

Search for nucleon decay via modes favored by supersymmetric grand unification models in Super-Kamiokande-I

K. Kobayashi,¹ M. Earl,^{2,*} Y. Ashie,³ J. Hosaka,³ K. Ishihara,³ Y. Itow,³ J. Kameda,³ Y. Koshio,³ A. Minamino,³ C. Mitsuda,³ M. Miura,³ S. Moriyama,³ M. Nakahata,³ T. Namba,³ R. Nambu,³ Y. Obayashi,³ M. Shiozawa,³ Y. Suzuki,³ Y. Takeuchi,³ K. Taki,³ S. Yamada,³ M. Ishitsuka,⁴ T. Kajita,⁴ K. Kaneyuki,⁴ S. Nakayama,⁴ A. Okada,⁴ K. Okumura,⁴ T. Ooyabu,⁴ C. Saji,⁴ Y. Takenaga,⁴ S. Desai,² E. Kearns,² S. Likhoded,² J. L. Stone,² L. R. Sulak,² W. Wang,² M. Goldhaber,⁵ D. Casper,⁶ J. P. Cravens,⁶ W. Gajewski,⁶ W. R. Kropp,⁶ D. W. Liu,⁶ S. Mine,⁶ M. B. Smy,⁶ H. W. Sobel,⁶ C. W. Sterner,⁶ M. R. Vagins,⁶ K. S. Ganezer,⁷ J. E. Hill,⁷ W. E. Keig,⁷ J. S. Jang,⁸ J. Y. Kim,⁸ I. T. Lim,⁸ K. Scholberg,⁹ C. W. Walter,⁹ R. W. Ellsworth,¹⁰ S. Tasaka,¹¹ G. Guillian,¹² A. Kibayashi,¹² J. G. Learned,¹² S. Matsuno,¹² D. Takemori,¹² M. D. Messier,¹³ Y. Hayato,¹⁴ A. K. Ichikawa,¹⁴ T. Ishida,¹⁴ T. Ishii,¹⁴ T. Iwashita,¹⁴ T. Kobayashi,¹⁴ T. Maruyama,^{14,†} K. Nakamura,¹⁴ K. Nitta,¹⁴ Y. Oyama,¹⁴ M. Sakuda,^{14,‡} Y. Totsuka,¹⁴ A. T. Suzuki,¹⁵ M. Hasegawa,¹⁶ K. Hayashi,¹⁶ I. Kato,¹⁶ H. Maesaka,¹⁶ T. Morita,¹⁶ T. Nakadaira,¹⁶ T. Nakaya,¹⁶ K. Nishikawa,¹⁶ T. Sasaki,¹⁶ S. Ueda,¹⁶ S. Yamamoto,¹⁶ M. Yokoyama,¹⁶ T. J. Haines,^{17,6} S. Dazeley,¹⁸ S. Hatakeyama,¹⁸ R. Svoboda,¹⁸ E. Blaufuss,¹⁹ J. A. Goodman,¹⁹ G. W. Sullivan,¹⁹ D. Turcan,¹⁹ A. Habig,²⁰ Y. Fukuda,²¹ C. K. Jung,¹ T. Kato,¹ M. Malek,¹ C. Mauger,¹ C. McGrew,¹ A. Sarrat,¹ E. Sharkey,¹ C. Yanagisawa,¹ T. Toshito,²² K. Miyano,²³ N. Tamura,²³ J. Ishii,²⁴ Y. Kuno,²⁴ M. Yoshida,²⁴ S. B. Kim,²⁵ J. Yoo,²⁵ H. Okazawa,²⁶ T. Ishizuka,²⁷ Y. Choi,²⁸ H. K. Seo,²⁸ Y. Gando,²⁹ T. Hasegawa,²⁹ K. Inoue,²⁹ J. Shirai,²⁹ A. Suzuki,²⁹ M. Koshihara,³⁰ Y. Nakajima,³¹ K. Nishijima,³¹ T. Harada,³² H. Ishino,³² Y. Watanabe,³² D. Kielczewska,^{33,6} J. Zalipska,³³ H. G. Berns,³⁴ R. Gran,³⁴ K. K. Shiraishi,³⁴ A. Stachyra,³⁴ K. Washburn,³⁴ and R. J. Wilkes³⁴

(Super-Kamiokande Collaboration)

¹Department of Physics and Astronomy, State University of New York, Stony Brook, New York 11794-3800, USA

²Department of Physics, Boston University, Boston, Massachusetts 02215, USA

³Kamioka Observatory, Institute for Cosmic Ray Research, University of Tokyo, Kamioka, Gifu, 506-1205, Japan

⁴Research Center for Cosmic Neutrinos, Institute for Cosmic Ray Research, University of Tokyo, Kashiwa, Chiba 277-8582, Japan

⁵Physics Department, Brookhaven National Laboratory, Upton, New York 11973, USA

⁶Department of Physics and Astronomy, University of California, Irvine, Irvine, California 92697-4575, USA

⁷Department of Physics, California State University, Dominguez Hills, Carson, California 90747, USA

⁸Department of Physics, Chonnam National University, Kwangju 500-757, Korea

⁹Department of Physics, Duke University, Durham, North Carolina 27708 USA

¹⁰Department of Physics, George Mason University, Fairfax, Virginia 22030, USA

¹¹Department of Physics, Gifu University, Gifu, Gifu 501-1193, Japan

¹²Department of Physics and Astronomy, University of Hawaii, Honolulu, Hawaii 96822, USA

¹³Department of Physics, Indiana University, Bloomington, Indiana 47405-7105, USA

¹⁴High Energy Accelerator Research Organization (KEK), Tsukuba, Ibaraki 305-0801, Japan

¹⁵Department of Physics, Kobe University, Kobe, Hyogo 657-8501, Japan

¹⁶Department of Physics, Kyoto University, Kyoto 606-8502, Japan

¹⁷Physics Division, P-23, Los Alamos National Laboratory, Los Alamos, New Mexico 87544, USA

¹⁸Department of Physics and Astronomy, Louisiana State University, Baton Rouge, Louisiana 70803, USA

¹⁹Department of Physics, University of Maryland, College Park, Maryland 20742, USA

²⁰Department of Physics, University of Minnesota, Duluth, Minnesota 55812-2496, USA

²¹Department of Physics, Miyagi University of Education, Sendai, Miyagi 980-0845, Japan

²²Department of Physics, Nagoya University, Nagoya, Aichi 464-8602, Japan

²³Department of Physics, Niigata University, Niigata, Niigata 950-2181, Japan

²⁴Department of Physics, Osaka University, Toyonaka, Osaka 560-0043, Japan

²⁵Department of Physics, Seoul National University, Seoul 151-742, Korea

²⁶International and Cultural Studies, Shizuoka Seika College, Yaizu, Shizuoka 425-8611, Japan

²⁷Department of Systems Engineering, Shizuoka University, Hamamatsu, Shizuoka 432-8561, Japan

²⁸Department of Physics, Sungkyunkwan University, Suwon 440-746, Korea

²⁹Research Center for Neutrino Science, Tohoku University, Sendai, Miyagi 980-8578, Japan

³⁰University of Tokyo, Tokyo 113-0033, Japan

³¹Department of Physics, Tokai University, Hiratsuka, Kanagawa 259-1292, Japan

³²*Department of Physics, Tokyo Institute for Technology, Meguro, Tokyo 152-8551, Japan*³³*Institute of Experimental Physics, Warsaw University, 00-681 Warsaw, Poland*³⁴*Department of Physics, University of Washington, Seattle, Washington 98195-1560, USA*

(Received 15 February 2005; published 22 September 2005)

We report the results for nucleon decay searches via modes favored by supersymmetric grand unified models in Super-Kamiokande. Using 1489 days of full Super-Kamiokande-I data, we searched for $p \rightarrow \bar{\nu}K^+$, $n \rightarrow \bar{\nu}K^0$, $p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ modes. We found no evidence for nucleon decay in any of these modes. We set lower limits of partial nucleon lifetime 2.3×10^{33} , 1.3×10^{32} , 1.3×10^{33} , and 1.0×10^{33} years at 90% confidence level for $p \rightarrow \bar{\nu}K^+$, $n \rightarrow \bar{\nu}K^0$, $p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ modes, respectively. These results give a strong constraint on supersymmetric grand unification models.

DOI: [10.1103/PhysRevD.72.052007](https://doi.org/10.1103/PhysRevD.72.052007)

PACS numbers: 13.30.-a, 12.60.Jv, 11.30.Fs, 29.40.Ka

I. INTRODUCTION

Grand unified theories (GUTs) [1,2] seek to unify the strong and electroweak forces. They are motivated by the apparent merging of the coupling constants of the strong, weak, and electromagnetic forces at a large energy scale ($\sim 10^{16}$ GeV) when low energy measurements are extrapolated. One of the generic predictions of GUTs is the instability of the proton, as well as neutrons bound inside the nucleus. The experimental observation of nucleon decay would provide a strong evidence of GUTs.

In GUTs, nucleon decay can proceed via an exchange of a massive boson between two quarks in a proton or in a bound neutron. In this reaction, one quark transforms into a lepton and another into an antiquark which binds with a spectator quark creating a meson. The favored decay mode in the prototypical GUT [2] based on a SU(5) symmetry [“minimal SU(5)”] is $p \rightarrow e^+ \pi^0$. For this decay, the proton lifetime scales as $\sim M_X^4$, where M_X is the mass of the heavy vector gauge boson. In minimal SU(5), M_X is on the order of the coupling unification at 10^{15} GeV/ c^2 , yielding a predicted proton lifetime of $\tau/B(p \rightarrow e^+ \pi^0) \sim 10^{29 \pm 2}$ years. The first generation large water Cherenkov detector experiments [3,4], motivated by this prediction, observed no evidence of proton decay in this mode and ruled out the model. Also, the recent result by the Super-Kamiokande experiment extended the previous results [5]. It turns out that this contradiction of SU(5) with the experimental proton decay limit can be resolved by incorporating supersymmetry (SUSY) in the theories.

Supersymmetry postulates that, for every standard model particle, there is a corresponding “superpartner” with spin differing by 1/2 unit [6]. The additional particles stabilize the renormalization of the Higgs boson and address the so-called “hierarchy problem.” When one incorporates the superpartners into the calculation of the running of the coupling constants, the convergence of the

coupling constants occurs at a unification scale about 1 order of magnitude larger than that of minimal SU(5). Since the proton decay rate via $p \rightarrow e^+ \pi^0$ scales as M_X^{-4} , this leads to a suppression of about 4 orders of magnitude in the rate, consistent with experimental non-observation of $p \rightarrow e^+ \pi^0$. Furthermore, while in the minimal SU(5) model, the three coupling constants do not quite meet at a single point within 3 standard deviations, they meet together at a single point in the minimal SUSY SU(5) model [7].

However, in many SUSY GUT models, other nucleon decay modes dominate via dimension five operator interactions with the exchange of a heavy supersymmetric color triplet Higgsino [8]. These interactions suppress transitions from one quark family in the initial state to the same family in the final state. The only second or third generation quark which is kinematically allowed is the strange quark, so an antistrange quark typically appears in the final state for these interactions. The antistrange quark binds with a spectator quark to form a K meson in the final state. Thus, SUSY GUTs favor nucleon decays in $p \rightarrow \bar{\nu}K^+$ and $n \rightarrow \bar{\nu}K^0$ modes. The predictions for the nucleon lifetime in SUSY GUT models, however, varies widely, and may even be suppressed, since there are many new free parameters introduced because of the supersymmetry breaking.

In the minimal SUSY SU(5) GUTs, the partial proton lifetime is estimated to be $\tau/B(p \rightarrow \bar{\nu}K^+) \leq 2.9 \times 10^{30}$ years [9]. Other models have been proposed beyond those based on SU(5). In particular, models based on SO(10) symmetry have become popular in light of evidence for neutrino mass [10], which they naturally accommodate. An important property of the SO(10) symmetry is that there is a heavy right-handed neutrino in a multiplet containing the matter fields. In addition, all matter fields of one generation can be contained in a single multiplet, in contrast to theories based on SU(5), where they must be broken into two separate representations.

One class of models [11] predicts the partial proton lifetime for the $p \rightarrow \bar{\nu}K^+$ mode to be less than 10^{34} years, which is within the observable range of Super-Kamiokande. In addition, the same mechanism which gives mass to the neutrinos provides a new set of dimension

*Present address: University of MD School of Medicine, Baltimore, MD 21201, USA

†Present address: Department of Physics, Univ. of Tsukuba, Tsukuba, Ibaraki 305 8577, Japan

‡Present address: Department of Physics, Okayama University, Okayama 700-8530, Japan

five operators through which the proton can decay. A consequence of this is that the prediction for the $p \rightarrow \mu^+ K^0$ decay rate is within a factor of 10 of the $p \rightarrow \bar{\nu} K^+$ decay rate.

These decay modes, favored by SUSY GUT models, have been searched for in water Cherenkov detector [3,4,12] and iron calorimeter [13,14] experiments. The best limits on the partial nucleon lifetime via $p \rightarrow \bar{\nu} K^+$, $n \rightarrow \bar{\nu} K^0$, $p \rightarrow \mu^+ K^0$, and $p \rightarrow e^+ K^0$ are 6.7×10^{32} years [12], 8.6×10^{31} years [4], 1.2×10^{31} years [3,4,14], and 1.5×10^{31} years [4], respectively.

II. SUPER-KAMIOKANDE DETECTOR

The Super-Kamiokande detector [15] is a 50 kton water Cherenkov detector located at the Kamioka Observatory of the Institute for Cosmic Ray Research. It lies in the Kamioka mine 1000 m underneath the top of Mt. Ikenoyama, (i.e. 2700 m water equivalent underground), resulting in a cosmic ray muon rate of 2.2 Hz, a reduction of 10^{-5} compared to the rate at the surface. The detector is optically separated into two regions, the inner and outer detectors (ID and OD). The ID of the Super-Kamiokande-I detector, which operated from April 1996 to July 2001, was instrumented with 11 146 50-cm diameter inward facing photomultiplier tubes (PMTs) which provide 40% photocathode coverage. This photocathode coverage makes it possible to detect low energy electrons down to ~ 5 MeV. The ID is the sensitive region for nucleon decay searches and has a total fiducial mass of 22.5 ktons, defined as a cylindrical volume with surfaces 2 m away from the ID PMT plane. The OD completely surrounds the ID and is instrumented with 1885 20-cm diameter outward facing PMTs equipped with 60 cm \times 60 cm wavelength shifter plates to increase light collection efficiency. The main purpose of the OD is to tag incoming cosmic ray muons and exiting muons induced by atmospheric neutrinos. A detailed description of the Super-Kamiokande-I detector can be found elsewhere [15].

III. DATA SET

Data used for this analysis was taken during the period from May 1996 to July 2001. It corresponds to 1489 days of data taking and an exposure of 92 kton \cdot year.

IV. NUCLEON DECAY EVENT AND BACKGROUND SIMULATION

In order to search for nucleon decay in the Super-Kamiokande detector, it is critical to understand the signal event signature and background characteristics. We simulate specific decay modes of nucleon decay as well as background events using a signal event generator and the Super-Kamiokande atmospheric Monte Carlo (MC). By comparing the characteristics of these signal and background simulated events in detail, we establish the opti-

num event selection criteria. When we limit the nucleon decay events to occur only in the Super-Kamiokande fiducial volume, the only significant background to nucleon decays originates from atmospheric neutrino interactions. Once the selection criteria are established, the detection efficiency is then estimated by analyzing the nucleon decay MC sample, and the expected background is estimated by analyzing the atmospheric neutrino MC sample.

A. Nucleon decay event simulation

Nucleon decay in water can occur from a free proton in the hydrogen nucleus or from a bound nucleon in the oxygen nucleus. It is relatively simple to simulate free proton decay using the Super-Kamiokande MC. However, simulation of the bound nucleon decays requires special care, because of various nuclear effects experienced by the daughter particles before they exit the nucleus.

Nucleons bound in oxygen have Fermi momentum and nuclear binding energy. In our simulation, we use the Fermi momentum distribution measured by an electron- ^{12}C scattering experiment [16]. For nucleon decays in an oxygen nucleus, the nucleon mass must be modified by nuclear binding energy. The modified nucleon mass m'_N is calculated by $m'_N = m_N - E_{\text{bind}}$, where m_N is the nucleon rest mass and E_{bind} is the nuclear binding energy. Yamazaki and Akaishi [17] estimated the effective nucleon mass when the nucleon decays in ^{16}O . Ten percent of the decays are from a nucleus which has wave functions correlated with other nucleons in the nucleus. Figure 1

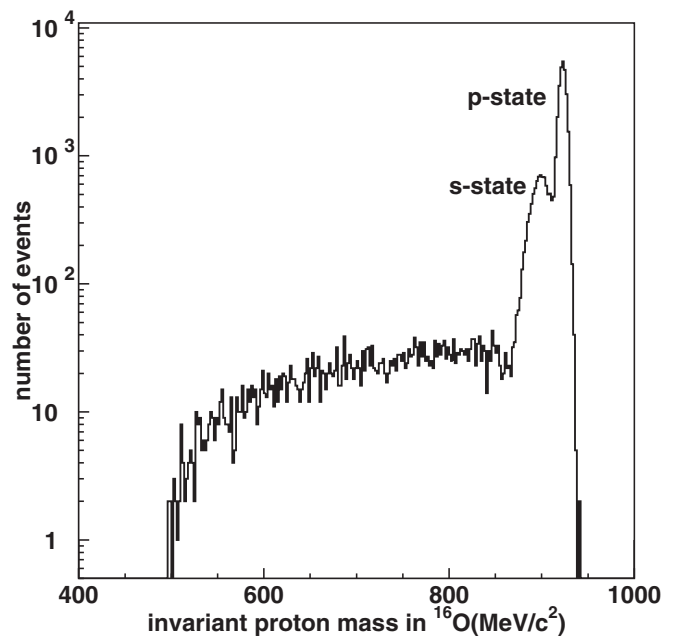


FIG. 1. The invariant proton mass distribution in ^{16}O in the $p \rightarrow \bar{\nu} K^+$ MC simulation [17]. The distributions of s- and p-states are expressed by Gaussian function $G(\text{mean, RMS})$. It is $G(938.3 - 39.0, 10.2)$ MeV/ c^2 for s-state and $G(938.3 - 15.5, 3.82)$ MeV/ c^2 for p-state.

shows the invariant proton mass distribution in ^{16}O used in the $p \rightarrow \bar{\nu}K^+$ MC simulation. The correlated decays produce the broad nucleon mass distribution below around $850 \text{ MeV}/c^2$.

When a nucleon decays in the oxygen nucleus, the remaining nucleus can be in an excited state and it can emit prompt gamma-rays during its subsequent deexcitation process. This process was studied by Ejiri [18] and we use his results in this analysis. This prompt gamma-ray provides us with a powerful tool to tag $p \rightarrow \bar{\nu}K^+$ events.

The decay products of a nucleon bound in oxygen can interact hadronically with the remaining protons and neutrons in the residual ^{15}N nucleus before exiting. The initial position of the nucleon is assumed to have the Woods-Saxon density distribution [19]:

$$\rho(r) = \frac{\rho(0)}{1 + \exp(\frac{r-a}{b})}, \quad (1)$$

where a ($= 1.07A^{1/3} = 2.69 \text{ fm}$ for ^{16}O) is the average nuclear radius, $2b$ ($= 0.82 \text{ fm}$) is the thickness of the nuclear surface, and r is the distance from the center of the nucleus. Interactions relevant to this analysis are K^+N and K^0N elastic scattering, and K^+N and K^0N inelastic scattering via charge exchange. The K^+p reactions can proceed elastically or inelastically. Inelastic interactions are due to the $K^+p \rightarrow K^+\Delta$. A partial wave analysis for the scattering amplitudes was performed by Hyslop *et al.* [20] by doing a global fit to many data samples. The maximum momentum for the kaon from the nucleon decays is about $600 \text{ MeV}/c$. Below $800 \text{ MeV}/c$, K^+p scattering has an extremely small contribution from inelastic scattering. Therefore a K^+ from a proton decay via $p \rightarrow \bar{\nu}K^+$ effectively experiences only elastic scattering with protons in the residual nucleus. Since $p \rightarrow \bar{\nu}K^+$ are identified through the detection of the daughter particles of the kaon decay at rest, K^+p scattering does not affect the detection efficiency of $p \rightarrow \bar{\nu}K^+$. The charge exchange reaction $K^+n \rightarrow K^0p$ can reduce the efficiency for detecting $p \rightarrow \bar{\nu}K^+$ events. It is important to estimate what fraction of K^+ is lost due to this effect. The reaction was measured for low momentum kaons (250 to $600 \text{ MeV}/c$) by Glasser *et al* [21] yielding cross sections ranging from $2.0 \pm 0.18 \text{ mb}$ at K^+ momentum of $250 \text{ MeV}/c$ to $6.4 \pm 0.56 \text{ mb}$ at K^+ momentum of $590 \text{ MeV}/c$. To estimate the fraction of K^+ lost due to this effect, a MC simulation is performed. K^+ are started at random points in the nucleus according to the Woods-Saxon density distribution [Eq. (1)]. If there is an interaction, Pauli blocking is taken into account by requiring the momentum of the recoil nucleon to be above the Fermi surface momentum (p_F):

$$p_{\text{recoil}} > p_F(r) = \hbar \left(\frac{3\pi^2}{2} \rho(r) \right), \quad (2)$$

where $\rho(r)$ is the same as defined in Eq. (1). From this simulation, it is estimated that 1% of K^+ from $p \rightarrow$

$\bar{\nu}K^+$ decays are lost because of this charge exchange reaction. From isospin symmetry, the K^0N reactions have essentially the same magnitude as the K^+N reactions.

We simulate propagation of the produced particles and Cherenkov light in water by custom code based on GEANT [22]. The propagation of charged pions in water is simulated by custom code based on [23] for less than $500 \text{ MeV}/c$ and by CALOR [24] for more than $500 \text{ MeV}/c$.

In the $n \rightarrow \bar{\nu}K^0$, $p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ searches, only decays to K_S^0 are studied because the lifetime of the K_L^0 is long and many of them interact in water before decaying. The effect of K^0 regeneration is small in K_S^0 decay searches.

In total, 50 000 MC events are generated for each decay mode to find the signature of nucleon decays and to estimate detection efficiencies. Of these, 34 664, 34 561, 34 648, and 34 572 events are in the fiducial volume in the $p \rightarrow \bar{\nu}K^+$, $n \rightarrow \bar{\nu}K^0$, $p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ Monte Carlo samples, respectively.

B. Atmospheric neutrino background

The most significant background to nucleon decay comes from the atmospheric neutrino interactions in the detector. Atmospheric neutrinos are produced in collisions of cosmic rays with air molecules in the atmosphere of the earth. Primary cosmic rays, mostly protons, interact hadronically with air molecules creating π^\pm , K^\pm , K^0 , and other mesons. Neutrinos are then produced from the chain decay of these mesons. The production of atmospheric neutrinos has been calculated in great detail by many authors. For this analysis, we use the calculation by Honda *et al.* [25].

Atmospheric neutrinos unscattered through the earth and occasionally interact with a nucleon in the water of Super-Kamiokande via the weak interaction. A generic interaction is

$$\nu_l + N \rightarrow l + N' + X, \quad (3)$$

where N and N' are the initial and final state nucleons, l is the outgoing lepton associated with ν_l , and X can be other possible hadronic particles such as pions. Because some of these interactions result in topologies similar to those of nucleon decays, they present a challenging background to nucleon decay searches.

We use the same atmospheric neutrino MC simulation (NEUT) [26] [27] that is used for Super-Kamiokande neutrino oscillation studies with slight differences. For single-pion production, the so-called axial vector mass which appears in the neutrino-nucleon differential cross section, was set to be $1.2 \text{ GeV}/c^2$, which estimates background conservatively. For deep inelastic scattering, we used a model motivated by Bodek and Yang [28].

In order to estimate the atmospheric neutrino background events for nucleon decays involving kaons, the production of kaons through the baryon resonances is

included based on the model of Rein and Sehgal [29]. The cross section for kaon production is one or more than one order of magnitude smaller than that of single-pion production. In addition, many charged kaons produced in neutrino interactions emit Cherenkov light while kaons from proton decay do not. Finally, K production is accompanied by a Λ baryon which decays into either $p\pi^-$ or $n\pi^0$ in most cases. Therefore, atmospheric neutrino events with final state kaons can be distinguished from nucleon decay events, and their contributions to the background is small.

In order to estimate the backgrounds from atmospheric neutrinos to nucleon decays with high precision, we use a 100-year equivalent sample of atmospheric neutrino MC simulation events for this analysis.

V. EVENT SELECTION AND RECONSTRUCTION

We apply several data reduction stages to remove major background, mainly from cosmic rays and flashing PMTs; we apply the same reduction cuts to the atmospheric neutrino background Monte Carlo and signal Monte Carlo to estimate efficiency. We reconstruct physical quantities such as momenta and particle identity for data, signal MC, and background MC events. Data reduction and event reconstruction is the same as for the neutrino oscillation analysis [27].

A. Event selection

The trigger threshold for events used in this analysis is set to 29 PMT hits corresponding to an electron equivalent energy of 5.7 MeV. The trigger rate at this threshold is about 10 Hz. The majority of the collected data are events originating from cosmic ray muons and radioactivity. Almost all cosmic muons are rejected requiring no significant OD activity. Low energy radioactivity are rejected by excluding events with electron equivalent energy less than 30 MeV (corresponding to 197 MeV/ c for muons). The event vertex is required to be inside the fiducial volume. The remaining event rate after this first stage reduction is about eight events/day. Almost all of these events originate from atmospheric neutrino interaction.

B. Event reconstruction

Physical quantities are reconstructed for the events remaining from the event selection process. In the reconstruction, certain quantities are determined such as the event vertex, the number of visible Cherenkov rings, the direction of each ring, particle identification, momentum, and number of Michel electrons. The vertex resolution is 34 cm for electrons and 25 cm for muons in single ring events. In the $p \rightarrow \bar{\nu}K^+, K^+ \rightarrow \mu^+\nu_\mu$, and $K^+ \rightarrow \pi^+\pi^0$ MC, the vertex resolution is 47 and 37 cm, respectively. In the $n \rightarrow \bar{\nu}K^0$, $p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ MC, better resolutions are obtained. Each ring is identified as e -like (e^\pm, γ) or μ -like ($\mu^\pm, \pi^\pm, \text{proton}$) based on a likelihood

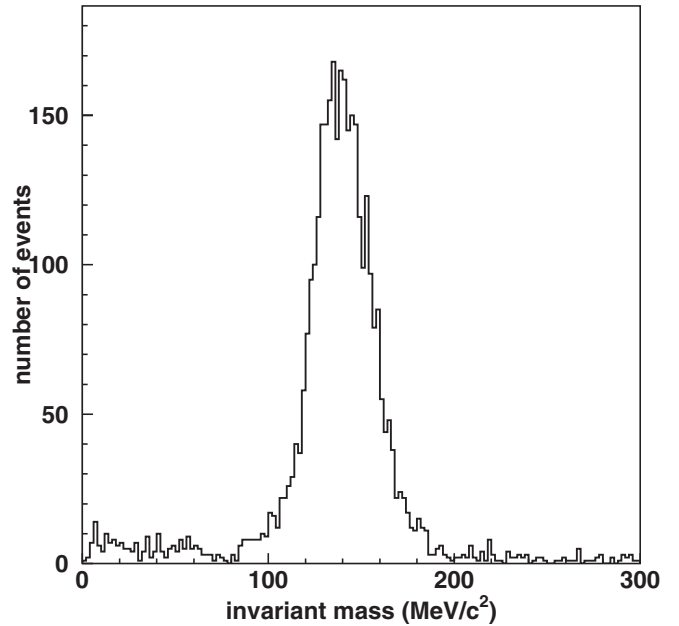


FIG. 2. The reconstructed π^0 invariant mass distribution in the $p \rightarrow \bar{\nu}K^+, K^+ \rightarrow \pi^+\pi^0$ MC after applying selection criteria (B1,B2) (See Sec. VI A).

analysis of Cherenkov ring pattern and Cherenkov angle. In a single ring electron or muon event, the misidentification probability is 0.5%. Momentum is determined by the sum of photo electrons (PEs) after correcting for light attenuation in water, PMT angular acceptance, PMT coverage, and the assigned electron, muon, or pion particle assumption. The momentum of a pion is determined by Cherenkov angle as well as the sum of PEs. The momentum resolution for a 236 MeV/ c muon from $p \rightarrow \bar{\nu}K^+, K^+ \rightarrow \mu^+\nu_\mu$ is 3% and mass resolution for π^0 from $p \rightarrow \bar{\nu}K^+, K^+ \rightarrow \pi^+\pi^0$ is 9%. Figure 2 shows the reconstructed π^0 invariant mass distribution in the $p \rightarrow \bar{\nu}K^+, K^+ \rightarrow \pi^+\pi^0$ MC. Michel electrons are tagged by finding an excess of hits within a 50 ns sliding time window up to 30 μ sec after the trigger [27]. The present electronics have a lower detection efficiency for Michel electrons between 0 and 0.1 μ sec and between 0.8 and 1.2 μ sec after the trigger. Therefore, Michel electrons that occurred during these time windows are not used in this analysis. The detection efficiency of Michel electrons is estimated to be 80% for μ^+ and 63% for μ^- .

C. Calibration

An important aspect of nucleon decay analyses is the choice of selection criteria. These criteria are dependent upon the energies of the particles produced in the various interactions. For this reason, the energy deposition and detector response of particles traversing the detector must be accurately modeled in the MC simulation. Energy scale calibrations are performed by comparing the data and MC sample of Michel electrons from stopping cosmic ray

muons, π^0 's produced in atmospheric neutrino interactions and stopping cosmic ray muons. The Cherenkov angle and the range are used to obtain muon momentum for stopping muons. These samples provide energy calibration varying from several tens MeV (Michel electrons) to several GeV (stopping cosmic ray muons), entirely covering the energy range relevant to nucleon decay searches. Based on these samples, the energy scale is reproduced in the MC within 2.5% [27].

VI. ANALYSIS

During 1489 days of live time corresponding to 92 kton · year of exposure, 12 179 events are recorded after the first stage reduction process. Of these, 8207 are identified as single ring.

It has been known for some time that there is a deficit of events induced by atmospheric muon neutrino oscillations [10]. In order to best estimate the number of background events, the atmospheric neutrino MC is normalized based on the observed deficit of single ring μ -like events and the observed number of single ring e -like events. Simple normalizing factors, sufficient for background estimation, were determined from the Super-Kamiokande atmospheric neutrino data to be multiplying by 0.67 for charged current (CC) ν_μ events and multiplying by 1.07 for charged current ν_e and all neutral current (NC) events. Table I shows the comparison between multiring data and MC, MC including neutrino oscillations, and the normalized MC. The difference between multiring data and normalized MC is within 4%.

Finally we normalize the 100 yr MC sample (2246 kton · years) to the 92 kton · year sample of Super-Kamiokande exposure. Based on the simulated signal and background events, we determine selection criteria for

TABLE I. Multiring data comparison with the original MC, MC including neutrino oscillations ($\Delta m^2 = 2.1 \times 10^3 (\text{eV})^2$, $\sin^2 \theta = 1.0$), and the normalized MC using the factors described in the text. e -like and μ -like show the number of events whose most energetic rings are e -like and μ -like, respectively.

Data	MC			
		(original)	(oscillated)	(normalized)
All events	3972	4661.	4288.	4126.
$E_{vis} < 1 \text{ GeV}$	2155	2472.	2161.	2245.
$E_{vis} > 1 \text{ GeV}$	1817	2189.	2127.	1881.
e -like	2744	2880.	2762.	2820.
	CC ν_μ ...	655.	...	439.
	CC ν_e ...	1217.	...	1302.
	NC ...	1008.	...	1079.
μ -like	1228	1781.	1526.	1306.
	CC ν_μ ...	1498.	...	1003.
	CC ν_e ...	68.	...	72.
	NC ...	215.	...	230.

each nucleon decay mode, as discussed in the following sections. The detection efficiencies given below include all relevant branching ratios.

A. Search for $p \rightarrow \bar{\nu}K^+$

This is the primary mode of proton decay in SUSY GUT models. A search for $p \rightarrow \bar{\nu}K^+$ was described in our previous paper [12] based on 45 kton · year data. In that paper, we described three methods to search for this nucleon decay using the two dominant decay modes of the kaon, $K^+ \rightarrow \pi^+ \pi^0$ (Method 1) and $K^+ \rightarrow \mu^+ \nu_\mu$. For $K^+ \rightarrow \mu^+ \nu_\mu$, we looked for both the prompt gamma-ray from the deexcitation of the residual excited ^{15}N nucleus (Method 2: prompt gamma-ray search) and for an excess in the momentum distribution of μ -like events at $p_\mu = 236 \text{ MeV}/c$ (Method 3: monoenergetic muon search). In this paper, we present proton lifetime limits derived using these methods including improved analyses which increase the detection efficiency and reduce the background.

1. Method 1: $K^+ \rightarrow \pi^+ \pi^0$ search

If a proton decays to $\bar{\nu}K^+$, the K^+ has low enough momentum that the majority stop before decaying. Therefore, when the K^+ decays to a π^+ and a π^0 , these two particles go back-to-back and the π^0 momentum is expected to be monoenergetic at $205 \text{ MeV}/c$. To detect this type of events, the following criteria are required: (A1) two e -like rings, (A2) one Michel electron, (A3) $175 \text{ MeV}/c < p_{\pi^0} < 250 \text{ MeV}/c$, (A4) $85 \text{ MeV}/c^2 < m_{\pi^0} < 185 \text{ MeV}/c^2$, (A5) $40 \text{ PE} < Q_{\pi^+} < 100 \text{ PE}$, (A6) $Q_{\text{res}} < 70 \text{ PE}$. The reconstructed total momentum and invariant mass consistent with the π^0 from the two e -like rings are defined as p_{π^0} and m_{π^0} , respectively. The π^+ momentum is so close to the Cherenkov threshold that the Cherenkov ring is not detected in most cases. However, since neutrino background survives criteria (A1-A4), we use the Cherenkov light produced by the π^+ in addition. Q_{π^+} is the sum of PEs corrected for light attenuation and PMT acceptance, which is observed in the PMTs within the 40° half opening angle opposite to the π^0 direction. Q_{res} is the sum of PEs in the remaining PMTs after rejecting the area within the 90° half opening angle toward the two gamma-ray directions and the Q_{π^+} searched area. We use the criterion (A6) for further background rejection of events with Cherenkov rings which overlap the 40° π^+ search cone. The total detection efficiency for this method is estimated to be 6.0% and the background is estimated to be 0.6 events. Figure 3 shows the distribution of the Q_{π^+} versus p_{π^0} for data, the atmospheric neutrino MC and $p \rightarrow \bar{\nu}K^+$ MC. The main sources of background are single-pion production and deep inelastic scattering. The incoming neutrino energy of the background is typically between 0.6 GeV and 2 GeV. In the data, no events pass the selection criteria (A1-A6).

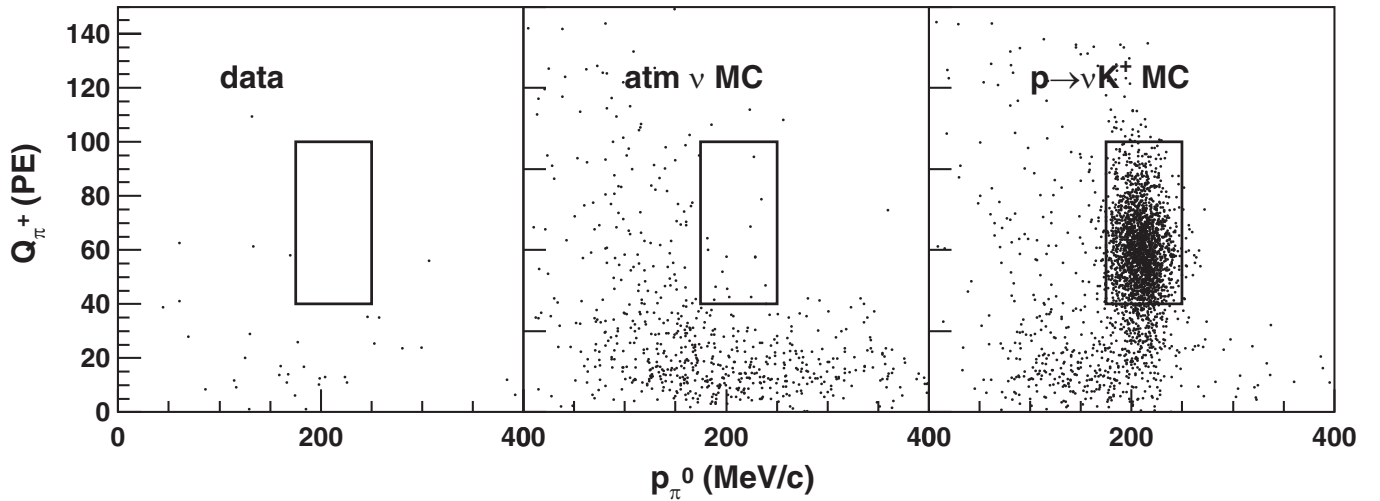


FIG. 3. The distributions of the Q_{π^+} versus p_{π^0} for events that satisfy criteria (A1,A2,A4,A6). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow \bar{\nu}K^+$ MC. The box shows criteria (A3,A5).

2. Method 2: $K^+ \rightarrow \mu^+ \nu_\mu$, prompt gamma-ray search

When a proton decays in one of the inner shells of the ^{16}O nucleus, the residual ^{15}N nucleus is left in an excited state. This state quickly deexcites with a certain probability of emitting gamma-rays. The most probable residual state is a $p_{3/2}$ excited state which leads to the emission of single 6.3 MeV gamma-ray [18]. Since the K^+ is below the Cherenkov threshold and the K^+ lifetime is 12.4 ns, we can separate the deexcitation gamma-ray from the μ^+ signal. The μ^+ momentum is monoenergetic (236 MeV/c), because the K^+ decays at rest. By requiring a prompt gamma-ray signal as well as the monoenergetic muon and an electron from the muon decay, most backgrounds are eliminated.

In order to search for the prompt gamma-ray, three quantities must be defined. The first is t_μ which is a reference time associated with the detection of the muon. The second is t_0 which is the time to begin a backward search for earlier hits from the prompt gamma-ray. Finally, t_γ is the time associated with the detection of the gamma-ray. In all cases, the PMT hit time is corrected for the time of flight of Cherenkov light from the vertex to the PMT. The reference time t_μ corresponding to the muon is found by searching for the point in time when $\Delta N_{\text{hit}}/\Delta t$ is maximum where N_{hit} is the number of PMT hits. The starting time t_0 is defined as the first point in time less than t_μ where $dN_{\text{hit}}/dt = 0$. In the t_γ search, PMTs which are within a cone with a 50° half opening angle with respect to the muon are removed; this enables the search for the prompt gamma-ray to start at a closer time to t_μ . A 12 ns timing window is slid backward starting with its trailing edge at t_0 . The values t_γ and $N_{\text{hit}\gamma}$ are determined by maximizing the number of hits in the 12 ns sliding window. $N_{\text{hit}\gamma}$ is the maximum number of hits at t_γ in the 12 ns sliding window.

Using these quantities, the following selection criteria are applied: (B1) one μ -like ring, (B2) one Michel electron, (B3) $215 \text{ MeV}/c < p_\mu < 260 \text{ MeV}/c$, (B4) proton rejection, (B5) $t_\mu - t_\gamma < 100 \text{ ns}$, (B6) $7 < N_{\text{hit}\gamma} < 60$. Criterion (B4) is applied for rejecting backgrounds caused by poor vertex reconstruction. Most of these background events are recoil protons produced by neutral current in-

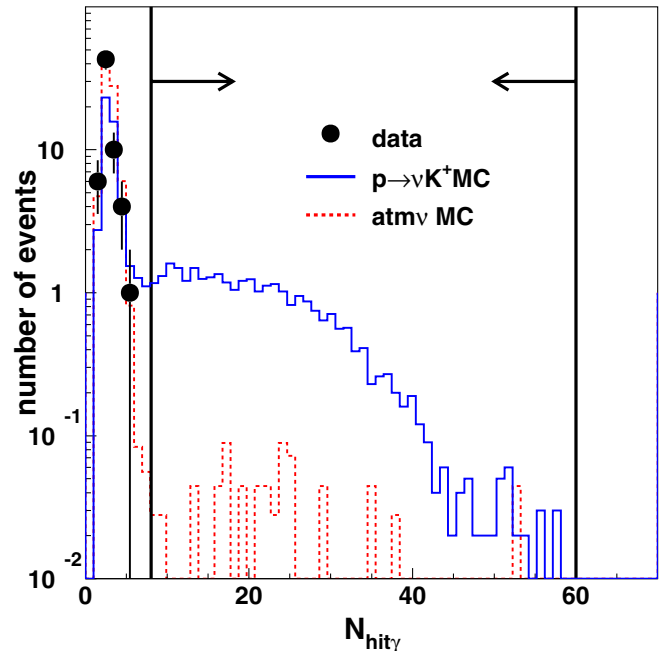


FIG. 4 (color online). The $N_{\text{hit}\gamma}$ distributions for events that satisfy criteria (B1–B5). The full circles show data and the dashed line shows atmospheric neutrino MC normalized by live time and neutrino oscillation. The solid line shows $p \rightarrow \bar{\nu}K^+$ MC. The arrows show criterion (B6), where proton decay candidates with a prompt gamma-ray are expected.

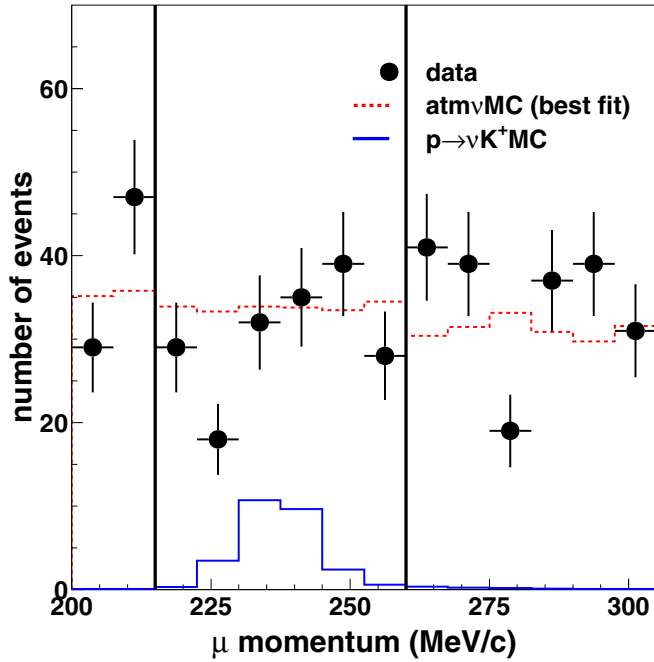


FIG. 5 (color online). The comparison between data and fitting results of muon momentum distribution for events that satisfy criteria (B1,B2,B7). The full circles show data with statistical errors. The solid line shows $p \rightarrow \bar{\nu}K^+$ MC. The dashed line shows the best fitted atmospheric neutrino MC with free normalization.

teractions. Since the particle identification assumes the Cherenkov angle from a muon in the vertex fit, the reconstructed vertex position of a slow proton is not accurate. Therefore, a fake peak, which mimics gamma-rays, is sometimes produced in the time of flight (TOF) subtracted timing distribution. In order to reject these events, two cuts, $g \geq 0.6$ and $d_{\mu e} < 200$ cm, are applied, where g is a goodness of the TOF subtracted timing distribution and $d_{\mu e}$ is the distance between the Michel positron vertex and the muon stopping point. In proton decay events, $d_{\mu e}$ should be close to zero.

Passing the $p \rightarrow \bar{\nu}K^+$ and 100 yr atmospheric neutrino MC events through criteria (B1-B6), the detection efficiency and background are estimated to be 8.6% and 0.7 events, respectively. The efficiency includes all branching ratios including the estimated transition rates to excited nuclear states. Figure 4 shows $N_{\text{hit}\gamma}$ distribution for the 100 yr sample of atmospheric neutrino MC normalized by live time and neutrino oscillation, $p \rightarrow \bar{\nu}K^+$ MC, and 92 kton · year sample of data. No events survive these selection criteria.

3. Method 3: $K^+ \rightarrow \mu^+ \nu_\mu$, monoenergetic muon search

We search for a 236 MeV/c monoenergetic muon using events which are not selected in Method 2. To tag the muon, criteria (B1,B2) are applied, which are defined as

in Method 2. Moreover, to obtain an independent event sample from Method 2, we apply criterion (B7) $N_{\text{hit}\gamma} \leq 7$. Figure 5 shows the muon momentum distribution of data compared with best fitted atmospheric neutrino MC. No significant excess is observed in the signal region (B3). In this region, there are 181 events with a best fitted background of 200 events.

B. Search for $n \rightarrow \bar{\nu}K^0$

Many SUSY models also predict the nucleon decay mode, $n \rightarrow \bar{\nu}K^0$. We search for $n \rightarrow \bar{\nu}K^0$ using the $K_S^0 \rightarrow \pi^0 \pi^0$ and $K_S^0 \rightarrow \pi^+ \pi^-$ decay chain.

For the $K_S^0 \rightarrow \pi^0 \pi^0$ search, the following criteria are applied: (C1) three or four e -like rings, (C2) zero Michel electrons, (C3) $200 \text{ MeV}/c < p_{K^0} < 500 \text{ MeV}/c$, (C4) $400 \text{ MeV}/c^2 < m_{K^0} < 600 \text{ MeV}/c^2$, where p_{K^0} and m_{K^0} are total momentum and invariant mass, assuming the decay sequence of K^0 . Because it is difficult to identify four showering Cherenkov rings, many background events remain. About half of the background events come from deep inelastic scattering. Figure 6 shows p_{K^0} versus m_{K^0} distributions after applying criteria (C1,C2). The criteria select 14 events in the data with an expected background of 19 and a selection efficiency of 6.9%.

For the $K_S^0 \rightarrow \pi^+ \pi^-$ search, the following criteria are applied: (D1) two μ -like rings, (D2) zero or one Michel electron, (D3) $200 \text{ MeV}/c < p_{K^0} < 500 \text{ MeV}/c$, (D4) $450 \text{ MeV}/c^2 < m_{K^0} < 550 \text{ MeV}/c^2$. Since a large fraction of π^+ or π^- momenta are below the Cherenkov threshold, the efficiency of finding both rings is low. Figure 7 shows p_{K^0} versus m_{K^0} distributions after applying criteria (D1,D2). Twenty events are observed in the data with the detection efficiency of 5.5%, while 11.2 background events are expected. Most of the background events are produced by charged current single-pion production.

C. Search for $p \rightarrow \mu^+ K^0$

In a SUSY SO(10) model [11] with neutrino mass, $p \rightarrow \mu^+ K^0$ is an important decay mode. We search for $p \rightarrow \mu^+ K^0$ using $K_S^0 \rightarrow \pi^0 \pi^0$ and $K_S^0 \rightarrow \pi^+ \pi^-$ decay chains. In this mode, the total invariant proton mass and momentum can be reconstructed and the backgrounds can be significantly reduced.

For the $K_S^0 \rightarrow \pi^0 \pi^0$ search, the following criteria are applied: (E1) 2–4 e -like rings and one μ -like ring, (E2) zero or one Michel electron, (E3) $400 \text{ MeV}/c^2 < m_{K^0} < 600 \text{ MeV}/c^2$, (E4) $150 \text{ MeV}/c < p_\mu < 400 \text{ MeV}/c$, (E5) $p_p < 300 \text{ MeV}/c$, (E6) $750 \text{ MeV}/c^2 < m_p < 1000 \text{ MeV}/c^2$, where m_p and p_p are the invariant mass and total momentum, respectively, assuming the decay sequence of the proton. The momentum of the μ^+ , p_μ , is determined using the μ -like ring. Figure 8 shows the p_p versus m_p distributions after applying criteria (E1–E4). No events survive in the data with an estimated 5.4%

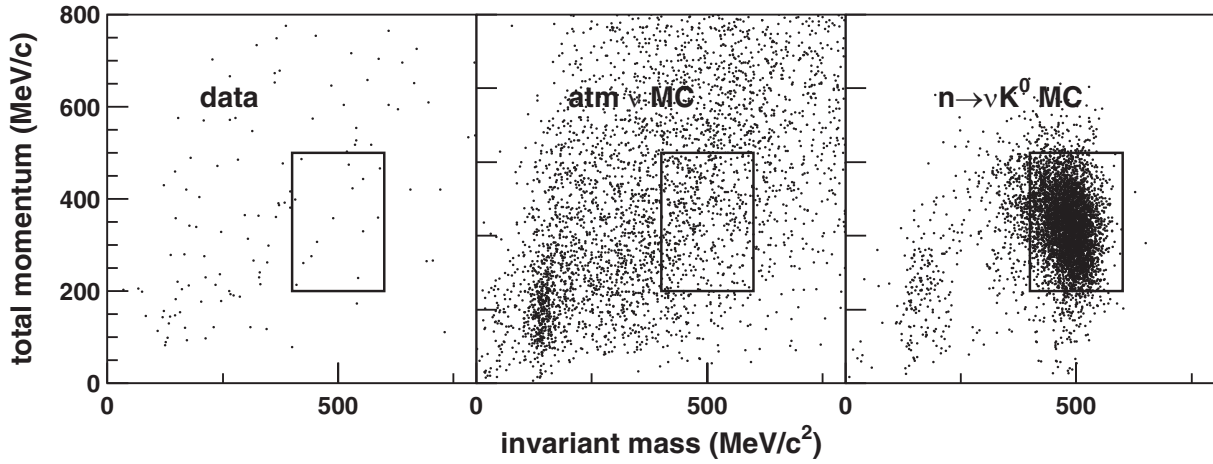


FIG. 6. The distributions of the total momentum versus invariant mass for events that satisfy criteria (C1,C2). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $n \rightarrow \bar{\nu} K^0$ MC. The box shows criteria (C3,C4).

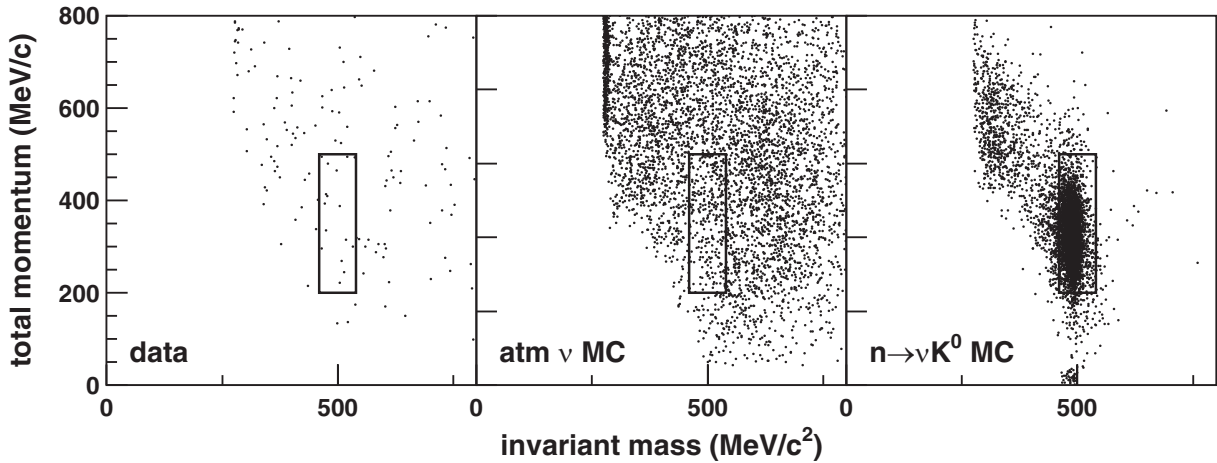


FIG. 7. The distributions of the total momentum versus invariant mass for events that satisfy criteria (D1,D2). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $n \rightarrow \bar{\nu} K^0$ MC. The box shows criteria (D3,D4). The cluster in the atmospheric neutrino MC figure comes from misreconstruction.

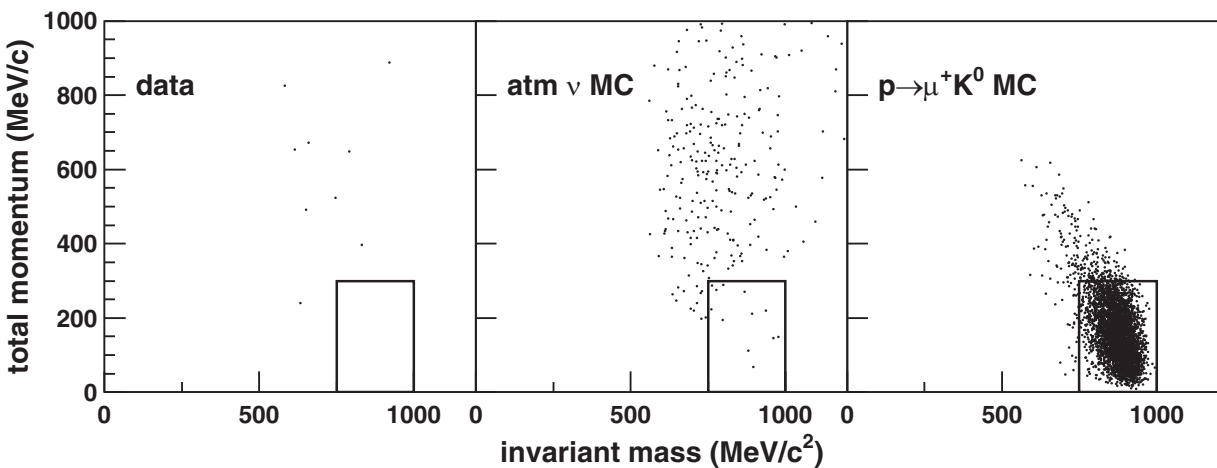


FIG. 8. The distributions of the total momentum versus invariant mass for events that satisfy criteria (E1-E4). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow \mu^+ K^0$ MC. The box shows criteria (E5,E6).

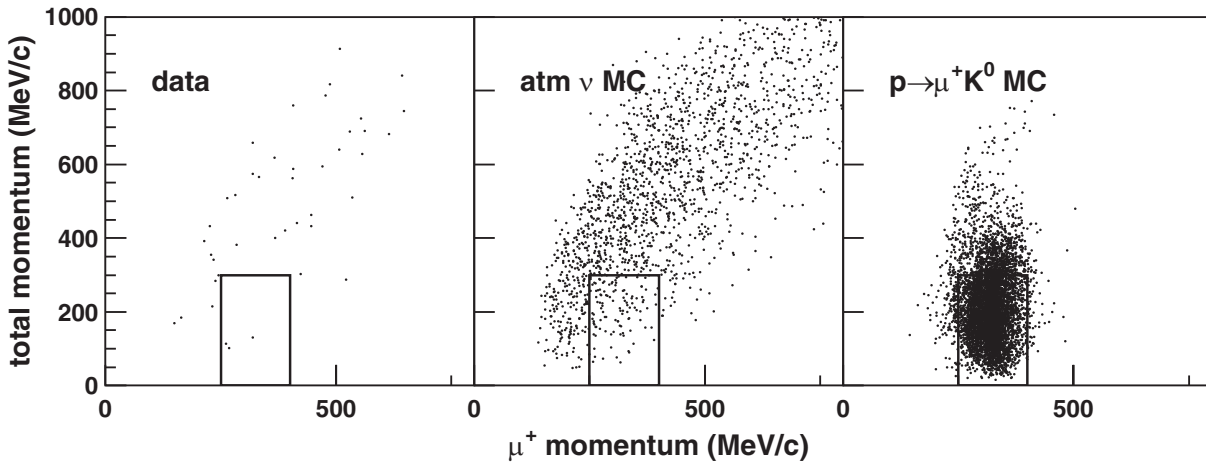


FIG. 9. The distributions of the total momentum versus μ^+ momentum for events that satisfy criteria (F1,F2). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow \mu^+ K^0$ MC. The box shows criteria (F3,F4).

detection efficiency and 0.4 events of expected background.

For the $K_S^0 \rightarrow \pi^+ \pi^-$ search, two different methods are applied, because the ring finding efficiency of the two pions is low. The first method (Method 1) uses the following criteria: (F1) two μ -like rings, (F2) two Michel electrons, (F3) $250 \text{ MeV}/c < p_\mu < 400 \text{ MeV}/c$, (F4) $p_p < 300 \text{ MeV}/c$. We assume the more energetic ring as muon and the other as charged pion. Figure 9 shows p_p versus p_μ distributions after applying criteria (F1,F2). The detection efficiency and the expected background are 7.0% and 3.2 events, respectively; 3 events are observed in the data. The second method (Method 2) requires: (G1) three rings, (G2) one or two Michel electrons, (G3) $450 \text{ MeV}/c^2 < m_{K^0} < 550 \text{ MeV}/c^2$, (G4) $p_p < 300 \text{ MeV}/c$, (G5) $750 \text{ MeV}/c^2 < m_p < 1000 \text{ MeV}/c^2$. Figure 10 shows the p_p versus m_p distributions after applying criteria

(G1–G3). The detection efficiency and the expected background are estimated to be 2.8% and 0.3 events, respectively. Most of the background events come from charged current ν_μ single-pion production. No surviving events are observed in the data.

D. Search for $p \rightarrow e^+ K^0$

One of the supersymmetric theories, based on flavor group $(S_3)^3$ predicts that $p \rightarrow e^+ K^0$ occurs at a comparable rate with $p \rightarrow \bar{\nu} K^+$ and $n \rightarrow \bar{\nu} K^0$ [30]. For the search for $p \rightarrow e^+ K^0$, three methods are applied in the same way as in the $p \rightarrow \mu^+ K^0$ search.

For the $K_S^0 \rightarrow \pi^0 \pi^0$ search, the selection criteria are: (H1) 3–5 e -like rings, (H2) zero Michel electrons, (H3) $p_p < 300 \text{ MeV}/c$, (H4) $750 \text{ MeV}/c^2 < m_p < 1000 \text{ MeV}/c^2$. We assume the most energetic ring is the positron and the others are gamma-rays from the π^0 de-

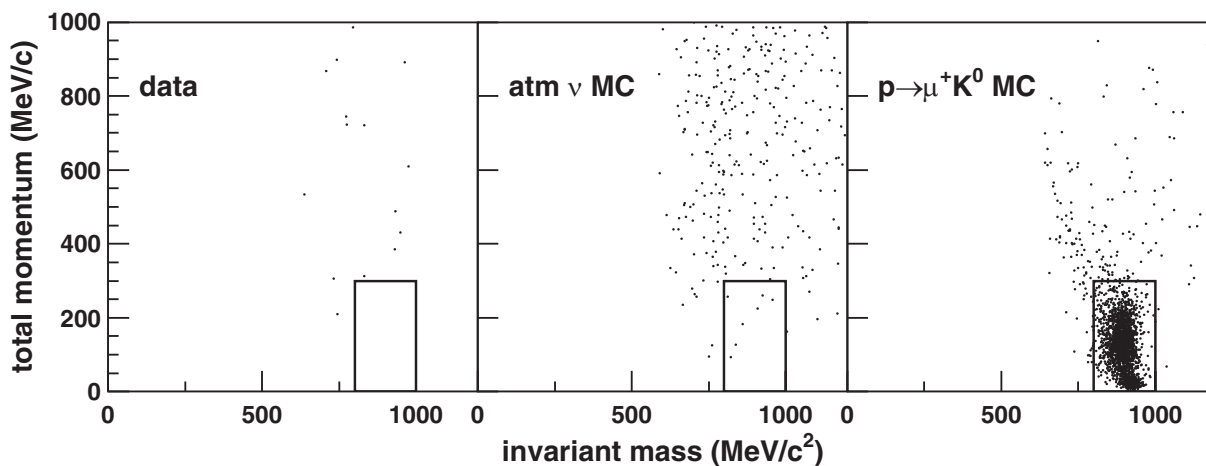


FIG. 10. The distributions of the total momentum versus invariant mass for events that satisfy criteria (G1–G3). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow \mu^+ K^0$ MC. The box shows criteria (G4,G5).

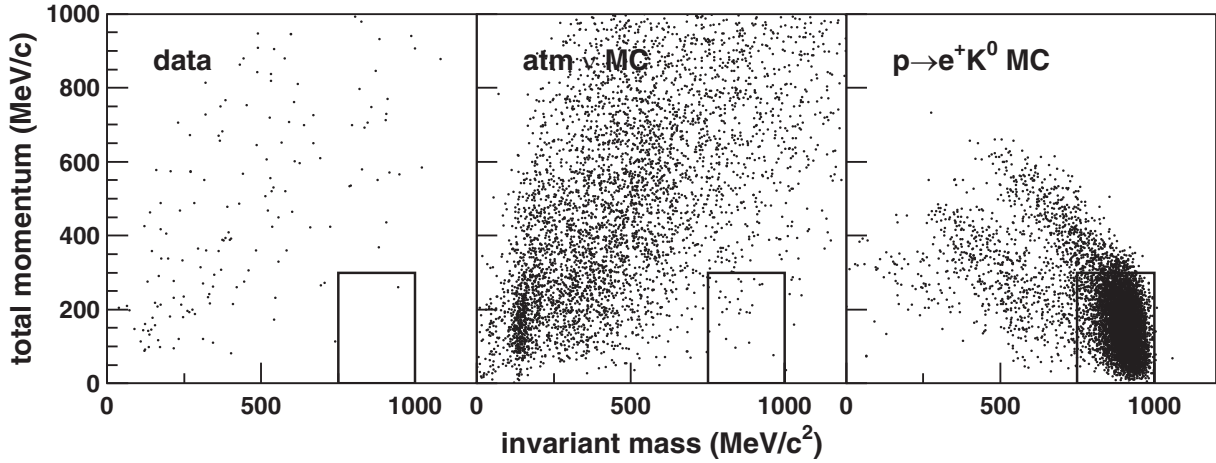


FIG. 11. The distributions of the total momentum versus invariant mass for events that satisfy criteria (H1,H2). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow e^+ K^0$ MC. The box shows criteria (H3,H4).

cays. Figure 11 shows p_p versus m_p distributions after applying criteria (H1,H2). The detection efficiency and the expected background are 9.2% and 1.1 events, respectively. One candidate event is observed in the data.

For the $K_S^0 \rightarrow \pi^+ \pi^-$ search, two different methods are applied. The first method (Method 1) uses the following criteria: (I1) one μ -like ring and one e -like ring, (I2) one Michel electron, (I3) $250 \text{ MeV}/c < p_e < 400 \text{ MeV}/c$, (I4) $p_p < 300 \text{ MeV}/c$, where p_e is the electron momentum determined using the e -like ring. Figure 12 shows p_p versus p_e distributions after applying criteria (I1,I2). The detection efficiency and the expected background are 7.9% and 3.6 events, respectively. Most of the background events are produced by charged current ν_e single-pion events. Five events are observed in the data. The second method (Method 2) uses the criteria: (J1) three rings (at least one e -like), (J2) zero or one Michel electrons, (J3) $450 \text{ MeV}/c^2 < m_{K^0} < 550 \text{ MeV}/c^2$, (J4) $p_p <$

$300 \text{ MeV}/c$, (J5) $750 \text{ MeV}/c^2 < m_p < 1000 \text{ MeV}/c^2$. Figure 13 shows p_p versus m_p distributions after applying criteria (J1–J3). The detection efficiency is estimated to be 1.3% with 0.04 expected backgrounds. No surviving events are observed in the data.

E. Systematic uncertainties

In the detection efficiency, we consider the following common systematic uncertainties in every search: imperfect knowledge of light scattering in water, energy scale, and particle identification. The uncertainty of the rate of light scattering in water is estimated to be 20%, which mainly affects the ring finding efficiency. The energy scale uncertainty is estimated to be 2.5% by the calibration described in Sec. V C. For the modes which have a charged pion from kaon decay, we consider the imperfect knowledge of a charged pion-nucleon cross section in water to be 10% uncertain by comparing with our MC and experimen-

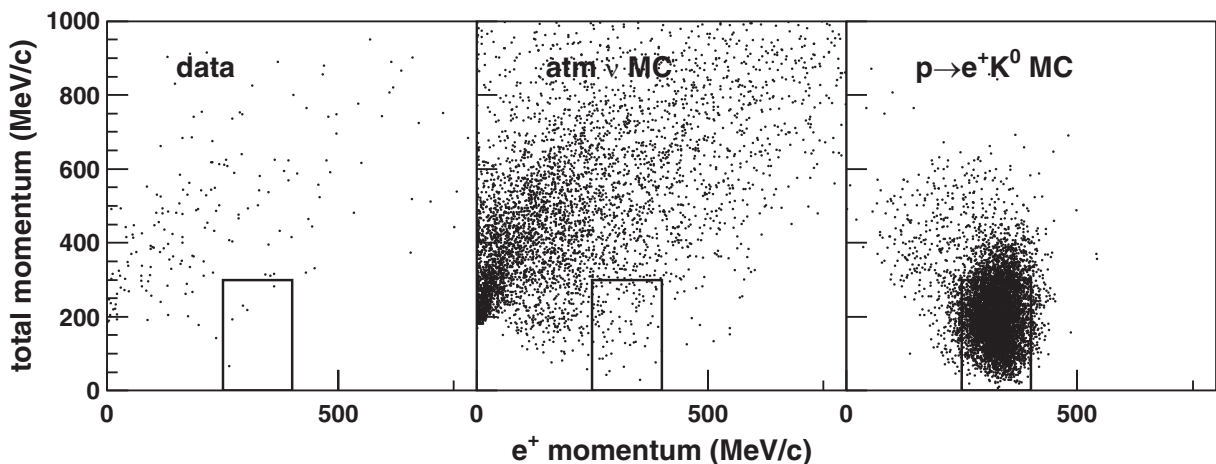


FIG. 12. The distributions of the total momentum versus e^+ momentum for events that satisfy criteria (I1,I2). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow e^+ K^0$ MC. The box shows criteria (I3,I4).

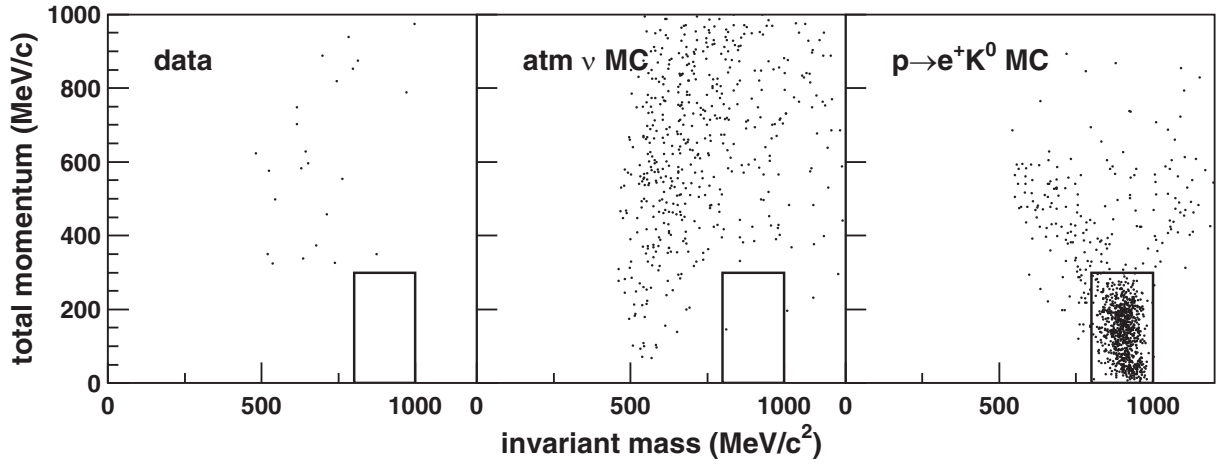


FIG. 13. The distributions of the total momentum versus invariant mass for events that satisfy criteria (J1-J3). Each figure shows: (left) data, (middle) atmospheric neutrino MC, and (right) $p \rightarrow e^+ K^0$ MC. The box shows criteria (J4,J5).

tal data [31]. For the $p \rightarrow \mu^+ K^0$ and $p \rightarrow e^+ K^0$ search, we estimate the uncertainty of the Fermi momentum to be about 5% from model differences [16]. In addition, we consider the uncertainty of deexcitation gamma-ray emission probabilities in the $p \rightarrow \bar{\nu} K^+$, prompt gamma-ray search. It is estimated to be 15% for 6.3 MeV gamma-ray and 30% for other gamma-rays [18]. From these sources of systematic uncertainty, the total contribution to the uncertainty of the detection efficiency in the $p \rightarrow \bar{\nu} K^+$, prompt gamma-ray search is estimated to be 20%. The main uncertainty comes from the probability of deexcitation gamma-ray emission. The total contributions in the $p \rightarrow \bar{\nu} K^+$, monoenergetic and $K^+ \rightarrow \pi^+ \pi^0$ search are estimated to be 2.5% and 8.8%, respectively. Most of the uncertainties in the $K^+ \rightarrow \pi^+ \pi^0$ search come from the imperfect knowledge of charged pion-nucleon cross section in water and light scattering in water, which are estimated to be 4.8% and 6.7%, respectively. In the $n \rightarrow \bar{\nu} K^0$ search, the total contributions are estimated to be 16% and 14% for the $K_S^0 \rightarrow \pi^0 \pi^0$ and $K_S^0 \rightarrow \pi^+ \pi^-$ search,

respectively. The main source of uncertainty comes from the uncertainty in light scattering in water. In all methods of the $p \rightarrow \mu^+ K^0$ and $p \rightarrow e^+ K^0$ searches, the total systematic uncertainties are less than 20%. These uncertainties are summarized in Table II.

In the background estimation, we consider the following common systematic uncertainties in all searches: imperfect knowledge of atmospheric neutrino flux, neutrino cross sections, energy scale, and particle identification. Because we use a scaled MC, the absolute normalization error is small. However, the background uncertainty from the atmospheric neutrino flux normalization is conservatively estimated to be 20% [25]. The imperfect knowledge of the cross sections are considered to be 30% for quasi-elastic and elastic scattering, 30% for single meson production and 50% for deep inelastic scattering. From these sources of systematic uncertainty, the total contributions to the uncertainty of the background in the $n \rightarrow \bar{\nu} K^0$, $K_S^0 \rightarrow \pi^0 \pi^0$, and $K_S^0 \rightarrow \pi^+ \pi^-$ search are estimated to be 44% and 41%, respectively. The background uncertainty in

TABLE II. Summary of systematic uncertainties in the detection efficiency (%).

Mode	Method	Pion- ¹⁶ O cross section	Light scattering in water	Energy scale	Particle identification	Fermi momentum	Nuclear gamma-ray	Total
$p \rightarrow \bar{\nu} K^+$	$K^+ \rightarrow \pi^+ \pi^0$ search	4.8	6.7	2.2	2.2	8.8
	prompt gamma-ray search	...	4.7	2.6	1.8	...	19.	20.
	monoenergetic muon search	...	1.8	1.4	0.9	2.5
$n \rightarrow \bar{\nu} K^0$	$K_S^0 \rightarrow \pi^0 \pi^0$...	16.	2.1	1.5	16.
	$K_S^0 \rightarrow \pi^+ \pi^-$	3.4	13.	4.9	1.6	14.
$p \rightarrow \mu^+ K^0$	$K_S^0 \rightarrow \pi^0 \pi^0$...	8.8	2.3	1.6	5.2	...	11.
	$K_S^0 \rightarrow \pi^+ \pi^-$ Method 1	5.6	2.7	2.3	1.4	6.6	...	9.5
	$K_S^0 \rightarrow \pi^+ \pi^-$ Method 2	4.2	9.4	2.3	...	5.2	...	12.
$p \rightarrow e^+ K^0$	$K_S^0 \rightarrow \pi^0 \pi^0$...	1.3	1.8	1.3	5.2	...	5.8
	$K_S^0 \rightarrow \pi^+ \pi^-$ Method 1	8.3	2.5	2.9	1.4	6.9	...	12.
	$K_S^0 \rightarrow \pi^+ \pi^-$ Method 2	5.5	17.	3.4	3.4	5.2	...	19.

TABLE III. Summary of nucleon decay search. The numbers in the parentheses are the systematic uncertainties.

Mode	Method	Efficiency (%)	Background	Candidate	Lower limit ($\times 10^{32}$ years)
$p \rightarrow \bar{\nu}K^+$	total	23
	$K^+ \rightarrow \pi^+\pi^0$ search	6.0 (8.8%)	0.6 (74.%)	0	7.8
	prompt gamma-ray search	8.6 (20.%)	0.7 (59.%)	0	10.
	monoenergetic muon search	35.6 (2.5%)	6.4
$n \rightarrow \bar{\nu}K^0$	total	1.3
	$K_S^0 \rightarrow \pi^0\pi^0$	6.9 (16.%)	19. (44.%)	14	1.3
	$K_S^0 \rightarrow \pi^+\pi^-$	5.5 (14.%)	11. (41.%)	20	0.69
$p \rightarrow \mu^+K^0$	total	13
	$K_S^0 \rightarrow \pi^0\pi^0$	5.4 (11.%)	0.4 (78.%)	0	7.0
	$K_S^0 \rightarrow \pi^+\pi^-$ Method 1	7.0 (9.5%)	3.2 (41.%)	3	4.4
	$K_S^0 \rightarrow \pi^+\pi^-$ Method 2	2.8 (12.%)	0.3 (76.%)	0	3.6
$p \rightarrow e^+K^0$	total	10
	$K_S^0 \rightarrow \pi^0\pi^0$	9.2 (5.8%)	1.1 (62.%)	1	8.4
	$K_S^0 \rightarrow \pi^+\pi^-$ Method 1	7.9 (12.%)	3.6 (50.%)	5	3.5
	$K_S^0 \rightarrow \pi^+\pi^-$ Method 2	1.3 (19.%)	0.04 (146.%)	0	1.6

many other modes is more than 50%, because of low statistics for atmospheric neutrino events which survive all selection criteria. The uncertainties in all of the searches are summarized in Table III.

F. Results

In the absence of any significant nucleon decay signature, we interpret our results as lower limits of nucleon partial lifetime for each decay mode using the following method [32].

First, based on Bayes theorem, we calculate the nucleon decay probability, $P(\Gamma|n_i)$, as follows:

$$P(\Gamma|n_i) = A \iiint \frac{e^{-(\Gamma\lambda_i\epsilon_i+b_i)}(\Gamma\lambda_i\epsilon_i+b_i)^{n_i}}{n_i!} P(\Gamma)P(\lambda_i) \times P(\epsilon_i)P(b_i)d\lambda_i d\epsilon_i db_i. \quad (4)$$

Here a Poisson distribution is assumed for the nucleon decay probability; n_i is the number of candidate events in the i th nucleon decay search; Γ is the total decay rate; λ_i is the corresponding detector exposure; ϵ_i is the detection efficiency including the meson branching ratio; and b_i is the expected background. In our search, λ_i is 3.05×10^{34} proton \cdot year for proton decay and 2.44×10^{34} neutron \cdot year for neutron decay. $P(\Gamma)$ is the decay rate probability density. We assume $P(\Gamma)$ as one for $\Gamma > 0$ and otherwise zero. The uncertainties of detector exposure [$P(\lambda_i)$], detection efficiency [$P(\epsilon_i)$] and background [$P(b_i)$] are expressed as follows:

$$P(\lambda_i) = \delta(\lambda_i - \lambda_{0,i}) \quad (5)$$

$$P(\epsilon_i) = e^{-(\epsilon_i - \epsilon_{0,i})^2/2\sigma_{\epsilon_i}^2} (0 \leq \epsilon_i \leq 1, \text{ otherwise } 0) \quad (6)$$

$$P(b_i) = \frac{1}{b_i} \int_0^\infty \frac{e^{-b'}(b')^{n_{b,i}}}{n_{b,i}!} e^{-(b'-b_i C_i)^2/2\sigma_{b_i}^2} db' (0 \leq b_i, \text{ otherwise } 0), \quad (7)$$

where $\lambda_{0,i}$ is the estimated exposure, $\epsilon_{0,i}$ is the estimated detection efficiency, σ_{ϵ_i} is the estimated uncertainty in the detection efficiency, C_i is the MC oversampling factor, and σ_{b_i} is the uncertainty in the background. The number of background events, $n_{b,i}$, is calculated by

$$n_{b,i} = 0.67 \times n_{CC\nu_\mu} + 1.07 \times n_{NC,CC\nu_e}, \quad (8)$$

where $n_{CC\nu_\mu}$ is the number of background events from charged current ν_μ interaction and $n_{NC,CC\nu_e}$ is the number of background events from charged current ν_e and all neutral current interaction as explained earlier.

Because the uncertainty in the exposure is small, a delta function is assumed for $P(\lambda_i)$. The lower limit of the nucleon decay rate, Γ_{limit} , is calculated using Eq. (9), where n is the number of searches for a decay mode. In our search, we calculate 90% confidence levels (CL), i.e., CL = 0.9 as follows:

$$\text{CL} = \frac{\int_{\Gamma=0}^{\Gamma_{\text{limit}}} \prod_{i=1}^n P(\Gamma|n_i) d\Gamma}{\int_{\Gamma=0}^{\infty} \prod_{i=1}^n P(\Gamma|n_i) d\Gamma}. \quad (9)$$

The lower limit of partial nucleon lifetime τ/B is then calculated by

$$\tau/B = \frac{1}{\Gamma_{\text{limit}}} \sum_{i=1}^n [\epsilon_{0,i} \cdot \lambda_{0,i}]. \quad (10)$$

In the $p \rightarrow \bar{\nu}K^+$, prompt gamma-ray and $K^+ \rightarrow \pi^+\pi^0$ search, the lower limit of the nucleon partial lifetime is found to be 1.0×10^{33} years and 7.8×10^{32} years at 90% CL, respectively. Only for the monoenergetic muon chan-

nel of the $p \rightarrow \bar{\nu}K^+$ search, we use a special method because of the large background. We search for an excess in the signal region (B3) of the muon momentum distribution. After applying criteria (B1,B2,B7), the events are divided into three momentum bins; p_μ are 200–215 MeV/ c , 215–260 MeV/ c , and 260–

305 MeV/ c . The numbers of events in each momentum bin (n_1, n_2, n_3) are 76, 181, 185 events, respectively. The expected numbers of neutrino MC events in each momentum bin (b_1, b_2, b_3) are 78, 223, 182 events, respectively. The nucleon decay probabilities $P(\Gamma|n_1, n_2, n_3)$ is then calculated using Eq. (11):

$$P(\Gamma|n_1, n_2, n_3) = A \iiint \prod_{i=1}^3 \frac{e^{-(\Gamma\lambda_i\epsilon_i + b_{\text{shape},i} * b)} (\Gamma\lambda_i\epsilon_i + b_{\text{shape},i} * b)^{n_i}}{n_i!} P(\Gamma)P(\lambda_i)P(\epsilon_i)P(b)P(b_{\text{shape},i})d\lambda_i d\epsilon_i db db_{\text{shape},i}, \quad (11)$$

where $i = 1, 2, 3$, corresponds to 200–215 MeV/ c , 215–260 MeV/ c , and 260–305 MeV/ c , respectively; $P(b)$ is defined as one for $0 < b$ and otherwise zero; ϵ_1, ϵ_2 , and ϵ_3 are estimated to be 0.25%, 34%, and 1.3%, respectively. The background shape $b_{\text{shape},i}$ is b_i divided by b_2 . The uncertainty function of the background shape $P(b_{\text{shape},i})$ is defined to be a Gaussian function for $i = 1, 3$ and a delta function for $i = 2$. The uncertainties for $i = 1, 3$ are then estimated to be 7% and 8% from the MC model difference, respectively. From Eqs. (9) and (10), using $P(\Gamma|n_1, n_2, n_3)$ instead of $P(\Gamma|n_i)$, the decay limit is calculated to be 6.4×10^{32} years at 90% CL. Combining the three methods, the lower limit on the partial lifetime of proton via $p \rightarrow \bar{\nu}K^+$ is 2.3×10^{33} years at 90% CL.

In the $n \rightarrow \bar{\nu}K^0$ analysis, although we observe more events than the expected background in the $K_S^0 \rightarrow \pi^+\pi^-$ search, there is no excess in the $K_S^0 \rightarrow \pi^0\pi^0$ search. Therefore, we also set a nucleon decay lifetime limit in this article. Combining two methods, we obtain the lifetime limit of 1.3×10^{32} years at 90% CL. In the $p \rightarrow \mu^+K^0$ and $p \rightarrow e^+K^0$ search, by combining three methods, the lifetime lower limits are set to be 1.3×10^{33} years and 1.0×10^{33} at 90% CL, respectively. The limits for all searches are summarized in Table III.

VII. CONCLUSION

We have searched for nucleon decay via $p \rightarrow \bar{\nu}K^+, n \rightarrow \bar{\nu}K^0, p \rightarrow \mu^+K^0$, and $p \rightarrow e^+K^0$ from an exposure of 92 kton · year. No significant excess above background is observed. The lower limits of the partial nucleon lifetime at 90% CL for each mode are 2.3×10^{33} , 1.3×10^{32} , 1.3×10^{33} , and 1.0×10^{33} years, respectively, (See Table III). From these results minimal SUSY SU(5) is fully excluded [9]. These results also give strong constraints on other SUSY GUT models [8,11].

ACKNOWLEDGMENTS

We gratefully acknowledge the cooperation of the Kamioka Mining and Smelting Company. The Super-Kamiokande experiment has been built and operated from funding by the Japanese Ministry of Education, Culture, Sports, Science and Technology, the United States Department of Energy, and the U.S. National Science Foundation. Some of us have been supported by funds from the Korean Research Foundation (BK21) and the Korea Science and Engineering Foundation, the Polish Committee for Scientific Research (Grant No. 1P03B08227), Japan Society for the Promotion of Science, and Research Corporation.

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