

1 **Prognostic Impact of Indocyanine Green Plasma Disappearance Rate in**
2 **Hepatocellular Carcinoma Patients after Radiofrequency Ablation: A Prognostic**
3 **Nomogram Study**

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1 **Abstract**

2 **Objective:** Radiofrequency ablation has been used widely for the local ablation of
3 hepatocellular carcinoma, particularly in its early stages. The study aim was to identify
4 significant prognostic factors and develop a predictive nomogram for patients with
5 hepatocellular carcinoma who have undergone radiofrequency ablation. We also
6 developed online software to predict the probability of 3- and 5-year overall survival
7 based on clinical variables.

8 **Methods:** We retrospectively studied 96 consecutive patients with hepatocellular
9 carcinoma who had undergone radiofrequency ablation as a first-line treatment.
10 Independent and significant factors affecting the overall survival were selected using a
11 Cox proportional hazards model, and a prognostic nomogram was developed based on
12 these factors. The predictive accuracy of the nomogram was determined by Harrell's
13 concordance index and compared with the Cancer of the Liver Italian Program score
14 and Japan Integrated Staging score.

15 **Results:** A multivariate analysis revealed that age, indocyanine green plasma
16 disappearance rate, and log(des-gamma-carboxy prothrombin) level were independent
17 and significant factors influencing the overall survival. The nomogram was based on
18 these three factors. The mean concordance index of the nomogram was 0.74 ± 0.08 ,
19 which was significantly better than that of conventional staging systems using the
20 Cancer of the Liver Italian Program score (0.54 ± 0.03) and Japan Integrated Staging
21 score (0.59 ± 0.07).

22 **Conclusions:** This study suggested that the indocyanine green plasma disappearance
23 rate and age at RFA and DCP are good predictors of the prognosis in hepatocellular

1 carcinoma patients after radiofrequency ablation. We successfully developed a
2 nomogram using obtainable variables before treatment.

3 **Key words:** Hepatocellular carcinoma, indocyanine green plasma disappearance rate,
4 nomogram, radiofrequency ablation, des-gamma-carboxy prothrombin

5

1 **Introduction**

2 Surgical resection should be the first-line option for patients with solitary hepatocellular
3 carcinoma (HCC) and a well-preserved liver function (1–3); however, only 20% of
4 patients with HCC are candidates for resection due to their tumor stage, liver function,
5 performance status, or comorbidities (4). Radiofrequency ablation (RFA) has recently
6 become the most frequently used treatment option for early-stage HCC and an
7 alternative for patients with HCC who are not eligible for surgical resection (1–3,5,6).
8 Shiina et al. reported estimated 5- and 10-year survival rates for patients undergoing
9 RFA of 60.2% and 27.3%, respectively (7). Several studies have compared the survival
10 prognosis between surgical resection and RFA; Livraghi et al. and Chen et al. reported
11 that, compared with resection, RFA was less invasive and associated with fewer
12 complications (8,9). Furthermore, Sato et al. reported that the percentages of in-hospital
13 deaths among patients who underwent hepatectomy and RFA were 2.60% and 0.25%,
14 respectively (10). Therefore, RFA is considered the treatment of choice for patients with
15 single HCC.

16 Many staging systems have been developed to evaluate HCC severity. The Child–
17 Pugh classification has been widely used to evaluate the liver function. Prognostic
18 staging systems for HCC, such as the Cancer of the Liver Italian Program (CLIP) score
19 and the Japan Integrated Staging (JIS) score, reflect the tumor, node, metastasis stage
20 and the Child–Pugh score (11–13). Other staging systems using nomograms have
21 recently been developed to predict the prognosis of patients with HCC (14–17). These
22 nomograms are more sophisticated than those using conventional variables such as the
23 CLIP or JIS score. However, prognostic nomograms for patients who have undergone
24 local ablation therapy for HCC have not been sufficiently established.

1 This study's aim was to clarify the significant prognostic factors and construct a
2 predictive nomogram for patients with HCC who have undergone RFA. Predictive
3 outcomes using the herein-described nomogram can be obtained with widely used
4 clinical variables, and its concordance index (c-index) can be determined (18,19). We
5 also developed an original software program that enables easier and more rapid
6 prediction of the 3- and 5-year overall survival (OS). This nomogram and corresponding
7 software program may be useful for determining a treatment strategy and predicting the
8 prognosis in clinical practice.

9

1 **Materials and Methods**

2 *Patients*

3 At Niigata University Medical and Dental Hospital, 109 patients underwent RFA as
4 first-line treatment from January 2000 to December 2013. We excluded patients who
5 had (i) undergone previous first-line treatments for HCC in other hospitals (n = 5), (ii)
6 undergone combined RFA and resection for multiple HCC (n = 5), and (iii) been
7 diagnosed with a simultaneous malignant tumor or recurrent tumor (n = 3). Thus, the
8 medical records for 96 consecutive patients with HCC were reviewed. All were
9 analyzed in this study. However, the indocyanine green plasma disappearance rate
10 (ICG-PDR) was not obtained for five patients, and the des-gamma-carboxy prothrombin
11 (DCP), also known as PIVKA-II, level was not obtained for two. Therefore, the data of
12 89 patients were used to develop the nomogram. This retrospective study was approved
13 by the institutional review board of Niigata University Medical and Dental Hospital
14 (number 2041), and informed consent was waived because of the low risk associated
15 with this study. The study protocol conformed to the ethical guidelines of the World
16 Medical Association Declaration of Helsinki (as revised in 2008).

17

18 *Diagnosis*

19 HCC was diagnosed according to the guidelines of the Japan Society of Hepatology and
20 the European Association for the Study of the Liver. Nodules were diagnosed as HCC
21 requiring treatment based on typical imaging features showing areas of early arterial
22 enhancement and delayed washout in the venous or delayed phases of dynamic
23 computed tomography (CT) or dynamic contrast-enhanced magnetic resonance imaging
24 (MRI) (1,2,20). Patients who were not diagnosed with typical HCC by dynamic CT or

1 dynamic MRI underwent contrast-enhanced ultrasonography or CT arteriportography
2 (21). Fifteen patients who could not be diagnosed by these imaging techniques
3 underwent a tumor biopsy, and all were pathologically diagnosed with HCC (2,22).

4 5 *Treatment*

6 All nodules diagnosed as HCC were treated by RFA according to the guidelines of the
7 Japan Society of Hepatology. The patients investigated in this study were divided into four
8 groups according to TNM stages. Stage IV had only one patient, who was a 74-year-old woman
9 with 3 stage IV HCC lesions of a maximum 26 mm diameter and a suspected 8-mm
10 para-aortic lymph node metastasis. Her hepatic reserve was good, and RFA was
11 performed with the objective of controlling the intrahepatic lesions. (Table 1) Thirty-one
12 of the 96 patients in this study underwent transcatheter arterial embolization (TAE) or
13 transcatheter arterial chemoembolization (TACE) before RFA. A 2- or 3-cm Cool-tip
14 needle and the Cool-tip system (Covidien, Mansfield, MA, USA) were used for ablation,
15 ensuring an ablative margin of ≥ 5 mm. Dynamic CT was conducted within two days of
16 RFA to confirm the absence of an obvious remnant tumor. All blood biochemical, CT,
17 MRI, endoscopy, and ultrasound findings were obtained within three months before
18 RFA.

19 20 *Data collection*

21 Table 1 shows the patients' demographic data and preoperative clinical factors.
22 Information on age at the time of RFA, sex, hepatitis B surface antigen status, and
23 hepatitis C virus antibody status were gathered in this study. The presence of
24 esophageal and gastric varices was confirmed by endoscopy. The presence of

1 splenomegaly and the maximum tumor diameter (mm) was confirmed by abdominal
2 ultrasound. The number of tumors and presence or absence of bilateral tumors were also
3 determined by dynamic CT or dynamic MRI. The levels of the tumor markers
4 α -fetoprotein (ng/mL) and DCP (mAU/mL) were measured. The laboratory findings
5 also included the levels of aspartate aminotransferase (U/L), alanine aminotransferase
6 (U/L), γ -glutamyltranspeptidase (U/L), alkaline phosphatase (U/L), lactate
7 dehydrogenase (U/L), cholinesterase (IU/L), hemoglobin (g/dL), albumin (g/dL),
8 creatinine (mg/dL), total bilirubin (IU/L), and ammonia (μ g/dL); platelet count
9 ($\times 10^3/\mu$ L); prothrombin activity percentage; and ICG-PDR (%/min). The Child–Pugh
10 class, CLIP score, and JIS score were calculated based on the imaging and laboratory
11 findings.

12
13 **Table 1.** Demographics and clinical characteristics of patients with hepatocellular
14 carcinoma

Variable	Category	Distribution	%
Age	Years		69.9 (8.8)
Sex	Male	57	59.4
	Female	39	40.6
Child–Pugh class	A	86	89.6
	B	10	10.4
TNM stage	I	45	46.9
	II	35	36.5
	III	15	15.6
	IV	1	1.0
CLIP score	0	91	94.8
	1	5	5.2
JIS score	0	38	39.6
	1	38	39.6
	2	19	19.8

	3	1	1.0
HBs antigen	+	16	16.7
	–	80	83.3
HCV antibody	+	65	67.7
	–	31	32.3
TAE/TACE	+	31	32.3
	–	65	67.7
Esophageal varices	+	20	20.8
	–	76	79.2
Gastric varices	+	7	7.3
	–	89	92.7
Splenomegaly	+	73	76.0
	–	23	24.0
Maximum diameter	mm	20.0 (0.78)	
Number of tumors	1	69	71.9
	2	18	18.8
	3	7	7.3
	4	2	2.1
Main tumor (134)	S1	1	0.7
	S2	6	4.5
	S3	15	11.2
	S4	8	6.0
	S5	29	21.6
	S6	21	15.7
	S7	17	12.7
	S8	37	27.6
Bilateral tumors	+	12	12.5
	–	84	87.5
AFP (ng/mL)		14 (0–909)	
DCP (mAU/mL)		22 (9–2026)	
AST (U/L)		52 (20–228)	
ALT (U/L)		40 (12–270)	
γ-GTP (U/L)		47 (12–444)	

ALP (U/L)	314 (98–827)
LDH (IU/L)	232 (126–832)
ChE (IU/L)	182 (70.5)
Hb (g/dL)	12.5 (1.8)
Plt ($\square 10^3/\mu\text{L}$)	100 (35–250)
Alb (g/dL)	3.7 (0.46)
Cre (mg/dL)	0.7 (0.4–9.9)
T-Bil (IU/L)	0.9 (0.1–3.7)
NH ₃ ($\mu\text{g/dL}$)	64 (3–164)
PT% (%)	79 (14)
ICG-PDR (%/min)	10.1 (2.5–22.0)

1 Data are expressed as the median (range) or the mean (standard deviation) unless
2 otherwise indicated.

3 TNM, tumor node metastasis; CLIP, Cancer of the Liver Italian Program; JIS, Japan
4 Integrated Staging; HBs, hepatitis B surface; HCV, hepatitis C virus; TAE, transcatheter
5 arterial embolization; TACE, transcatheter arterial chemoembolization; AFP,
6 α -fetoprotein; DCP, des-gamma-carboxy prothrombin; AST, aspartate
7 aminotransferase; ALT, alanine aminotransferase; γ -GTP, γ -glutamyltranspeptidase;
8 ALP, alkaline phosphatase; LDH, lactate dehydrogenase; ChE, cholinesterase; Hb,
9 hemoglobin; Plt, platelet; Alb, albumin; Cre, creatinine; T-Bil, total bilirubin; NH₃,
10 ammonia; PT%, prothrombin activity percentage; ICG-PDR, indocyanine green plasma
11 disappearance rate.

12

13 *Statistical analyses*

14 For descriptive statistics, continuous variables are presented as mean \pm standard
15 deviation or median (range), and discrete variables are presented as frequency and
16 proportion. Normality of the distributions of continuous variables was tested by the
17 Shapiro–Wilk test. The OS among the groups was stratified by a single factor and

1 estimated by the Kaplan–Meier method. Significant differences were assessed by the
2 log-rank test. In reference to previous studies, continuous variables were converted to
3 binary variables. A Cox proportional hazards regression analysis (Cox analysis) was
4 used to select the significant and independent prognostic factors that significantly
5 affected OS with a forward stepwise regression method. Our nomogram was based on
6 the variables selected by the Cox analysis using the rms package of R version 2.14.1
7 (19,23). Nomogram accuracy was measured by Harrell’s c-index (18). Bootstraps with
8 1000 resamples were used for these activities. Student’s *t*-test was performed to
9 compare distribution of the c-index of our nomogram with that based on the CLIP and
10 JIS scores (11,12). All analyses were carried out using SPSS version 20.0 (IBM Corp,
11 Armonk, NY, USA) In all analyses, a P-value of <0.05 was considered significant.

12

1 **Results**

2 *Baseline characteristics*

3 Table 1 shows patients' baseline characteristics. The male and female distribution was
4 57 (59%) and 39 (41%), respectively, and the mean age at RFA was 69.9 ± 8.8 years.
5 The proportions of tumor, node, metastasis stage I, II, III, and IV tumors were 47%,
6 37%, 16%, and 1%, respectively, and the positivity rates for hepatitis B surface antigen
7 and hepatitis C virus were 16.7% and 67.7%, respectively. RFA treatment was
8 conducted for 134 tumors in 96 patients. The median maximum diameter was 18.6 (8.0–
9 45.0) mm. With respect to tumor markers, the median α -fetoprotein and DCP levels
10 were 14 (0–909) ng/mL and 22 (9–2026) mAU/mL, respectively. The median follow-up
11 period for all 96 patients was 46.8 months (range 1.6–137.9 months).

12

13 *Overall survival*

14 Fifty deaths were observed during the follow-up period. The estimated 3- and 5-year OS
15 rates were 71.1% and 56.1%, respectively (Fig. 1). Table 2 shows the estimated 1-, 3-,
16 and 5-year OS rates and results of log-rank tests for geographic and clinical factors. The
17 OS rate of the 54 patients ≥ 70 years of age was significantly lower than that of the 42
18 patients < 70 years of age (log-rank test, $P = 0.004$). The OS rate of 11 patients with a
19 DCP level of ≥ 200 mAu/mL was significantly lower than that of 83 patients at < 200
20 mAu/mL (log-rank test, $P = 0.001$).

21

22 **Table 2.** Estimated survival rate by Kaplan–Meier and log-rank tests

Factor	Category	Distribution	Estimated survival rate			Log-rank
			1-y (%)	3-y (%)	5-y (%)	P-value
Age (years)	≥ 70	54	93.9	61.4	42.7	0.004

	<70	42	100.0	82.4	71.0	
Sex	Male	57	98.1	75.6	62.7	0.270
	Female	39	94.9	65.4	47.2	
Child–Pugh class	A	86	97.6	73.3	58.0	0.045
	B	10	87.5	50.0	37.5	
TNM Stage	I, II	80	96.0	70.2	59.8	0.255
	III, IV	16	100.0	75.0	43.8	
CLIP score	0	91	100.0	74.3	59.6	<0.001
	1	5	80.0	0.0	0.0	
JIS score	0–1	76	95.8	70.4	61.1	0.129
	2–3	20	100.0	73.7	42.1	
HBs antigen	+	16	93.8	75.0	66.7	0.044
	–	80	97.3	70.1	53.6	
HCV antibody	+	65	98.3	72.8	55.5	0.341
	–	31	93.5	67.7	56.5	
TAE/TACE	+	31	96.6	85.4	59.8	0.577
	–	65	96.8	64.6	54.5	
Esophageal varices	+	20	94.7	55.7	31.0	0.030
	–	76	97.2	75.2	62.8	
Gastric varices	+	7	100.0	57.1	42.9	0.056
	–	89	96.4	72.4	57.3	
Splenomegaly	+	73	100.0	60.9	56.2	0.115
	–	23	95.6	74.8	55.7	
Maximal diameter	≥20 mm	35	97.1	63.3	39.7	0.016
	<20 mm	61	96.5	75.8	66.7	
Number of tumors	1	69	95.4	72.2	63.8	0.125
	≥2	27	100.0	68.4	40.2	
Bilateral tumors	+	12	100.0	81.8	54.5	0.888
	–	84	96.3	69.6	56.6	
AFP (ng/mL)	≥20	40	94.9	60.1	42.1	0.035
	<20	56	98.1	79.5	67.2	
DCP (mAU/mL)	≥200	11	90.9	40.4	15.2	0.002

	<200	83	97.5	74.8	60.6	
AST (U/L)	≥50	51	97.9	66.7	45.1	0.119
	<50	45	95.5	75.9	67.6	
ALT (U/L)	≥50	36	97.0	71.0	52.0	0.937
	<50	60	96.6	71.2	58.2	
γ-GTP (U/L)	≥50	46	97.7	72.5	60.1	0.475
	<50	50	95.8	70.0	52.6	
ALP (U/L)	≥300	52	96.0	65.1	43.7	0.081
	<300	44	97.6	79.2	72.5	
LDH (IU/L)	≥200	62	94.8	66.0	47.1	0.003
	<200	34	100.0	80.4	72.7	
ChE (IU/L)	≥200	33	96.9	83.7	71.4	0.079
	<200	63	96.6	64.4	47.8	
Hb (g/dL)	≥12.0	60	96.5	75.8	60.6	0.021
	<12.0	36	97.1	63.2	48.3	
Plt (□ 10 ³ /μL)	≥100	61	97.1	72.2	58.7	0.770
	<100	35	95.5	68.2	49.1	
Alb (g/dL)	≥3.8	45	95.5	81.1	65.1	0.297
	<3.8	51	97.9	61.3	46.8	
Cre (mg/dL)	≥0.7	59	96.4	66.9	52.5	0.344
	<0.7	37	97.2	77.3	61.3	
T-Bil (IU/L)	≥1.0	39	100.0	62.2	50.0	0.065
	<1.0	57	94.4	78.4	61.0	
NH ₃ (μg/dL)	≥60	51	97.8	76.0	61.2	0.383
	<60	45	95.5	64.9	49.5	
PT% (%)	≥70	72	97.1	72.2	58.7	0.077
	<70	24	95.5	68.2	49.1	
ICG-PDR (%/min)	≥10.0	46	95.5	80.6	68.6	0.003
	<10.0	45	100.0	66.3	47.5	

1 Data are expressed as the median (range) or the mean (standard deviation) unless

2 otherwise indicated.

3 TNM, tumor node metastasis; CLIP, Cancer of the Liver Italian Program; JIS, Japan

4 Integrated Staging; HBs, hepatitis B surface; HCV, hepatitis C virus; TAE, transcatheter

5 arterial embolization; TACE, transcatheter arterial chemoembolization; AFP,

1 α -fetoprotein; DCP, des-gamma-carboxy prothrombin; AST, aspartate
 2 aminotransferase; ALT, alanine aminotransferase; γ -GTP, γ -glutamyltranspeptidase;
 3 ALP, alkaline phosphatase; LDH, lactate dehydrogenase; ChE, cholinesterase; Hb,
 4 hemoglobin; Plt, platelet; Alb, albumin; Cre, creatinine; T-Bil, total bilirubin; NH₃,
 5 ammonia; PT%, prothrombin activity percentage; ICG-PDR, indocyanine green plasma
 6 disappearance rate.

7

8 *Multivariate analysis*

9 We performed a Cox analysis with forward stepwise regression in which all variables in
 10 the log-rank test in Table 2 were used as prognostic variable candidates. The Cox
 11 analysis indicated that age, ICG-PDR, and log(DCP) were independent and significant
 12 prognostic factors affecting the OS (Wald test: age, P = 0.003; ICG-PDR, P = 0.001;
 13 log(DCP), P = 0.002) (Table 3).

14

15 **Table 3.** Multivariate analysis of prognostic factors for overall survival

Variable	Estimated coefficient	regression SE	HR (95%CI)	P-value [†]
ICG-PDR (%/min)	-0.162	0.047	0.850 (0.776–0.932)	0.001
Age (years)	0.057	0.019	1.059 (1.019–1.100)	0.003
log(DCP) (mAu/mL)	0.329	0.108	1.389 (1.124–1.717)	0.002

16 SE, standard error of regression coefficient; HR, hazard ratio; CI, confidence interval;
 17 ICG-PDR, indocyanine green plasma disappearance rate; DCP, des-gamma-carboxy
 18 prothrombin

19 [†]Wald test

1

2 *Nomogram development and validation*

3 A prognostic nomogram was constructed based on the estimated regression coefficients
4 identified in the Cox analysis and the rms package of R version 2.14.1 (Fig. 2) (17,18).
5 The c-index of the nomogram was estimated as 0.74 ± 0.08 using 1000 data sets created
6 by the bootstrap method. The estimated c-index was found to be significantly better
7 than that of conventional staging using the CLIP score (0.54 ± 0.03 , $P < 0.001$) and JIS
8 score (0.59 ± 0.07 , $P < 0.001$). Figure 3 illustrates the calibration of this nomogram (Fig.
9 3). The vertical bars indicate 95% confidence intervals (CIs) on the 1000 bootstrap
10 analysis, and the dashed line represents the performance of an ideal nomogram.
11 Calibration appears to be accurate for the prediction. The estimated 3- and 5-year OS
12 rates were calculated using the following formulae:

$$3 \text{ years OS rate} = (0.803)^{e^{(0.057 \times \text{Age} + -0.162 \times \text{ICGPDR} + 0.329 \times \log(\text{DCP}) - 3.485)}}$$

$$5 \text{ years OS rate} = (0.626)^{e^{(0.057 \times \text{Age} + -0.162 \times \text{ICGPDR} + 0.329 \times \log(\text{DCP}) - 3.485)}}$$

13

14

1 **Discussion**

2 Nomograms are widely used to predict the cancer prognosis because of their ability to
3 estimate the probability of an event, such as death, tailored to the profile of an
4 individual patient. They are also often used to obtain patients' informed consent (24).

5 Several studies have found that age is an independent risk factor for OS after RFA
6 (7,25–27). Kao et al. concluded that younger patients with HCC had a better OS and
7 lower recurrence rate after RFA than older patients (27). Our results showed that the OS
8 rate of 54 patients aged ≥ 70 years was significantly lower than that of 42 patients aged
9 < 70 years, and that age was an independent risk factor for OS after RFA (Tables 2 and
10 3). However, previous studies have described the efficacy and safety of RFA for elderly
11 patients with HCC (28, 29). Therefore, elderly patients with HCC should be treated
12 according to the same strategy as that used for non-elderly patients.

13 In this study, log(DCP) was selected as a continuous variable for predicting the
14 prognosis. Takahashi et al. concluded that DCP was the best prognostic predictor
15 post-RFA (30). Hagiwara et al. suggested that HCC frequently infiltrated the portal vein
16 in patients with a DCP level of ≥ 100 mAU/ml (31). Asaoka et al. reported that DCP
17 level is the most useful predisposing parameter for development of vascular invasion
18 (32). They found that patients with microvascular invasion had a poor prognosis and
19 other treatment strategies should therefore be explored for such patients. In the present
20 study, the OS rate of 11 patients with a DCP level of ≥ 200 mAu/mL was significantly
21 lower than that of 83 patients at < 200 mAu/mL (Table 2). This suggests that patients
22 with a DCP level of ≥ 200 mAu/mL have a poor prognosis. We should consider the
23 possibility of microvascular invasion undetectable by pre-RFA diagnostic imaging in
24 patients with a DCP level of ≥ 200 mAu/mL. The presence of microvascular invasion

1 makes it difficult to completely cure HCC with a single RFA treatment. In such cases,
2 resection should also be considered for patients with a good liver function.

3 The ICG-PDR is correlated with the liver function and is used to determine the
4 need for liver transplantation against acute liver failure (33, 34). Kaneko et al.
5 concluded that selection criteria for hepatectomy based on the ICG-PDR are useful (35).
6 Hemming et al. and Scheingraber et al. reported similar findings (36, 37). In the present
7 study, the ICG-PDR was calculated based on three separate blood samples (5, 10, and
8 15 minutes after intravenous injection). Thus, the calculation of the ICG-PDR requires
9 collection of multiple samples, necessitating time and labor. However, a noninvasive
10 technique that monitors the ICG-PDR via a finger clip using transcutaneous pulse
11 spectrophotometry was recently developed. Several studies have reported this
12 transcutaneous measurement of the ICG-PDR to be sufficiently accurate (38, 39).
13 Furthermore, the ICG-PDR is affected by the hepatic blood flow and has several
14 associated disadvantages. For example, it tends to be low in patients with factors that
15 compromise hepatic hemodynamics, such as extrahepatic shunting, because the
16 patient's liver function is not accurately reflected (40, 41). No researchers have reported
17 the usefulness of the ICG-PDR in predicting the survival prognosis of patients with
18 HCC. Our study selected the ICG-PDR as a continuous variable by a Cox analysis ($P =$
19 0.001). In our nomogram, the ICG-PDR had the longest line, with 100 points, showing
20 it is the most useful variable for predicting the prognosis of patients with HCC
21 post-RFA. Therefore, the ICG-PDR appears to be an extremely important factor for
22 determining the treatment strategy, including recommendations for hepatectomy, and
23 we strongly recommend checking the ICG-PDR before treatment. Kaneko et al.
24 concluded that an ICG-PDR of ≥ 6.0 in patients undergoing portal resection is valid

1 because of the acceptable morbidity and mortality associated with this criterion (35).
2 We propose the same criterion ($ICG-PDR \geq 6.0$) for RFA.

3 To our knowledge, this is the first prognostic nomogram to use ICG-PDR for
4 patients with HCC. Compared with a regression formula for the precise estimation of
5 survival, nomograms are more useful in clinical settings because they provide an easily
6 accessible visual representation of the approximate estimated rate and the effect of
7 different factors on survival.

8 Certain limitations associated with the present study warrant mention. This was a
9 single-center retrospective study, and the method of selecting treatment strategies may
10 have introduced bias. We evaluated 89 patients, which is fewer than in previous studies
11 of nomograms for HCC. Because a limited number of cases were used to develop this
12 nomogram and calculate the c-index, external validation is needed.

13

14 **The authors state that they have no Conflicts of Interest (COI).**

15

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1 **Figure legends**

2 **Figure 1.** A Kaplan-Meier test for 96 patients after radiofrequency ablation. The
3 probability of 3- and 5-year overall survival after radiofrequency ablation was 71.1%
4 and 56.1%, respectively.

5

6 **Figure 2.** Nomogram predicting the probability of 3- and 5-year overall survival after
7 radiofrequency ablation. Each point can be determined by drawing a line straight
8 upward from each predictor to the point axis. Total points can be calculated by
9 summing each point. The probability of 3- and 5-year overall survival can be found by
10 drawing a line straight down from the total points axis. ICG-PDR, indocyanine green
11 plasma disappearance rate; DCP, des-gamma-carboxy prothrombin.

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13 **Figure 3.** The calibration curve of the nomogram predicting survival rate. The x-axis is
14 the prediction of the nomogram, and the y-axis is the actual survival probability by the
15 Kaplan-Meier method.

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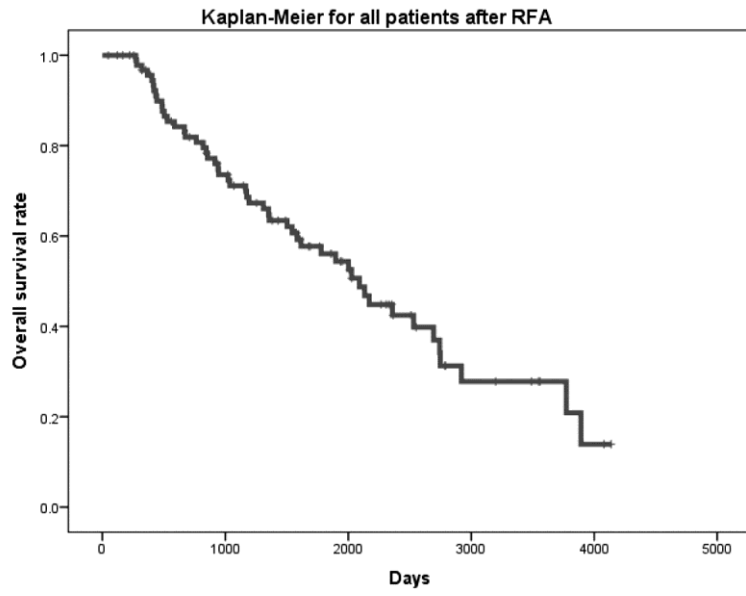
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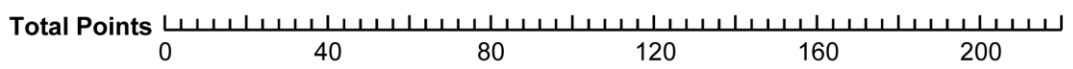
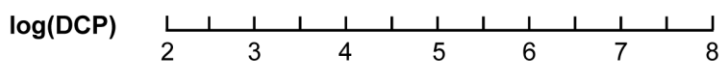
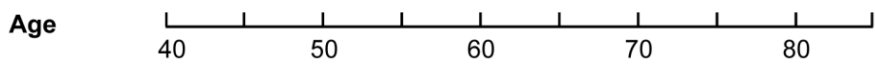
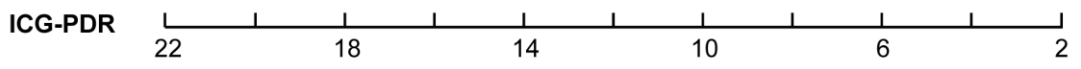
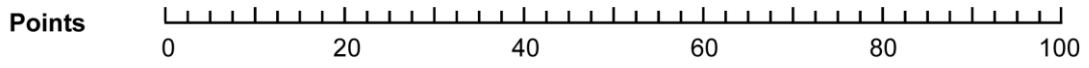
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