and is thus placed in the near paragraph-initial position. Therefore, it could be surmised that wa-clefts such as those in (11a) and (12a) have the it-clefts' function of a narrative opening, a function which was proposed by Miller (2008²: 146).

Although Sunakawa (2005) did not mention the information status of the time expressions, our data suggest that the time expressions used to indicate the temporal setting for the story that the speaker introduces in the discourse tended to carry new information; there were 29 instances at which the

referents in the predicates of wa-clefts were time expressions, 11 23 of which (79.3%) carried new information.

There were 43 instances at which non-cleft structures were translated as it-clefts, 7 of which were instances at which the particle ga was used to mark the referents expressed by the subject NPs. At the remaining 36 instances, 20 (55.6%) had time expressions which were clefted constituents in the corresponding it-clefts. At some instances, the time expressions carried new information; however, in other cases, the time expressions carried given information (15 out of 20 instances), as exemplified in (13a) and (14a).

- (13) a. Sonnatoki ni, Sensei ni battarito yukiat-ta.
 that time PT sensei PT unexpectedly run into-PAST
 - (Hiromi Kawakami, Sensei no kaban: 102)
 - b. It was then that I unexpectedly ran into Sensei.

(The Briefcase: 65)

Sonotoki ni (14) a. Otoko wa Samejima-no me-o nozokikon-da. look into-PAST TOP Samejima-GEN eye-ACC then PT man youyaku nanika-o kanjitot-ta. nat-te, become-TE finally something-ACC sense-PAST

(Arimasa Osawa, *Shinjukuzame*: 10) (Underline added)

b. "What?" The man looked Samejima in the eye. <u>It was only then that he seemed to sense</u> something more in this long-haired man than the twentysomething youngster he'd taken him for.

(Shinjuku Shark: 12) (Underline added)

In (13a), the time expression in the initial position is anaphoric and creates a cohesive tie with the preceding discourse; however, the proposition that the narrator of the story unexpectedly ran into Sensei is new information. Therefore, it is suggested that (13a) renders the it-clefts' function of assigning a property to an entity, a reasoning that can also be applied to (14). In (14a), the time expression *sonotoki* ('then') denotes the time when the man looked Samejima in the eye and therefore carries given information, while the proposition that the man sensed something carries new information.

We now examine the seven instances at which the particle ga is used to mark the referents expressed by the subject NPs. Six of these instances were where the referents carried given information, as exemplified in (15a) and (16a).

(15) a. Ningen tte kantan da omot-ta. naa, to COP human PT simple FP OT think-PAST idai Kantansa-ga da to mo. simplicity-NOM great COP QT also

(Banana Yoshimoto, *Amurita*, (*Ge*): 92) (Underline added)

b. Aren't people simple creatures?

... or so I thought.

But at the same time I figured it was that simplicity which made them great.

(Amrita: 232) (Underline added)

(16) a. Sono kuuseki-o, 0-ga kigou toshite hyoujishi-tekure-teir-u tell-SUB-PROG-NONP that vacancy-ACC 0-NOM signal as da. n NLZ COP

(Yoko Ogawa, Hakase no aishita suushiki: 219)

b. Using the arm of the chair to write on, he scribbled down the numbers of 38 and 308. Then he drew two thick lines under the zero. "Thirty-eight is made of three 10s and eight 1s; 308 is three 100s, no 10s, and eight 1s. The tens place is empty, and it's the 0 that tells us that. Do you see?"

(The Housekeeper and the Professor: 141) (Underline added)

In (15a) and (16a), the referents marked by the particle ga carry the information already introduced in the discourse and, therefore, create cohesive ties with the preceding discourse. The information carried by the predicates, however, is new and assigns properties to the referents marked by ga; therefore, (15a) and (16a) render the it-clefts' function of assigning a property to an entity.

It should be noted that all referents marked by the particle ga were inanimate subjects in the data, wherein three were instances at which the inanimate subjects were followed by transitive verbs, as in (16a). This is interesting, considering the tendency for Japanese language to avoid using inanimate subjects with transitive verbs. Why, then, is (16a) acceptable? According to previous studies related to the use of inanimate subjects with transitive verbs, there are certain conditions under which such a usage is acceptable. One condition is that the usage is permissible when the transitive verb includes the subsidiary verb '~ tekureru' (e.g. Nishimura 1998: 196), a condition which is fulfilled in (16a).

The above discussion is summarised in Table 4.

Table 4. Characteristics of Japanese structures that give rise to it-clefts which covertly express contrast and have cleft clauses carrying new information

Corresponding	discourse function of	clefted constituent in	information status of
it-clefts	it-cleft	it-cleft	clefted constituent
Japanese			
structures			
wa-cleft	opening a narrative	time expression	new
non-cleft structure with the	assigning a property to	inanimate subject	given
particle ga	an entity		
other non-cleft structure	(assigning a property to	(time expression)	(given)
	an entity)		

Declerck (1984) divided what Prince (1978) called *informative-presupposition clefts* into two types; one where both the clefted constituent and the cleft clause carry new information, and the other where the clefted constituent carries given information but the cleft clause carries new information. As Table 4 indicates, *wa*-clefts give rise to the former type of it-cleft and non-cleft structures give rise to the latter type.

Unlike the case of non-cleft structures in which the particle ga is used to mark the referents expressed by the subject NPs, for other non-cleft structures, the number of instances that render the it-clefts' function of assigning a property to an entity was limited (15 out of 36 instances; 41.7%). Because of this, the results of the other non-cleft structures are in parentheses in Table 4. Why do the rest of the instances not show a certain tendency in the case of other non-cleft structures? One possible explanation for this is that the other non-cleft structures are single clauses with no focus particles, meaning that whether the it-cleft is chosen as a corresponding construction or not is largely dependent on how translators interpret Japanese non-cleft structures.

2.3. Japanese structures that give rise to it-clefts that covertly express contrast and have cleft clauses carrying given/accessible information

There were 37 instances at which Japanese structures were translated as it-clefts that covertly expressed contrast and had cleft clauses that carried given/accessible information, 18 of which were wa-clefts and 19 of which were non-cleft structures. Miller (2008²: 146) stated that 'the contrastive element is much reduced or absent' in it-clefts which 'give salience to times and places' (Miller 2008²: 146). This notion clearly applies to some of the instances in our data, as exemplified in (17) and (18).

(17) a. Konnafuuni futarininat-ta otouto to no wa be together-PAST like this younger brother PT NLZTOP haiimete dat-ta. first time COP-PAST

(Banana Yoshimoto, Amurita, (Jou): 202)

b. I felt unusual waking up to the sound of the waves, and Yoshio was always with me. <u>It was the first time that my brother and I had spent so much time</u> together.

(Amrita: 120) (Underline added)

(18) a. Tattaima, kishashitsu-o oidas-are-ta bakari da. just now press room-ACC boot-PASS-PAST just COP

(Hideo Yokoyama, Rokuyon, (Jou): 64)

b. 'That would be ...' Suwa's words trailed off. Mikami couldn't blame him.
 It was only moments earlier that the press had unceremoniously booted him out of their room.

(Six Four: 50) (Underline added)

In (17a), the proposition expressed by the subject of the *wa*-cleft is introduced in the preceding sentence and thus carries given information. In contrast, the referent in the predicate of the *wa*-cleft is an expression denoting frequency and carries new information; however, the referent is not overtly contrasted with anything else. In (18a), the proposition that the press booted Suwa out of the press room only moments earlier had already been introduced in the discourse. In this example, the time expression is given salience and is not overtly contrasted with anything else.

Although Miller (2008²) suggested a case in which clefted constituents were times and places, in our data as well, the contrastive element seemed to be reduced or absent when the constituent that appeared in a clefted constituent position in a corresponding English it-cleft denoted reasons. Consider the following.

kokorookinaku monosashi-ga (19) a. Ima wareware-ga without considering it seriously we-NOM ruler-ACC now tsukaeru 0-no okage no da no mo. na be able to use NLZ TOP 0-GEN thanks COP NLZ COP

(Yoko Ogawa, Hakase no aishita suushiki: 220)

b. A ruler begins at zero. [...] So it's zero that allows us to use a ruler, too."

(The Housekeeper and the Professor: 141) (Underline added)

(20) a. 'Jishinmanman desu ne' be confident COP-POL FP 'Sakkino Yamamoto Keiko-no mi-te, kao-o kakushin that Yamamoto Keiko-GEN face-ACC look-TE confidence shi-ta. [...] do-PAST

(Keigo Higashino, Seijo no kyuusai: 282) (Underline added)

b. 'You sound confident.'

'It was the look on Ms Yamamoto's face that sold me. [...]

(Salvation of a Saint: 248) (Underline added)

Example (19) describes that zero allows us to use a ruler¹² and (20) describes that looking at Ms Yamamoto's face leads the speaker to become confident of his assumption. Similar to (17a) and (18a), the contrastive element is reduced or absent in (19a) and (20a).

As examples (17) — (20) show, wa-clefts and non-cleft structures are similar with respect to the type of constituents that appear in the clefted constituent position in the corresponding English it-cleft. Constituents that appear in the clefted constituent position in the corresponding it-clefts tend to be either time expressions (e.g. (17) and (18)) or expressions denoting reasons (e.g. (19) and (20)). However, wa-clefts and non-cleft structures are different from each other with respect to the information status of the constituents appearing in the clefted constituent position in the corresponding it-clefts. For the wa-clefts, 55.6% (10 out of 18 instances) of the constituents were new information. Conversely, for non-cleft structures, 78.9% (15 out of 19 instances) of the constituents were given/accessible information.

3. Characteristics of Japanese structures that give rise to wh-clefts

In this section, the characteristics of Japanese structures that give rise to wh-clefts are examined. There were 28 instances in which the Japanese structures were translated as wh-clefts that overtly expressed contrast, majority of which were instances where the *wa*-clefts were translated as wh-clefts (22 out of 28 instances; 78.6%), as exemplified in the following:

```
(21) a. Ima
            hitsuyou
                                           chikara
                                                    de
                                                                  naku
                       na
                                    wa,
                                                           wa
                              no
             necessary COP NLZ
                                    TOP
                                          force
                                                    COP TOP
      now
                                                                  not
      kotoba
                dat-ta.
                COP-PAST
      word
```

(Hideo Yokoyama, *Rokuyon*, (*Jou*): 253)

b. What he needed now was words, not force.

(Six Four: 212)

(22) a. Kamera sae motanakat-ta. Shashin-ga nannoyakunitatsu darou? bring not-PAST photo-NOM be good for what will camera even Kare-ga motome-teir-u namami-no ningen no wa he-NOM require-SUB-NONP NLZ TOP actual-GEN human deari. nama-no kotoba na no da. COP actual-GEN word COP NLZ COP

(Haruki Murakami, Shikisai o motanai Tazaki Tsukuru to,

kare no junrei no toshi: 278) (Underline added)

b. He didn't even take a camera. What good were photos? What he was seeking was an actual person, and actual words.

(Colorless Tsukuru Tazaki and His Years of Pilgrimage: 197)

(Underline added)

In (21a), the referent in the predicate of the *wa*-cleft is overtly contrasted with the preceding element *chikara* ('force'). In (22a), the referent in the predicate of the *wa*-cleft is overtly contrasted with the preceding element *shashin* ('photos'). In these examples, the *wa*-clefts render a specificational function of wh-clefts.

The Japanese structures that give rise to wh-clefts that have a forward-pointing function are now examined. This function has been termed in various ways (e.g. *gist-marking* in Kim 1995: 255). There were 27 instances at which the Japanese structures gave rise to wh-clefts that have a forward-pointing function, the majority of which were instances at which the non-cleft structures were translated as wh-clefts (24 out of 27 instances; 88.9%). Yamada (2016: 239) classified three typical types of Japanese non-cleft structures as translations of wh-clefts, as follows:

- (i) noncleft structures roughly corresponding to the noncleft counterparts of wh-clefts
- (ii) noncleft structures in which the cleft clauses of wh-clefts are translated into some expression illustrating the macro-discourse function of the wh-clefts
- (iii) noncleft structures in which the cleft clauses of wh-clefts are not translated

(Yamada 2016: 239)

Basically, our data were in accordance with these three types of non-cleft structures as the non-cleft structures that resulted in the wh-clefts that have a forward-pointing function can be classified into three

types. The first type is the case in which non-cleft structures roughly correspond with the non-cleft counterparts of wh-clefts, as exemplified in (23).

(23) a. Mouichido hassou-o tenkanshinakyaikenai.
one more time idea-ACC need to shift

(Keigo Higashino, Seijo no kyuusai: 264)

b. Too bad; I had high hopes for that one. What we need now is another shift in approach.

(Salvation of a Saint: 231) (Underline added)

The second type is where the non-cleft structures contain expressions that illustrate a forward-pointing function, as in (24) and (25).

(24) a. Yousuruni, mada yoku wakaran no da in short yet well not know NLZ COP

(Keigo Higashino, Seijo no kyuusai: 170)

b. What it means is we're not really sure about anything yet

(Salvation of a Saint: 146-7)

(25) a. Tsumari ai kondishon-o tte iu no wa, aru in other words love OT sav NLZ TOP condition-ACC а koto? arawasu kigou da tte iu COP QT say indicate sign thing

(Banana Yoshimoto, Amurita, (Jou): 236)

b. "What you're trying to say is that love symbolizes a certain condition."

(Amrita: 140)

The Japanese expressions *yousuruni* ('in short') in (24a) and *tsumari* ('in other words') in (25a) serve to express the speaker's summarising of the preceding discourse.

The third type is where the non-cleft structures neither correspond to the non-cleft counterparts of wh-clefts, nor contain expressions that illustrate the forward-pointing function, as in (26) below.

(26) a. 'Anta-ga yasumi-o tot-ta koto Kizu tte, tte You-NOM vacation-ACC take-PAST OT thing TOP Kizu OT ano otoko-o tsukamaeta n daro. [...] that man-ACC arrest-PAST NLZ will

(Arimasa Osawa, Shinjukuzame: 300) (Underline added)

b. "So, when you said you'd taken a vacation, what you really meant was you'd arrested that guy Kizu. I bet. [...]

(Shinjuku Shark: 213) (Underline added)

Of these three non-cleft structure types, more than half were of the second type (14 out of 24 instances). A possible reason for this may be that this type of non-cleft structure contains expressions illustrating the forward-pointing function, which makes it easier for translators to associate this type of non-cleft structure with wh-clefts.

Instances at which Japanese structures are translated as wh-clefts that covertly express contrast and do not have a forward-pointing function are now examined. As Table 2 shows, out of 13, 11 were instances at which the *wa*-clefts were translated as wh-clefts (84.6%), as exemplified in (27) and (28).

(27) a. Tsukuru-ga ima menomaeni shi-teir-u no Tsukuru-NOM now in front of him do-SUB-NONP NLZ wa. kare-ga ayun-deki-ta no wa mattaku TOP he-NOM walk-SUB-PAST NLZ PT TOP completely chigau shurui-no iinsei-o ayun-deki-ta, be different kind-GEN life-ACC walk-SUB-PAST hitori-no sukoyakana nikutai josei-no dat-ta. one person-GEN woman-GEN COP-PAST sound body

(Haruki Murakami, Shikisai o motanai Tazaki Tsukuru to,

kare no junrei no toshi: 319)

b. What Tsukuru saw in front of him now was the healthy body of a woman who had walked a completely different path in life from the one he'd taken.

(Colorless Tsukuru Tazaki and His Years of Pilgrimage: 227)

(28) a. Taisetsu karera shitsubou sono ni, na no wa, COP disappointment important NLZTOP the thev PT mo sogaikan mo kanjis-ase-nai youna PT a sense of alienation PT feel-CAUS-not like keisatsukikou-o tsukuriageru koto da. police structure-ACC create thing COP

(Arimasa Osawa, Shinjukuzame: 141)

b. What was necessary was the creation of a police structure that didn't alienate them or confound their hopes.

(Shinjuku Shark: 104)

In (27a), the referent in the predicate of the *wa*-cleft functions as an object in the non-cleft counterpart of the *wa*-cleft, is given salience and is not overtly contrasted with anything else; six of the *wa*-clefts were of this type. In (28a), the referent in the predicate of the *wa*-cleft functions as a subject in the non-cleft counterpart of *wa*-cleft. The referent is given salience and is not overtly contrasted with anything else. It is worth noticing here that the non-cleft counterpart of (28a) is an adjective sentence, as exemplified in (28').

(28') sono karera ni, shitsubou mo sogaikan mo they PT disappointment PT a sense of alienation PT the keisatsukikou-o kanjis-ase-nai tsukuriageru youna feel-CAUS-not like police structure-ACC create koto-ga taisetsu da. thing-NOM important COP

In our data, five of the wa-clefts were of this type.

Weinert and Miller (1996: 196) suggested that wh-clefts covertly expressing contrast merely give 'some prominence to the constituents following the cleft clause'. Here, we suggest that *wa*-clefts such as those in (27a) and (28a) render the function of giving salience to the clefted constituents.¹³

Here a question arises: why do some *wa*-clefts that give salience to referents in the predicates give rise to wh-clefts and not it-clefts? As demonstrated in 2.3, there are it-clefts that give salience. There are two possible factors that influence the choice of wh-clefts over it-clefts. The first relates to the length of the referents in the predicates of *wa*-clefts. While clefted constituents in it-clefts tend to be short, those in wh-clefts tend to be long.¹⁴ Because of this, it-clefts are not preferred in (27a) and (28a) where the

referents in the predicates of the wa-clefts are long.

The other factor is the type of constituent that appears in the clefted constituent position in the corresponding English cleft construction. As pointed out in 2.3, constituents appearing in the clefted constituent position in it-clefts that give salience tend to be either time expressions or expressions denoting reasons. However, constituents appearing in the clefted constituent position in wh-clefts that give salience tend to be either noun phrases functioning as objects in the corresponding non-cleft counterparts or noun phrases functioning as subjects in the corresponding adjective sentences.

4. Implications of the findings

English wh-clefts and it-clefts are what Huddleston and Pullum (2002: 1365) call *information-packaging constructions*, meaning that they are different from their more basic non-cleft counterparts in the way the information is presented. These cleft constructions have several discourse functions. The following tables illustrate the findings in Sections 2 and 3 in terms of the discourse functions of it-clefts and wh-clefts that Japanese structures give rise to.

Table 5. Discourse functions of it-clefts that Japanese wa-clefts give rise to and wh-clefts that Japanese wa-clefts give rise to

discourse functions of it-clefts	discourse functions of wh-clefts
- overtly expressing contrast	- overtly expressing contrast
- opening a narrative	- giving salience
- giving salience	

Table 6. Discourse functions of it-clefts that Japanese non-cleft structures give rise to and wh-clefts that Japanese non-cleft structures give rise to

discourse functions of it-clefts	discourse functions of wh-clefts
- overtly expressing contrast	- forward-pointing
- assigning a property to an entity	
- giving salience	

Tables 5 and 6 indicate that both wa-clefts and non-cleft structures render the discourse functions of wh-clefts and it-clefts and that these two types of Japanese structures tend to complement each other. When Japanese structures give rise to English wh-clefts, wa-clefts and non-cleft structures clearly complement each other; while wa-clefts give rise to wh-clefts that overtly express contrast or those that give salience, non-cleft structures give rise to wh-clefts that have a forward-pointing function.

Situations are more complicated, however, for it-clefts. While wa-clefts give rise to it-clefts that open a narrative, non-cleft structures give rise to it-clefts that assign a property to an entity. However, both wa-clefts and non-cleft structures give rise to it-clefts that overtly express contrast. A mixed picture emerges in the case of it-clefts that give salience. Both wa-clefts and non-cleft structures give rise to this type of it-cleft, but it can be said that these two types of Japanese structures complement each other on the grounds that they are different from each other with respect to the information status of the clefted constituents in the corresponding it-clefts (see 2.3).¹⁵

Considering these findings from the viewpoint of contrastive cleft construction studies in Japanese and English, similarities and differences between *wa*-clefts and it-clefts emerge. Both *wa*-clefts and it-clefts overtly express contrast and, as demonstrated in 2.1, the strong tendency of the referents in predicates of *wa*-cleft to function as subjects in the non-cleft counterparts of *wa*-clefts is consistent with the tendency of the clefted constituents in it-clefts to function as subjects in the non-cleft counterparts of it-clefts. On the other hand, the findings here suggest three differences between *wa*-clefts and it-clefts. First, unlike it-clefts, *wa*-clefts do not have the function of assigning a property to an entity (see 2.2). Second, compared with it-clefts, *wa*-clefts are less likely to give salience to referents conveying given/accessible information (see 2.3). Finally, unlike it-clefts, *wa*-clefts can give salience to long referents and to referents functioning as subjects in the corresponding adjective sentences (see Section 3).

The findings also suggest two differences between wa-clefts and wh-clefts. The first is that the wh-clefts' forward-pointing function is seldom found in wa-clefts, and the second is that compared with wh-clefts, wa-clefts permit a much broader range of referents that can be given salience. While wa-clefts give salience to time expressions and expressions denoting reasons (see 2.3), such instances are not found in the case of the wh-clefts in our data.

These different functions of cleft constructions in Japanese and English may pose problems for L2 learners. For example, Hasselgård (2014: 316) found a significant underuse of English it-clefts by Norwegian learners of English and suggested that this underuse may be related to functional differences between English and Norwegian clefts. Boström Aronsson (2003) also found that English cleft constructions were used more frequently in the writings of Swedish advanced learners of English than in the writings of native English speakers. Given learner examples where the need for the use of English cleft constructions is doubtful, Boström Aronsson (2003) suggested the possibility that learners may not be fully aware of the effect of English cleft constructions on information distribution in the text. Therefore, in relation to L2 learners' discourse management, the findings in this study suggest the necessity for English and Japanese language learners to understand the similarities and differences among *wa*-clefts, it-clefts and wh-clefts in terms of discourse functions and the range of constituents permitted in the salient position.

Conclusion

The aim of this study was to explore which Japanese structures were translated into English as wh-clefts or it-clefts and how the Japanese structures rendered the discourse functions of the English clefts. On the basis of empirical research, this study demonstrated several characteristics of the Japanese structures that give rise to wh-clefts and it-clefts. First, both *wa*-clefts and non-cleft structures were found to render the discourse functions of wh-clefts and it-clefts. Second, these two types of Japanese structures tended to complement each other with respect to the types of discourse functions they rendered or the information status of the constituents in the salient position. Further, similarities and differences were found among *wa*-clefts, wh-clefts and it-clefts with respect to the types of discourse functions and the range of constituents that were permitted in the salient position.

In our data, the proportion of wa-clefts that gave rise to it-clefts or wh-clefts was small. How the remaining wa-clefts were translated and why they were translated as structures other than it-clefts or wh-clefts are the questions that require for further research to be conducted.

Notes

- ¹ The underline in example (2) was added by Yamada (2016).
- ² The underline in example (3) was added by Yamada (2016). Italics are in the original.
- ³ Similar to Yamada (2016), who followed previous studies on cleft constructions (e.g. Delin and Oberlander 1995; Weinert and Miller 1996), this study terms the constituent following the copula (e.g. *a record of the construction work* in (1) and *me* in (3)) the clefted constituent and the clause introduced by the wh-word in wh-clefts (e.g. *what you're looking at now* in (1)) and the clause following the clefted constituent in it-clefts (e.g. *who did the phoning* in (3)) the cleft clause.
- ⁴ Among the English versions, Salvation of a Saint and Six Four contain more than 300 pages.
- ⁵ As mentioned in Yamada (2016), Weinert and Miller (1996) suggested that it-clefts in which the clefted constituents contain question words have different functions from it-clefts in declarative clauses. Moreover, Weinert and Miller (1996) noted that it was not necessarily easy to distinguish it-clefts without cleft clauses from 'anaphoric it + copula + complement' structures. As for instances where it in it-clefts is replaced with this or that, they were included as it-clefts in this study as long as they had cleft clauses and occurred in declarative clauses. Our data contained one example in which it was replaced with this.
- ⁶ Following Weinert and Miller's (1996: 200) suggestion that 'all clefts can be said to express covert contrast', this study regards cleft constructions that do not overfly express contrast as cleft constructions that coverfly express contrast.
- ⁷ In the Japanese examples, in many cases only the Japanese structures that give rise to it-clefts or wh-clefts were exemplified. As regards the abbreviations, the following abbreviations are used. ACC: Accusative, CAUS: Causative, COP: Copulative verb, FP: Sentence-final particle, NOM: Nominative, NLZ: Nominalizer, NONP: Nonpast, PASS: Passive, PAST: Past, PROG: Progressive, PT: Other particle, Q: Question, SUB: Subsidiary verb, TE: te-form of the verb, TOP: Topic

- ⁸ Yamada (2016) had 57 instances of it-clefts that overtly expressed contrast, 44 of which were instances at which the clefted constituents in the non-cleft counterparts were subjects. The tendency of the clefted constituents in it-clefts to function as subjects in the non-cleft counterparts of it-clefts was also supported by other previous studies (e.g. Weinert and Miller 1996; Gómez-González and Gonzálvez-García 2005; Callies 2009; Hasselgård 2014).
- ⁹ Sunakawa's (2005) examples used in the discussion of this discourse function were examples of wa-clefts.
- ¹⁰ Collins (2006: 1710) suggested that the clefted constituent in it-clefts where both the clefted constituent and the cleft clause carry new information had a "scene setting" role.
- ¹¹ One expression that denotes frequency is included here.
- ¹² In example (19a), the topic particle mo is used, instead of wa. Pointing out that it is possible to replace wa with mo (e.g. Tsukuba Language Group 1995²), Yamada (2016) included $\sim no$ mo ... da constructions as instances of wa-clefts. Similar to Yamada (2016), we included $\sim no$ mo ... da constructions as instances of wa-clefts.
- ¹³ Having discussed so, the category entitled as 'others' in Table 2 should be changed to 'wh-clefts giving salience'.
- Prince (1978: 886) found that in her data the cleft clause 'has one-third the average length of the' clefted constituent in the case of wh-cleft, whereas the cleft clause 'is nearly twice as long as the' clefted constituent in the case of it-clefts.
- Moreover, as demonstrated in 2.2, two different types of Japanese non-cleft structures (i.e. non-cleft structures with the particle ga and other non-cleft structures) correspond to it-clefts' function of assigning a property to an entity. However, it could be said that these two types of Japanese non-cleft structures complement each other on the grounds that they are different from each other with respect to the type of clefted constituent in corresponding it-clefts.

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