# Myofibroblasts of the muscle layer stimulate the malignant potential of colorectal cancer

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1 Abstract. Myofibroblasts of colorectal cancer (CRC) have been 2 associated with histopathological factors such as lymph node 3 metastasis, liver metastasis and local recurrence. However, few 4 studies have assessed the association between these malignant traits and the myofibroblast distribution in CRC. We aimed to 5 6 evaluate the relationship between clinical factors and myofibroblast distribution around CRC invasive lesions. The study 7 included 121 cases of pT3 CRC that were diagnosed at stage II 8 9 or III. Myofibroblast density of the following three histological 10 layers was measured: the submucosa (SM), muscularis propria 11 (MP) and subserosa (SS). We analyzed the relationship between the clinicopathological factors and myofibroblast 12 13 density by studying the histopathological features of the three layers. The myofibroblast density of the MP layer was signifi-14 cantly higher in the groups with high-frequency lymphatic and 15 16 venous invasion than the groups with low-frequency lymphatic (P<0.001) and venous (P<0.01) invasion, respectively. In the 17 18 positive lymph node metastasis group, the myofibroblast 19 density at the MP layer was significantly higher than that in 20 the negative lymph node metastasis group (P<0.001). The high 21 myofibroblast density group at the MP layer was significantly 22 associated with poor overall survival (P<0.003). Our study 23 indicated that myofibroblasts are a type of cancer-associated fibroblasts and that the myofibroblast distribution contributes 24 25 to the malignant potential of CRC. Furthermore, we demon-26 strated that myofibroblasts present at the MP layer play an 27 important role in the malignant potential and poor prognosis 28 of patients with CRC.

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# Abbreviations: CRC, colorectal cancer

Key words: colorectal cancer, myofibroblast, muscularis propria, prognosis

# Introduction

Colorectal cancer (CRC) is the most common malignancy of 31 the colon and rectum and the third most common cause of 32 cancer-related death among men and women worldwide (1). 33 Outcome prediction based on tumor stage reflected by the tumor 34 node metastasis (TNM) system of the Union for International 35 Cancer Control (UICC) is currently regarded as the standard 36 prognostic parameter (2,3). Venous and lymphatic vessel inva-37 sion are also important malignant factors of CRC (3-5). Both 38 lymphangiogenesis and angiogenesis also play important roles 39 40 as poor prognostic factors in tumorigenesis (6-8). In addition, the extracellular matrix (ECM) influences cancer proliferation, 41 activities of invasion and metastasis by stimulating angiogen-42 esis and lymphangiogenesis (9,10). 43

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In contrast, the relationship between CRC and myofibro-44 blasts in the tumor microenvironment has recently attracted 45 considerable attention. Myofibroblasts are not only known 46 as a principal cellular component in the granulation tissue of 47 healing wounds but are also one of the cancer stromal cells 48 that constitute the ECM (11,12). The myofibroblasts in the 49 stroma of CRC serve an important function in promoting 50 the desmoplastic reaction and influencing tumor invasion, 51 microvessel density around the invasive lesion and metastatic 52 carcinomas (13-15). Moreover, myofibroblast activation in 53 tumor metastatic lymph nodes influences the microenviron-54 ment supporting CRC metastasis (16). 55

With regard to both the tumor growth and spreading of 56 CRC, three histological layers of the colorectum, the submu-57 cosa (SM), muscularis propria (MP) and subserosa (SS), 58 may play important functions in the mechanical and physi-59 ological protection against invasive growth. MP is exclusively 60 61 composed of smooth muscle bundles and comprises tight connective tissue, whereas SM and SS are mainly composed 62 of loose connective tissue (17,18). However, it is unclear how 63 myofibroblasts are distributed around the CRC invasive border 64 of these three layers as well as how the distribution is related 65 to the malignant potential of CRC. 66

In the present study, we measured the myofibroblast density 67 of each colorectal layer using imaging analysis and investigated the association between myofibroblast distribution and 69


Figure 1. The expanding and infiltrating types of colorectal cancer, which invaded through the mucosa to the subserosa. The expanding type was recognised as the overall pushing growth type of adenocarcinoma and the invasive margin was clear (A). The infiltrating type was recognised as the widespread streaming form of adenocarcinoma (B). Histology of the expanding type (C) and the infiltrating type (D) around the tumor invasive lesion in the muscularis propria layer. M, mucosa; SM, submucosa; MP, muscularis propria; SS, subserosa.

clinicopathological factors such as lymph node metastasis and
venous invasion. Furthermore, we showed the relationship
between the myofibroblast distribution and overall survival of
patients with CRC.

### 32 Materials and methods

Patients. One hundred and twenty-one patients with advanced CRC, defined as adenocarcinoma, which had invaded the SS layer of the colorectal wall (pT3), underwent surgical resection from January 2008 to December 2009 at Hirosaki University Hospital. The clinical stages of these patients were stage II or III according to the TNM classification of the UICC (2). Survival data were obtained from hospital medical charts. Cancer-specific survival was measured from the date of surgery until the date of death from CRC. None of the patients were treated with neoadjuvant chemotherapy, and none of them had synchronous multiple CRCs or synchronous metastasis to other organs.

Pathological analysis. We used surgically resected specimens that were fixed with 10% formalin, embedded in paraffin and stained with hematoxylin and eosin (H&E) for pathological evaluation. Degrees of lymphatic vessel invasion were classi-fied as 0, no invasion; 1, mild invasion; 2, moderate invasion and 3, severe invasion. The modes of invasive growth pattern were classified into two groups, namely expanding type, the overall pushing growth type of adenocarcinoma with a clear invasive margin; and infiltrating type, a widespread streaming form of adenocarcinoma with an unclear borderline of the invasive front (Fig. 1). To evaluate the myofibroblast distribu-tion of each case, we selected the paraffin-embedded specimen that showed three invasive lesions in each histological layer (SM, MP and SS) as diagnosed by H&E staining (Fig. 2).

Immunohistochemistry. For immunohistochemical examina-tion concerning the myofibroblast distribution of each case, the paraffin-embedded specimen which was described in 'Pathological analysis' was a representative specimen of each case, and we used serial  $4-\mu m$  sections for the immunohistochemical analysis. The sections were mounted on saline-coated glass slides. The antibodies used included  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA, 1:100, clone 1A4) and desmin (1:100, clone D-33) (both from Dako, Glostrup, Denmark). Immunostaining for  $\alpha$ -SMA and desmin was performed using the standard avidin-biotin-peroxidase complex method with an automated immunostainer (Benchmark XT; Ventana Medical System, Tucson, AZ, USA). The signature characteristic of myofibroblasts is an  $\alpha$ -SMA-positive and desmin-negative 100 pattern, whereas that of smooth muscle is an  $\alpha$ -SMA-positive 101 and desmin-positive pattern.

Image analysis. We used imaging analysis to investigate the 104 myofibroblast density. All cases had an invasive lesion of the 105 three colorectal walls: SM, MP and SS. To obtain the images, we 106 used an Olympus microscope BX50 with a U PlanApo objec- 107 tive lens (x4 magnification), DP Control software and a DP-70 108 digital camera (all from Olympus, Tokyo, Japan). We applied 109 ImageJ software (National Institutes of Health, Bethesda, 110 MD, USA) to view and analyze our obtained images (19). We 111 captured images of  $\alpha$ -SMA and desmin (Fig. 3A and D), and 112 these images were binarised (Fig. 3B and E). The binarised 113 images showed that the positively and negatively immunos- 114 tained lesions were black and white, respectively. We made a 115 subtraction image by pasting the binarised images of desmin 116 onto the binarised images of  $\alpha$ -SMA using the subtraction 117 mode in ImageJ software (Fig. 3F). The subtraction images 118 were shown as the value of  $\alpha$ -SMA minus that of desmin, 119 and we could interpret the subtraction images showing myofi- 120



broblasts in the representative sections of each case. From all 121 cases, we obtained subtraction images of the three colorectal wall layers (SM, MP and SS) and measured the myofibroblast density in 1x1 mm<sup>2</sup> areas in the invasive border of each layer. We selected a hot spot myofibroblast density area from each invasive layer. 

Statistical analysis. All values are presented as the means ± standard error of the mean. Chi-square tests were performed for non-continuous variables, while the Mann-Whitney test and Welch t-tests were used for comparing 111 continuous variables. Survival curves were constructed using 112 the Kaplan-Meier method, and differences in survival were 113 evaluated using the log-rank test. The relative prognostic 114 factors were analysed with a Cox proportional hazards 115 regression model. Differences were considered as statistically 116 significant if the P-value was <0.05. Statistical analysis was 117 performed with R (http://www.r-project.org) and Microsoft 118 Excel software (Microsoft Corporation, Redmond, WA, 119 USA). 

Table I. Histopathological characteristics of the 121 cases.

Variables	No. of patients	
Age in years, median (range)	67.4 (26-93)	
Gender		
Male	66	
Female	55	
Location		
Colon	77	
Rectum	44	
Histological type		
Well, mod	110	
Por, muc	11	
Invasive type		
Expanding	57	
Infiltrating	64	
Lymphatic invasion		
Low (ly0 or ly1)	80	
High (ly2 or ly3)	41	
Venous invasion		
Low (v0 or v1)	90	
High (v2 or v3)	31	
Lymph node metastasis		
Negative	64	
Positive	57	

31 Well, well-differentiated adenocarcinoma; mod, moderately differ-32 entiated adenocarcinoma; por, poorly differentiated adenocarcinoma; muc, mucinous adenocarcinoma; ly, lymphatic invasion; v, venous 33 invasion. 34

#### 38 Results

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39 40 *Clinicopathological characteristics*. The clinicopathological characteristics of the 121 CRC cases are summarised in 41 Table I. The series consisted of 66 men and 55 women, with a 42 median age of 67.5 years (range, 26-93 years). The carcinomas 43 were located in the colon (77 cases) and rectum (44 cases). 44 45 One hundred and ten carcinomas were diagnosed as well and moderately differentiated adenocarcinoma, and 11 carcinomas 46 were diagnosed as poorly differentiated and mucinous adeno-47 carcinoma. In terms of the CRC invasive pattern, 57 cases 48 were the expanding type, and 64 cases were the infiltrating 49 50 type. Eighty cases and 41 cases had low and high degrees 51 of lymphatic invasion, respectively. In contrast, the numbers of cases with low and high degrees of venous invasion were 52 53 90 and 31 cases, respectively. Furthermore, the numbers of 54 cases with negative and positive lymph nodes were 64 and 55 57 cases, respectively.

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57 Myofibroblast distribution in the invasive lesion at each 58 colorectal wall stratified by expanding type vs. infiltrating 59 type. We measured the myofibroblast density around the inva-60 sive front of each layer (SM, MP and SS) for the expanding and infiltrating types (Fig. 4A). In 57 cases of the expanding type, 61 the mean myofibroblast densities for each wall of the inva-62 sive lesion were 11.03±0.88% (SM), 11.62±0.50% (MP) and 63 19.24±1.34% (SS). Meanwhile, in 64 cases of the infiltrating 64 type, the mean myofibroblast densities were  $13.60\pm0.79\%$ 65 (SM), 20.52±0.62% (MP) and 22.40±1.07% (SS). Significantly 66 67 more myofibroblasts were located around these three invasive layers in the infiltrating type than the expanding type (P < 0.05). 68 69

Association between the distributions of myofibroblast density 70 and lymphatic vessel invasion. To investigate the association 71 between the myofibroblast distribution and the degree of 72 lymphatic vessel invasion, we stratified the 121 cases of CRC 73 into either a low lymphatic vessel invasion (ly0 and ly1) group 74 or a high lymphatic vessel invasion (ly2 and ly3) group. We 75 analysed the myofibroblast distribution around the invasive 76 front of each layer (Fig. 4B). The mean myofibroblast densities 77 in the three layers within the low lymphatic vessel invasion 78 group (n=80) were 11.73±0.77% (SM), 13.89±0.57% (MP) and 79 19.99±1.06% (SS). In contrast, the mean myofibroblast densi-80 ties in the high lymphatic vessel invasion group (n=41) were 81 14.68±1.39% (SM), 21.48±1.05% (MP) and 24.76±1.86% (SS). 82 The myofibroblast density was significantly higher in the group 83 with high degree lymphatic vessel invasion than that noted in 84 the group with low degree lymphatic vessel invasion in the MP 85 layer (P<0.001) and the SS layer (P=0.04), respectively. On the 86 other hand, there was no significant difference between the 87 low and high venous vessel invasion group in regards to the 88 89 myofibroblast density of the SM layer (P=0.103).

91 Association between the myofibroblast density distribution and venous vessel invasion. To investigate the association 92 between the myofibroblast distribution and the degree of venous 93 94 vessel invasion, we stratified the 121 cases into a low venous vessel invasion (v1 and v2) group and a high venous vessel 95 invasion (v2 and v3) group and analysed the myofibroblast 96 distribution around the invasive front of each layer (Fig. 4C). 97 The mean myofibroblast densities in the low venous invasion 98 group (n=90) were 11.99±0.79% (SM), 15.40±0.70% (MP) 99 and 21.54±1.10% (SS), while the mean myofibroblast densities 100 in the high venous invasion group (n=31) were 14.85±1.47% 101 (SM), 19.54±1.09% (MP) and 24.70±1.91% (SS). There was 102 a significant difference in the myofibroblast density of the 103 MP layer between the low and high venous invasion groups 104 (P<0.01). There were not significant differences between the 105 two groups in regards to the myofibroblast density of the SM 106 107 layer (P=0.07) and SS layer (P=0.06).

Association between the myofibroblast distribution and 109 lymph node metastasis. We stratified the 121 CRC cases into a 110 lymph node metastasis-negative group and -positive group and 111 investigated the myofibroblast distribution of the three invasive 112 walls (Fig. 4D). The mean myofibroblast densities of the three 113 walls within the lymph node metastasis-negative group (n=64) 114 were 12.24±0.98% (SM), 14.12±0.63% (MP) and 20.73±1.16% 115 (SS). The mean myofibroblast densities in the lymph node 116 metastasis-positive group (n=57) were 13.28±1.01% (SM), 117 19.01±0.97% (MP) and 22.61±1.56% (SS). The lymph 118 node-positive group had higher myofibroblast densities for 119 all of the invasive layers than the lymph node-negative group. 120

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Figure 4. The mean myofibroblast density in each invasive level of colorectal cancer. The association between the invasive type and myofibroblast distribution (A). The association between the lymphatic invasion and myofibroblast distribution (B). The association between venous invasion and myofibroblast distribution (C). The association between lymph node metastasis and myofibroblast distribution (D). Values are given as the mean  $\pm$  standard error of the mean. \*P<0.05, \*\*P<0.01 and \*\*\*P<0.001. ns, not significant. SM, submucosa; MP, muscularis propria; SS, subserosa.



Figure 5. The association between the myofibroblast density of each invasive wall level and overall survival of patients with colorectal cancer. There was no significant difference between the high and low myofibroblast density groups in both the SM and SS invasive wall levels (A and C). There was a significant difference between the high and low myofibroblast density groups in the MP invasive wall level (B). SM, submucosa; MP, muscularis propria; SS, subserosa.

Furthermore, there was a significant difference between the lymph node metastasis-positive and -negative groups relating to the myofibroblast density of the MP layer (P<0.001). There were no significant differences between the two groups in regards to the myofibroblast density of the SM layer (P=0.33) and the SS layer (P=0.35).

Association between the myofibroblast density distribution 115 and patient overall survival. To investigate the association 116 between the myofibroblast distribution and overall survival, we 117 stratified the 121 cases of CRC into either a low myofibroblast 118 density group or a high density group in each invasive layer and 119 compared the high and low groups regarding the overall survival 120

Variables	n (%)	Univariate analysis P-value	Multivariate analysis P-value
SM myofibroblast		0.728	-
Low	61 (50 5)		
High	60 (49.5)		
MP myofibroblast			
density		0.025	0.332
Low	61 (50.5)		
High	60 (49.5)		
SS myofibroblast			
density		0.303	-
Low	61 (50.5)		
High	60 (49.5)		
Histological type		0.998	-
Well, mod	110 (90.9)		
Por, muc	11 (9.1)		
Invasive type		0.027	0.488
Expanding	57 (47.1)		
Infiltrating	64 (52.9)		
Lymphatic invasion		0.028	0.258
Low (ly0 or ly1)	80 (66.1)		
High (ly2 or ly3)	41 (33.9)		
Venous invasion		0.392	-
Low (v0 or v1)	90 (74.4)		
High (v2 or v3)	31 (25.6)		
Lymph node			
metastasis		0.319	-
Negative	64 (52.9)		
Positive	57 (47.1)		

Table II. Univariate and multivariate analyses of prognostic factors of survival.

40 SM, submucosa; MP, muscularis propria; SS, subserosa; well, well-differentiated adenocarcinoma; mod, moderately differentiated 41 adenocarcinoma; por, poorly differentiated adenocarcinoma; muc, 42 mucinous adenocarcinoma. Mode of invasive type, as described in 43 Materials and methods: ly, lymphatic invasion; v, venous invasion. 44

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of the patients. The cut-off point between the two groups was set 48 at the median value of the myofibroblast density in each inva-49 50 sive layer; the median values of the myofibroblast density were 11.52% in the SM layer, 16.19% in the MP layer and 21.48% in 51 52 the SS layer. In only the MP level, but not the SM and SS layers, 53 patients with high myofibroblast densities showed a signifi-54 cantly reduced overall survival (P<0.003; Fig. 5). To clarify the 55 potential indicators, we analysed various pathological factors that were recorded in this study (Table II). Univariate analysis 56 57 revealed that the following factors were correlated with poor 58 prognosis: myofibroblast density of MP [relative risk (RR), 59 10.504; 95% confidence interval (CI), 1.344-82.09; P=0.025], 60 invasive type (RR, 10.190; 95% CI, 1.302-79.75; P=0.027) and lymphatic invasion (RR, 4.4291; 95% CI, 1.175-16.7; P=0.028). 61 In the multivariate analysis, there was no significant difference 62 among the myofibroblast density of the MP layer, the invasive 63 type and lymphatic invasion. 64

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# Discussion

In the present study, we evaluated the association between 68 clinicopathological characteristics of CRC and the myofibro-69 blast distribution of three invasive layers using image analysis. 70 We revealed that the myofibroblast density of MP plays an 71 important role in CRC malignant behaviors, such as lymphatic 72 73 invasion, venous invasion and lymph node metastasis, which can result in short overall survival of the patients. 74

75 We found that as the invasion of the CRC became deeper, the number of myofibroblasts increased around the invasive lesions, 76 and the infiltrating growth type had a significantly higher 77 density of myofibroblasts than that noted in the expanding type. 78 Previous studies identified that the infiltrating type of CRC 79 carries a high risk of liver metastasis and a worse prognosis 80 compared with the expanding type (20-22). Myofibroblasts are 81 a type of cancer-associated fibroblasts (CAFs) and are involved 82 in desmoplastic reactions (23). CAFs actively associate with 83 neoplastic cells and form the ECM of cancer lesions that 84 promote cancer growth, angiogenesis and survival (24). CAFs 85 interact with adjacent cancer cells through soluble factors or 86 direct cell-cell adhesion to promote cancer cell invasion (25). 87 In malignancy of CRC, myofibroblasts also promote CRC 88 invasion and metastasis as they proliferate around the invasive 89 lesion and alter the adhesive and migratory properties of CRC 90 91 cells (15,26). A previous study showed that myofibroblasts co-cultured with CRC cells may be involved in the invasive-92 ness of CRC, even when the expression of E-cadherin, which 93 is understood to be an adhesion molecule, prevents tumor 94 cell invasiveness in vitro (27). Therefore, we suggest that it is 95 possible that the large quantity of myofibroblasts which play a 96 role as CAFs may alter both the adhesive and migratory prop-97 erties of CRC cells and consequently aid CRC invasion into 98 the deep colorectal layers. Moreover, our study indicated that 99 the association between the infiltrating type, which is regarded 100 as a malignant factor and myofibroblasts is stronger than the 101 association between the expanding type and myofibroblasts. 102

Our results showed that the myofibroblast density of the 103 MP layer was significantly higher in the group with a high 104 frequency of lymphatic vessel and venous invasion compared 105 with that in the group with a low frequency of lymphatic vessel 106 and venous invasion. Furthermore, the lymph node-positive 107 group had a significantly higher myofibroblast density in the 108 MP layer than that of the lymph node-negative group. The 109 lymphatic and venous vessels exist in three colorectal layers 110 (SM, MP and SS), despite the differences in their histological 111 structures. The distribution of lymphatic and venous vessels in 112 normal colonic tissue tends to increase in frequency with depth 113 throughout the wall (28). The functions of  $\alpha$ -SMA-positive 114 myofibroblasts may be associated with promoting the ECM 115 of tumor cells and lymphogenesis of the metastatic micro- 116 environment in oral tongue squamous cell carcinoma (29). 117 With respect to CRC, proliferation of myofibroblasts in the 118 peri-tumoral areas was predicted to play an important role in 119 lymphangiogenesis and was also found to be associated with 120

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lymph node metastasis (15). A previous study indicated that 1 2 the CRC-invading MP layer may result in a greater ability to induce angiogenesis in adjacent normal tissue (30). Another 3 4 study showed that the morphological mode of tumor invasion 5 in the MP layer was associated with hematogenous metastasis 6 of CRC (31). Our study predicted that compared to myofi-7 broblasts of the other layers, myofibroblasts of the MP layer 8 change the morphological mode of tumor invasion in CRC and 0 increase the number of lymphatic and venous vessels that are 10 invaded by CRC cells. Therefore, myofibroblasts of the MP layer are associated with the malignant potential of CRC, 11 12 including lymph node metastasis.

13 The results of the univariate analysis revealed that myofi-14 broblasts in the MP layer were significantly correlated with poor patient prognosis; however, the multivariate analysis using 15 Cox proportional hazards model showed that a high myofibro-16 blast density of MP was not an independent prognostic factor 17 for overall survival. We suspected that the reason for this was 18 that myofibroblasts of the MP layer may be strongly associated 19 with the invasive growth pattern and lymphatic invasion. 20

In conclusion, we revealed that the myofibroblast distribution contributes to the malignant potential of CRC. Furthermore, we showed that myofibroblasts around the MP layer play an important role in the malignant potential and poor prognosis of CRC patients.

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