Ethnic Differences between Asians and Caucasians in the Incidence of Osteoporotic Fractures: A Review

Miki Ishikawa¹, Kazutoshi Nakamura², Takehiro Tamura¹, Sayaka Akiyama¹ and Yasuo Tsuchiya²

¹The Fourth-year Students, Niigata University School of Medicine, ²Division of Social and Environmental Medicine, Department of Community Preventive Medicine, Graduate School of Medical and Dental Sciences, Niigata University, Niigata, Japan

Received December 9, 2005; accepted April 3, 2006

Summary. The aim of this mini-review was to address the question of whether there are differences between Asian and Caucasian women in the incidence of osteoporotic fractures. The online PubMed web site was used to search for papers on epidemiologic studies designed to compare ethnic differences between Asians and Caucasians in osteoporotic fractures, and nine original papers regarding this issue were retrieved. There is a body of evidence that the incidence of hip fractures in Asians, including Japanese, is lower than in Caucasians. By contrast, no Asian-Caucasian difference in incidence of vertebral fractures has been found, although Japanese living in Japan seem to have a higher incidence of vertebral fractures than Caucasians. More epidemiologic studies comparing vertebral fractures in Asians and Caucasians are needed.

Key words — Asians, Caucasians, ethnicity, hip fracture, osteoporosis, vertebral fracture.

INTRODUCTION

Osteoporosis is a multifactorial disease characterized by generalized skeletal fragility, in which bone strength is so weak that fractures occur as a result of minimal trauma.¹⁾ Because some osteoporotic fractures dramatically decrease the activities of daily living (ADL) of elderly persons and increase medical costs, prevention of osteoporotic fracture has become very important.

While the risk factors for osteoporosis have not

been fully elucidated, aging and sex are known to be important demographic factors that affect the incidence of osteoporosis. Ethnicity is known to be another demographic determinant of osteoporosis, and a detailed exploration of ethnicity might provide the key to a further understanding of this disease.

Some investigators have published review papers reporting that black people have a lower risk of osteoporotic fractures than Caucasians.^{2,3)} The results of comparative epidemiologic studies suggested that Asians also have a lower incidence of osteoporotic fractures than Caucasians.^{4,5)} However, to our knowledge there has been insufficient evidence on this issue. The aim of this mini-review was to answer the question of whether there are differences between Asian and Caucasian women in the incidence of osteoporotic fractures.

LITERATURE SEARCH

The online PubMed web site was used to search for epidemiologic studies comparing ethnic differences in osteoporotic fractures between Asians and Caucasians by using the terms "Fractures, Bone (MeSH term)", "Epidemiology (MeSH term) or Epidemiologic Studies (MeSH term)", and "Asian Continental Ancestry Group (MeSH term), Ethnic Groups (MeSH term), Ethnology (MeSH term), Race, or Asians", limiting to "Age ≥ 45 years", "Humans", and "English literature"; 351 papers were retrieved. Our criterion for inclusion of an epidemiologic study in this review was that it had been specifically designed to compare the incidence of hip or vertebral fractures in elderly people in Asian and Caucasian populations. Ultimately, nine original papers were retrieved (Table 1). Vertebral and hip fractures

Correspondence: Kazutoshi Nakamura, MD., Department of Community Preventive Medicine, Graduate School of Medical and Dental Sciences, Niigata University, 1-757 Asahimachi-dori, Niigata 951-8510, Japan.

Abbreviations - ADL, activities of daily living; BMD, bone mineral density; RR, relative risk; SD, standard deviation.

Table 1. Relative risk (RR) (95% confidence intervals in parentheses) of hip and vertebral fractures in Asian versus Caucasian women

Authors	Year	Subjects (Patients)	Relative risk (Asian / Caucasian) Hip fracture Vertebral fractu:	Vertebral fracture	Comments
Silverman et al. ⁶	1988	Patients whose data were obtained from statewide hospital discharge records in California		1	
Ross et al. ⁴⁾	1991	Female Japanese-American patients in six major hospitals on Oahu Island, Hawaii, and female Caucasian patients at the Mayo Clinic in Minnesota	0.48 (0.41 - 0.57)	1	
		Female Japanese patients from 23 hospitals in Okinawa, and female Caucasian patients at the Mayo Clinic in Minnesota	0.48 (0.38 - 0.60)	•	
Calder et al. ⁷⁾	1994	All patients with hip fracture in Leicestershire, England	0.84 (0.54 - 1.32)*		
Ross et al. ⁸⁾	1995	803 female Japanese from a random sample of 4000 participants in a health checkup in Hiroshima, and 561 Caucasian women who participated in the Rochester Epidemiology Project in Minnesota	1 1	1.2 (0.9 - 1.6) [†] 1.8 (1.2 - 2.7) [‡]	Using a fracture definition of $> 3 \text{ SD}^{\ddagger}$ Using a fracture definition of $> 4 \text{ SD}^{\ddagger}$
		839 female American-born Japanese-American participants in the Hawaii Osteoporosis Study, and 561 Caucasian women in the Rochester Epidemiology Project in Minnesota		0.7 (0.5 - 0.9)* 1.1 (0.8 - 1.7)*	Using a fracture definition of > 3 SD [‡] Using a fracture definition of > 4 SD [‡]
Lauderdale et al. ⁹⁾	1997	A 50% sample of Asian-American and a 10% sample of Caucasian Medicare enrollees in the 11 s			
		In the Co.s. Chinese-American v.s. Caucasian Japanese-American v.s. Caucasian Korean-American v.s. Caucasian	0.30 (0.25 - 0.36) 0.73 (0.62 - 0.87) 0.53 (0.33 - 0.80)	1 1 1	
Ross et al. ¹⁰⁾	2000	All female Japanese-American and Caucasian patients whose data were available in hospital discharge records on Oahu Island, Hawaii	1.19	ı	
Ellis et al. ¹¹⁾	2001	First hospitalization female patients of in all non-federal California hospitals	0.37	r	Pacific Islanders were included as Asians
Walter et al. ¹²⁾	2003	A cohort of 718 Asians and 2548 Caucasians with disability in the Program of All-Inclusive Care for the Elderly (PACE) in the U.S.	0.52 (0.34 - 0.80)*	1	
Fang et al. ¹³⁾	2004	Female Asian and Caucasian patients in New York City whose data were obtained from the Statewide Planning and Resource Cooperative System of the New York City Paragraph of the New York City Paragraph of the New York City	0.38 (0.34 - 0.42)*	1	Hospital discharge data were used for cases

*95% confidence interval calculated by the authors of this review; †Relative risk estimated from the prevalence ratio; †Vertebral fractures were evaluated by vertebral height measurement and were not acute (traumatic) fractures.

cause a substantial reduction in the ADL of the elderly, and thus prevention of these two types of fractures must be given high priority to maintain their quality of life.

Hip fractures in Asian and Caucasian women

Eight papers dealing with hip fractures that are listed in Table 1 were used. Seven of the eight studies compared the incidence of hip fractures between Asian-Americans and Caucasians, and one study concerned elderly Japanese women and Caucasians. Five of the eight papers reported that the relative risk (RR) of hip fractures for Asians was significantly lower than that for Caucasians. The RRs reported ranged from 0.37 to 1.19, the simple average of the RRs in the eight studies being around 0.6.

The findings shown in Table 1 revealed that Asians have a lower risk of hip fractures than Caucasians. Since the bone mineral density (BMD) of the proximal femur of Asians is no higher than in Caucasians, ^{14,15} protective factors must exist. They may be genetic or race-related factors, such as skeletal structure and body size. The Asian-Caucasian difference in hip geometry may explain the ethnic difference in the incidence of hip fractures, i.e., the shorter hip axis or femoral neck length of Asians may contribute to their lower risk. ^{16,17} Falls, possibly related to body size or body composition, may be another contributing factor. Falls are an important risk factor for hip fracture, and their incidence among Japanese-Americans in Hawaii has been reported to be lower than among Caucasians in Hawaii. ¹⁸

Non-genetic factors may also lower Asians' risk of hip fractures. Lauderdale et al.⁹⁾ reported that there appears to be an ethnic difference among Asian-Americans (Table 1). Japanese-Americans had the highest RR, 0.73, while Chinese-Americans had the lowest, 0.30. Their paper suggested that non-genetic factors, such as diet, may contribute. Moreover, Ross PD and Huang C ¹⁰⁾ reported that the incidence of hip fractures among Japanese and Caucasians in Hawaii is similar and that the incidence of hip fractures in Hawaiians is lower than in other reports worldwide. These papers suggested that non-genetic factors, such as diet and lifestyles, may be responsible for the differences observed in hip fracture incidence between ethnic groups.¹⁰⁾

As stated above, genetic or non-genetic factors, or both may explain the ethnic difference in osteoporotic fractures. Because Asians living outside Asia tend to maintain their original lifestyle, as typically observed in first-generation Asian Americans, genetic and lifestyle factors are linked, and thus their effects cannot be evaluated separately. Comparative studies targeting later-generation Asians should be conducted to resolve this problem.

Vertebral fractures in Asian and Caucasian women

Only one relevant paper comparing the incidence of vertebral fractures in Asian and Caucasian women was found. It reported that Japanese living in Japan have a higher prevalence of vertebral fractures than Caucasians in the mainland United States, while Japanese-Americans in Hawaii have a lower prevalence (Table 1).⁸⁾ It also reported that their prevalence in native Japanese is significantly higher (RR = 1.7) than in Japanese-Americans in Hawaii. The higher incidence of vertebral fractures in native Japanese than Japanese-Americans in Hawaii was accounted for by the lower BMD of native Japanese. ^{19,20)}

The prevalence of osteoporotic vertebral fractures in Hong-Kong Chinese has been reported to be similar to that in American Caucasians, ²¹⁾ whereas the prevalence in Chinese in Beijing is slightly lower than in American Caucasians, ²²⁾ although the studies were not designed as comparative studies and the results have not been included in Table 1. The findings in these studies did not support an ethnic difference in the incidence of vertebral fractures between Asians and Caucasians.

Caution is required when comparing the incidence or prevalence of vertebral fractures between two populations because vertebral compression fractures are not always symptomatic. If the participation rate in an epidemiologic study is low, selection bias could easily result in false values, making it difficult to detect ethnic differences in the occurrence of vertebral fractures. Future comparative studies should take into account the possibility of false values.

The incidence of vertebral fractures among native Japanese seems to be higher than among Caucasians, but the evidence is insufficient, and no overall Asian-Caucasian difference in the incidence of vertebral fractures was evident.

CONCLUSIONS

There is a body of evidence that the incidence of hip fractures in Asians, including Japanese, is lower than in Caucasians. The number of hip fractures in Japan has been reported to have more than doubled over the last two decades, and this steep increase is independent of the aging factor²³. Thus, the difference in the incidence of hip fractures between Japanese and Caucasians may be decreasing. There is no clear Asian-Caucasian difference in the incidence of vertebral fractures although Japanese living in Japan seem to have a higher incidence. More epidemiologic studies comparing vertebral fractures in Asians and Caucasians need to be conducted.

66 H. ISHIKAWA et al.

Acknowledgments. We thank Professor Masaharu Yamamoto (Niigata University Graduate School of Medical and Dental Sciences) for reviewing the final version of the manuscript.

REFERENCES

- Marcus R, Majumder S: The nature of osteoporosis. In: Marcus R, Feldman D, Kelsey J (eds) Osteoporosis, 2nd Ed, Academic Press, San Diego 2001, p 3-17.
- 2) Bohannon AD: Osteoporosis and African American women. *J Womens Health Gend Based Med* 8: 609-615, 1999.
- 3) Kessenich CR: Osteoporosis and African-American women. *Womens Health Issues* 10: 300-304, 2000.
- 4) Ross PD, Norimatsu H, Davis JW, Yano K, Wasnich RD, Fujiwara S, Hosoda Y, Melton LJ III: A comparison of hip fracture incidence among native Japanese, Japanese Americans, and American Caucasians. Am J Epidemiol 133: 801-809, 1991.
- 5) Barrett-Connor E, Siris ES, Wehren LE, Miller PD, Abbott TA, Berger ML, Santora AC, Sherwood LM: Osteoporosis and fracture risk in women of different ethnic groups. *J Bone Miner Res* 20: 185-194, 2005.
- 6) Silverman SL, Madison RE: Decreased incidence of hip fracture in Hispanics, Asians, and blacks: California Hospital Discharge Data. *Am J Public Health* 78: 1482-1483, 1988.
- 7) Calder SJ, Anderson GH, Harper WM, Gregg PJ: Ethnic variation in epidemiology and rehabilitation of hip fracture. *BMJ* **309**: 1124-1125, 1994.
- 8) Ross PD, Fujiwara S, Huang C, Davis JW, Epstein RS, Wasnich RD, Kodama K, Melton LJ 3rd: Vertebral fracture prevalence in women in Hiroshima compared to Caucasians or Japanese in the US. *Int J Epidemiol* **24**: 1171-1177, 1995.
- 9) Lauderdale DS, Jacobsen SJ, Furner SE, Levy PS, Brody JA, Goldberg J: Hip fracture incidence among elderly Asian-American populations. *Am J Epidemiol* **146**: 502-509, 1997.
- 10) Ross PD, Huang C: Hip fracture incidence among Caucasians in Hawaii is similar to Japanese. A population-based study. *Aging (Milano)* 12: 356-359, 2000.
- 11) Ellis AA, Trent RB: Hospitalized fall injuries and race in California. *Inj Prev* 7: 316-320, 2001.
- 12) Walter LC, Lui LY, Eng C, Covinsky KE: Risk of

- hip fracture in disabled community-living older adults. *J Am Geriatr Soc* **51**: 50-55, 2003.
- 13) Fang J, Freeman R, Jeganathan R, Alderman MH: Variations in hip fracture hospitalization rates among different race/ethnicity groups in New York City. *Ethn Dis* 14: 280-284, 2004.
- 14) Morton DJ, Barrett-Connor E, Kritz-Silverstein D, Wingard DL, Schneider DL: Bone mineral density in postmenopausal Caucasian, Filipina, and Hispanic women. *Int J Epidemiol* 32: 150-156, 2003.
- 15) Russell-Aulet M, Wang J, Thornton JC, Colt EW, Pierson RN Jr.: Bone mineral density and mass in a cross-sectional study of white and Asian women. *J Bone Miner Res* 8: 575-582, 1993.
- 16) Cummings SR, Cauley JA, Palermo L, Ross PD, Wasnich RD, Black D, Faulkner KG, Osteoporotic Fractures Research Group: Racial differences in hip axis lengths might explain racial differences in rates of hip fracture. Osteoporos Int 4: 226-229, 1994.
- 17) Nakamura T, Turner CH, Yoshikawa T, Slemenda CW, Peacock M, Burr DB, Mizuno Y, Orimo H, Ouchi Y, Johnston CC Jr: Do variations in hip geometry explain differences in hip fracture risk between Japanese and white Americans? *J Bone Miner Res* 9: 1071-1076, 1994.
- 18) Davis JW, Ross PD, Nevitt MC, Wasnich RD: Incidence rates of falls among Japanese men and women living in Hawaii. *J Clin Epidemiol* **50**: 589-594, 1997.
- 19) Huang C, Ross PD, Fujiwara S, Davis JW, Epstein RS, Kodama K, Wasnich RD: Determinants of vertebral fracture prevalence among native Japanese women and women of Japanese descent living in Hawaii. *Bone* 18: 437-442, 1996.
- 20) Ito M, Lang TF, Jergas M, Ohki M, Takada M, Nakamura T, Hayashi K, Genant HK: Spinal trabecular bone loss and fracture in American and Japanese women. *Calci f Tissue Int* **61**: 123-128, 1997.
- 21) Lau EMC, Chan HHL, Woo J, Lin F, Black K, Nevitt M, Leung PC: Normal ranges for vertebral height ratios and prevalence of vertebral fracture in Hong Kong Chinese: a comparison with American Caucasians. *J Bone Miner Res* 11: 1364-1368, 1996.
- 22) Ling X, Cummings SR, Mingwei Q, Xihe Z, Xioashu C, Nevitt M, Stone K: Vertebral fractures in Beijing, China: The Beijing Osteoporosis Project. *J Bone Miner Res* **15**: 2019-2025, 2000.
- 23) Morita Y, Endo N, Iga T, Tokunaga K, Ohkawa Y: The incidence of cervical and trochanteric fractures of the proximal femur in 1999 in Niigata Prefecture, Japan. *J Bone Miner Metab* 20: 311-318, 2002.