A NEW METHOD TO OBTAIN THE OPTIMAL POLARIZATION STATES IN THE CO-POLARIZED CHANNEL

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1. Introduction

As regards the characteristic polarization states of a radar target for the completely polarized wave case, Boerner et al. [1],[2] have already derived eight characteristic polarization states based on the polarization transformation ratio, for which a radar receives optimum power. These states are two co-polarization maximums (CO-POL Maxs), two co-polarization nulls (CO-POL Nulls), two cross-polarization maximums (X-POL Maxs), two cross-polarization nulls (X-POL Mins), and two cross-polarization saddles (X-POL Saddles). Since the pair of X-POL Nulls and CO-POL Maxs is identical, there exists a total of eight physical characteristic polarization states. To obtain the CO-POL Maxs and CO-POL Nulls, some authors used the Lagrangian multiplier method and then solved nonlinear equations. So, it is not easy to obtain the characteristic polarization states in the co-polarized radar channel.

In this paper, a new method is provided to obtain the CO-POL Maxs and CO-POL Nulls very easily for the symmetric/asymmetric scattering matrix case. By use of this method, the formula of the CO-POL Maxs is presented. Finally, one numerical example is given, showing the results are identical with [2], [7].

2. The Formula for CO-POL Maxs

Let

$$T = \begin{bmatrix} t_1 & t_2 \\ t_2 & t_3 \end{bmatrix} \tag{1}$$

denote a scattering matrix of a radar target, \vec{a} denote the polarization state of the transmitter as given by

$$\vec{a} = \left[\begin{array}{c} a_1 \\ a_2 \end{array} \right]$$

and $\|\vec{a}\|=1$. Then the received power in the co-polarized radar channel can be expressed as

$$P = \left| T \vec{a} \cdot \vec{a} \right|^2 \tag{2}$$

Using Cauchy-Schwarz inequality, we know from (2) that P will be maximal if and only if

$$\vec{a} = (T\vec{a})^* / \|T\vec{a}\| \tag{3}$$

where * denotes conjugation. Substituting (3) into (2), we have

$$P = \|T\vec{a}\|^2 = T^{\dagger}T\vec{a}\cdot\vec{a}^{\dagger} \tag{4}$$

where † denotes conjugate transpose.

Let $T_p = T^{\dagger}T$, then the matrix is so-called power matrix [3]. From (1), we have

$$T_{p} = \begin{bmatrix} |t_{1}|^{2} + |t_{2}|^{2} & t_{2}t_{1}^{*} + t_{3}t_{2}^{*} \\ t_{1}t_{2}^{*} + t_{2}t_{3}^{*} & |t_{2}|^{2} + |t_{3}|^{2} \end{bmatrix}$$
 (5)

It can be proved easily that

$$T_{p} = \frac{1}{2} \left(\left| t_{1} \right|^{2} + 2 \left| t_{2} \right|^{2} + \left| t_{3} \right|^{2} \right) I - i \operatorname{Re} \left(t_{1} t_{2}^{*} + t_{2} t_{3}^{*} \right) K + \frac{1}{2} i \left(\left| t_{1} \right|^{2} - \left| t_{3} \right|^{2} \right) L + i \operatorname{Im} \left(t_{1} t_{2}^{*} + t_{2} t_{3}^{*} \right) J$$
(6)

where I, J, K and L are Pauli matrices [4], and

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \qquad J = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$
$$K = \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} \qquad L = \begin{bmatrix} -i & 0 \\ 0 & i \end{bmatrix}$$

Let

$$\vec{g} = (1, g_1, g_2, g_3)^t \tag{7}$$

denotes the Stokes vector of \vec{a} , where t denotes transpose, then we know

$$g_1 = iL\vec{a} \cdot \vec{a} \quad , \quad g_2 = -iK\vec{a} \cdot \vec{a} \quad , \quad g_3 = iJ\vec{a} \cdot \vec{a}$$
 (8)
Substituting (5)~(8) into (4), we can obtain

$$P = \frac{1}{2} \left(\left| t_{1} \right|^{2} + 2 \left| t_{2} \right|^{2} + \left| t_{3} \right|^{2} \right) + \frac{1}{2} \left(\left| t_{1} \right|^{2} - \left| t_{3} \right|^{2} \right) g_{1}$$

$$+ \operatorname{Re} \left(t_{1} t_{2}^{*} + t_{2} t_{3}^{*} \right) g_{2} + \operatorname{Im} \left(t_{1} t_{2}^{*} + t_{2} t_{3}^{*} \right) g_{3}$$

$$= \frac{1}{2} \left(\left| t_{1} \right|^{2} + 2 \left| t_{2} \right|^{2} + \left| t_{3} \right|^{2} \right) + v_{1} g_{1} + v_{2} g_{2} + v_{3} g_{3}$$

$$(9)$$

where

$$v_{1} = \frac{1}{2} (|t_{1}|^{2} - |t_{3}|^{2}) \qquad v_{2} = \text{Re}(t_{1}t_{2}^{*} + t_{2}t_{3}^{*})$$

$$v_{3} = \text{Im}(t_{1}t_{2}^{*} + t_{2}t_{3}^{*}) \qquad v = \sqrt{v_{1}^{2} + v_{2}^{2} + v_{1}^{2}}$$
(10)

From (9), we know that P will be maximal if

$$g_i = \frac{v_i}{v}$$
 $i = 1, 2, 3$ (11)

Notice that, if \vec{g} denotes one state of the CO-POL Maxs, the other one is So, the CO-POL Maxs are $(1, -g_1, -g_2, -g_3)^t$

$$(1, -g_1, -g_2, -g_3)^t$$

$$\vec{g} = \left(1, \pm v_1/v, \pm v_2/v, \pm v_3/v\right)^t \tag{12}$$

where v_i and v are given by (10).

Note that for the asymmetric scattering matrix (denoted by S) case, the power expression in the co-polarized radar channel remains the same if the scattering matrix S is replaced by a symmetric scattering matrix $T = (S + S^{\tau})/2$. In this way, we can also obtain the CO-POL Maxs by the above method.

3. The method for obtaining the CO-POL Nulls

Let S denote a scattering matrix of a radar target, where S may be a asymmetric matrix. From (2), we know that P = 0 if and only if

$$S\vec{a} = \lambda \begin{bmatrix} a_2 \\ -a_1 \end{bmatrix} = \lambda \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \vec{a}$$

or

$$\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} S \vec{a} = \lambda \vec{a} \tag{13}$$

Obviously, this eigenvalue problem can be solved easily. The two eigenvectors are so-called formulations of the CO-POL Nulls.

4. Numerical Example

If a scattering matrix is

$$T = \begin{bmatrix} 2i & \frac{1}{2} \\ \frac{1}{2} & -i \end{bmatrix}$$

Using the formula (12), we obtain the two CO-POL Maxs (expressed by Stokes vectors) are

$$\vec{g} = (1, \pm \frac{\sqrt{2}}{2}, 0, \pm \frac{\sqrt{2}}{2})^t$$

From (13), we can obtain the two CO-POL Nulls are

$$\vec{a} = (i, -\frac{1}{2} \pm \frac{\sqrt{7}}{2}i)^{t}$$

or (expressed by Stokes vectors)

$$\vec{g} = (1, -\frac{1}{3}, \pm \frac{\sqrt{7}}{3}, -\frac{1}{3})^{t}$$

These results are identical with [6][7]. Fig.1 shows the CO-POL Maxs and CO-POL Nulls on the Poincaré sphere.

5. Conclusion

In this paper, a new method is provided for obtaining the characteristic polarization states in the co-polarized channel. It is pointed out that, the CO-POL Maxs can be obtained very easily by our formula. Finally, one numerical example is given, and the results are identical with [2].[7]. This shows the validity of our formula.

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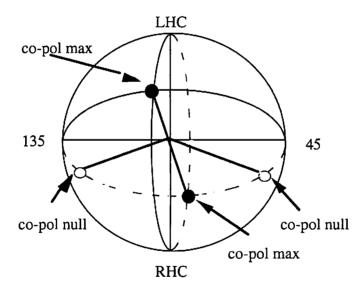


Fig.1 The CO-POL Maxs and CO-POL Nulls on the Poincaré sphere