

# Exact measurement of flat surface profiles by object shifts in a phase-conjugate Fizeau interferometer

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**Abstract.** We propose a method of exact measurement of flat surface profiles in a phase-conjugate Fizeau interferometer. Aberration of lenses causes an undesirable phase distribution in the interference signal of the phase-conjugate Fizeau interferometer. To eliminate this phase distribution, we shift the object in two directions orthogonal to each other and we get difference values of the surface profile of the object. Additional displacements of the object surface involved in the shifts lead to some small errors in the difference values. We estimate an exact surface profile by solving the difference equations. Characteristics of the method are made clear through computer simulations and measurements of a 40-mm-diam flat mirror.

*Subject terms:* flatness testing; interferometers; phase conjugation.  
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## 1 Introduction

When we make absolute measurement of an optical flat with a Fizeau interferometer, we use the three-flat method in which two flats are combined among three flats to provide an object surface and a reference surface.<sup>1</sup> Because Fizeau interferometers have a common path for the object and reference waves, aberrations of lenses and roughness of optical elements do not cause any undesirable phase distribution in an interference signal. The three-flat method is used to eliminate flatness deviation of the reference surface. This method has been developed into the rotation method<sup>2–4</sup> and the rotation shift method.<sup>5</sup> In the rotation method, three basic combinations and one rotational combination for the three flats are used to make absolute measurement on the entire surface of an object. In the rotation shift method, a parallel shift of a flat is incorporated in a basic combination, which is suitable to image detectors of a square grid structure.<sup>5,6</sup>

When we use a phase-conjugate Fizeau interferometer<sup>7</sup> in which the reference wave is a phase-conjugate wave of the object wave, aberrations of lenses and roughness of optical elements cause an undesirable phase distribution in an interference signal. To make exact measurement, we must consider a method different from the three-flat method. We propose a method of exact measurement of flat surface profile

in the phase-conjugate Fizeau interferometer. In the method the object is shifted in two directions orthogonal to each other by the intervals of measuring points. These shifts provide difference values of the surface profile of an object assuming that the shifts do not change the undesirable phase distribution. This assumption is valid for optical flats whose variations of the surface profiles are so slow that the shifts of the object almost do not change the propagation direction of the light reflected by the object. In practice the shifts of the object involve additional displacements of the object surface. In order to eliminate effects of the displacement, we calculate the tilt and the average height of the raw detected phase distribution and subtract them from the raw detected phase distribution. This processing changes slightly a mathematical reference plane that is defined before the shifts and produces very small errors in the difference values of the surface profile of the object. This is why the measurement is not absolute. We obtain an exact surface profile by solving the difference equations. As a method of solving the equations, we use the conjugate gradient method to make the difference values of an estimated surface profile equal to the measured difference values.

In Sec. 2 we review a phase-conjugate interferometer, and in Sec. 3 we propose a method of exact measurement. Section 4 presents computer simulations to examine the effects of noise contained in the difference values and the effects of error in the distance of the shifts. In Sec. 5 experimental results of the exact measurement of a flat mirror are presented, and in Sec. 6 we discuss the effects of the small

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error contained in the difference values to show clearly the usefulness of the method.

### 2 Phase-Conjugate Fizeau Interferometer

To carry out exact measurements of optical flats, we use a phase-conjugate Fizeau interferometer that has already been reported in Ref. 7. Figure 1 shows a sinusoidal phase-modulating (SPM) Fizeau interferometer using a self-pumped phase-conjugate wave. By using this interferometer, we can measure surface profiles without being affected by the time-varying phase change of the object wave. A special feature of the interferometer is that it is completely free of the vibrations of objects. However, an interferometer using a phase-conjugate wave is sensitive to an undesirable phase distribution caused by aberrations of lenses and roughness of optical elements. It is important that a method of exact measurement is incorporated in this interferometer to eliminate the undesirable phase distribution.

We review the principle of the SPM Fizeau interferometer using a self-pumped phase-conjugate wave. A laser beam collimated with lenses L1 and L2 is incident on a surface of the object through a beamsplitter BS1. The object field generated by the object surface is written as

$$U_o(x) = \exp[2jkr(x)] \quad (1)$$

where  $r(x)$  is the surface profile of the object,  $x$  is a coordinate on the object, and  $k$  is the wave number. The object wave is bent at right angles by the beamsplitter BS1. Lenses L2 and L3 form an object field in the image space at the surface of the glass plate. The transmitted beam through the glass plate is focused with lens L4 into the self-pumped conjugator of a BaTiO<sub>3</sub> crystal, which produces a phase-conjugate wave. The phase-conjugate wave interferes with the object wave on the glass plate. In this interferometer, the phase-conjugate wave is considered as a reference wave, and the beam reflected by the glass plate is considered as the object wave.

Sinusoidal phase modulating interferometry is used to obtain the phase distribution of the interference pattern. To phase-modulate the object beam, the glass plate is sinusoidally vibrated with piezoelectric transducers (PZTs). The vibration of the glass plate is expressed by

$$A(t) = a \cos(\omega_c t + \theta) \quad (2)$$

The reference and object fields on the glass plate can be written as

$$U_{1R}(x') = R \exp[-2jkr(x')] \quad (3)$$

$$U_{1O}(x') = \exp[2jkr(x') + Z \cos(\omega_c t + \theta)] \quad (4)$$

where  $R$  is the phase-conjugate reflectivity,  $Z = (4\pi/\lambda)a$ , and  $x'$  is a coordinate on the glass plate. The lens L5 images these fields on a CCD image sensor. The interference pattern generated from  $U_{1R}(x')$  and  $U_{1O}(x')$  on the CCD image sensor is expressed as

$$I(x'', t) = 1 + R^2 + 2R \cos[Z \cos(\omega_c t + \theta) + \alpha] \quad (5)$$

where

$$\alpha = (8\pi/\lambda)r(x'') \quad (6)$$

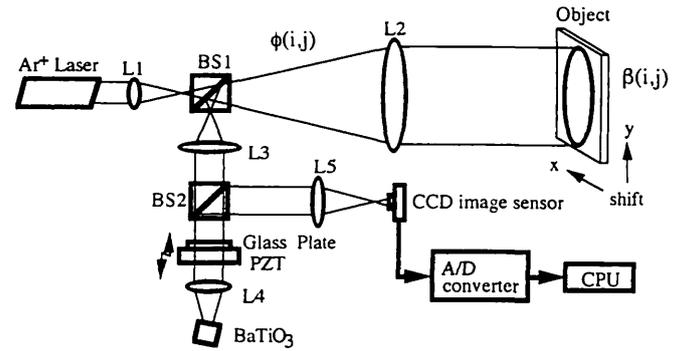


Fig. 1 Exact measurement in a sinusoidal phase-modulating Fizeau interferometer using a self-pumped phase-conjugate wave.

and  $x''$  is a coordinate on the CCD image sensor. The phase  $\alpha$  is obtained from the detected signal with the Fourier transform method<sup>8</sup> or the integrating-bucket method.<sup>9</sup>

### 3 Method of Exact Measurement

When the diameter of an object is large, the diameter of the lens L2 also becomes large and has aberration. Then an undesirable phase distribution  $\phi$  is introduced by the aberrating lens. In this case the phase-conjugate interferometer detects the phase distribution  $\alpha = \beta + \phi$ , where  $\beta$  is the phase distribution that represents the surface profile of the object. We propose a method for eliminating the undesirable phase distribution to measure exactly the flat surface profile of the object.

First, we detect the phase distribution,

$$\bar{\alpha}(i, j) = \beta(i, j) + \phi(i, j) \quad , \quad i = 1 \sim L, j = 1 \sim M \quad (7)$$

where a point  $(i, j)$  indicates a measuring point. The measuring points are located at intervals of  $d_x$  and  $d_y$  in the  $x$ -axis and  $y$ -axis directions, respectively. We fit the detected raw phase distribution  $\bar{\alpha}(i, j)$  to a plane  $P_\alpha$  in the least-squares sense across the measuring region of  $i = 1 \sim L$  and  $j = 1 \sim M$ . The mathematical reference plane  $P_\alpha$  is expressed as

$$P_\alpha(i, j) = (i - i_c)A_\alpha + (j - j_c)B_\alpha + C_\alpha \quad , \quad i = 1 \sim L, j = 1 \sim M \quad (8)$$

where the coordinates  $(i_c, j_c)$  are a central point of the measuring region. We obtain the phase distribution  $\alpha(i, j)$  by subtracting the tilts  $A_\alpha$  and  $B_\alpha$  and the average height  $C_\alpha$  from the  $\bar{\alpha}(i, j)$ :

$$\alpha(i, j) = \beta(i, j) + \phi(i, j) - P_\alpha(i, j) \quad , \quad i = 1 \sim L, j = 1 \sim M \quad (9)$$

Second, we shift the object in the horizontal direction or the  $x$ -axis direction by the interval  $d_x$  of the measuring points, as shown in Fig. 1. During this shift, the object surface is generally subjected to an additional displacement besides the shift of  $d_x$ . The additional displacement is expressed as

$$D_h(i, j) = (i - i_c)A_h + (j - j_c)B_h + C_h \quad , \quad i = 1 \sim L, j = 1 \sim M \quad (10)$$

It is assumed that the undesirable phase distribution  $\phi$  does not change by the shift and the additional displacement of the object surface. This means the object surface is so smooth and the additional displacement is so small that the propagation direction of the light reflected by the object surface almost does not change after the shift of the object. In this condition we detect the phase distribution

$$\bar{\alpha}_h(i,j) = \beta(i+1,j) + \phi(i,j) + D_h(i,j) ,$$

$$i = 1 \sim L, j = 1 \sim M. \quad (11)$$

To eliminate the  $D_h(i,j)$ , we subtract the tilt and the average height of  $\bar{\alpha}_h(i,j)$  from the  $\bar{\alpha}_h(i,j)$ . We obtain the phase distribution

$$\alpha_h(i,j) = \beta(i+1,j) + \phi(i,j) - P_h(i,j) ,$$

$$i = 1 \sim L, j = 1 \sim M, \quad (12)$$

where the  $P_h(i,j)$  is a mathematical reference plane for the phase distribution  $\beta(i+1,j) + \phi(i,j)$  across the measuring region of  $i = 1 \sim L$  and  $j = 1 \sim M$ . Since the distribution of  $\beta(i+1,j)$  across the measuring region is a little different from that of  $\beta(i,j)$ , the reference plane  $P_h$  is a little different from the reference plane  $P_\alpha$ .

Third, we shift the object in the vertical direction or the  $y$ -axis direction by the interval  $d_v$  of the measuring points. In the same way as in the shift of the  $x$ -axis direction, we obtain the phase distribution  $\alpha_v(i,j)$  by subtracting the tilt and the average height of the raw detected phase distribution  $\bar{\alpha}_v(i,j)$  from the  $\bar{\alpha}_v(i,j)$ :

$$\alpha_v(i,j) = \beta(i,j+1) + \phi(i,j) - P_v(i,j) ,$$

$$i = 1 \sim L, j = 1 \sim M, \quad (13)$$

where the  $P_v(i,j)$  is a reference plane for the phase distribution  $\beta(i,j+1) + \phi(i,j)$  across the measuring region. The  $P_v$  is a little different from the reference plane  $P_\alpha$ . The  $\alpha_v(i,j)$  also does not contain the additional displacement  $D_v(i,j)$  of the object surface, which is caused by the shift of  $d_v$ .

Finally, we obtain the following values from the three measured values  $\alpha$ ,  $\alpha_h$ , and  $\alpha_v$  as follows:

$$h_d(i,j) = \alpha_h(i,j) - \alpha(i,j) = \beta(i+1,j) - \beta(i,j) + \Delta P_h(i,j) ,$$

$$i = 1 \sim L-1, j = 1 \sim M, \quad (14)$$

$$v_d(i,j) = \alpha_v(i,j) - \alpha(i,j) = \beta(i,j+1) - \beta(i,j) + \Delta P_v(i,j) ,$$

$$i = 1 \sim L, j = 1 \sim M-1, \quad (15)$$

where

$$\Delta P_h(i,j) = P_\alpha(i,j) - P_h(i,j) ,$$

$$\Delta P_v(i,j) = P_\alpha(i,j) - P_v(i,j) . \quad (16)$$

It is assumed that the differences  $\Delta P_h(i,j)$  and  $\Delta P_v(i,j)$  are so small that we can neglect them. Under this condition the  $h_d(i,j)$  and  $v_d(i,j)$  are considered to be equal to the following equations, respectively:

$$h(i,j) = \beta(i+1,j) - \beta(i,j) , \quad i = 1 \sim L-1, j = 1 \sim M, \quad (17)$$

$$v(i,j) = \beta(i,j+1) - \beta(i,j) , \quad i = 1 \sim L, j = 1 \sim M-1. \quad (18)$$

These values are the difference values of  $\beta(i,j)$  according to  $i$  and  $j$ , respectively, and they do not contain the undesirable phase distribution  $\phi$ . Effects of the  $\Delta P_h(i,j)$  and  $\Delta P_v(i,j)$  will be discussed in Sec. 6.

The next step is to calculate the phase  $\beta$  from the  $h$  and  $v$ , or the difference values of  $\beta$ . For the sake of simplicity, we consider a simple case of  $3 \times 3$  measuring points, that is,  $L = M = 3$ . The relationship between  $h$ ,  $v$ , and  $\beta$  is given by the matrix representation

$$\begin{bmatrix} h(1,1) \\ h(2,1) \\ h(1,2) \\ h(2,2) \\ h(1,3) \\ h(2,3) \\ v(1,1) \\ v(2,1) \\ v(3,1) \\ v(1,2) \\ v(2,2) \\ v(3,2) \end{bmatrix} = \begin{bmatrix} -1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 \\ -1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \beta(1,1) \\ \beta(2,1) \\ \beta(3,1) \\ \beta(1,2) \\ \beta(2,2) \\ \beta(3,2) \\ \beta(1,3) \\ \beta(2,3) \\ \beta(3,3) \end{bmatrix} \quad (19)$$

We define a vector  $\gamma$  whose elements are  $h(i,j)$  and  $v(i,j)$  and a vector  $\beta$  whose elements are  $\beta(i,j)$ . Generally, Eq. (19) is reduced to

$$\gamma = A\beta . \quad (20)$$

When the measuring points are  $L \times M$  points, the vector  $\beta$  has  $LM$  elements and the vector  $\gamma$  has  $2LM - (L + M)$  elements. We can solve the linear equation of Eq. (20) for the unknowns  $\beta$ . We obtain a solution  $\beta$  by minimizing the objective function

$$B = \|\gamma - A\beta\|^2 \quad (21)$$

with the conjugate gradient method.<sup>10</sup>

There are different methods to obtain a solution  $\beta$ . We can use a least-squares solution of Eq. (20), or solve Eq. (20) by using a singular value decomposition of the matrix  $A$ . These matrix computations require a large amount of memory in a computer. If the numbers  $L$  and  $M$  are  $2^n$ , where  $n$  is an integer, we can use fast Fourier transform to obtain a solution  $\beta$  in a short time from the difference equations of Eqs. (17) and (18).<sup>11</sup> As shown above, we adopt the conjugate gradient method that does not require as much memory as the matrix computations and does not impose a restriction on the numbers  $L$  and  $M$ .

#### 4 Numerical Analysis

We did computer simulations to examine some characteristics of this method. In this simulation we made the difference values of an object  $\beta(i,j)$  without considering the  $D_h$ ,  $D_v$ ,  $\Delta P_h$ , and  $\Delta P_v$ . First, we consider effect of noise contained

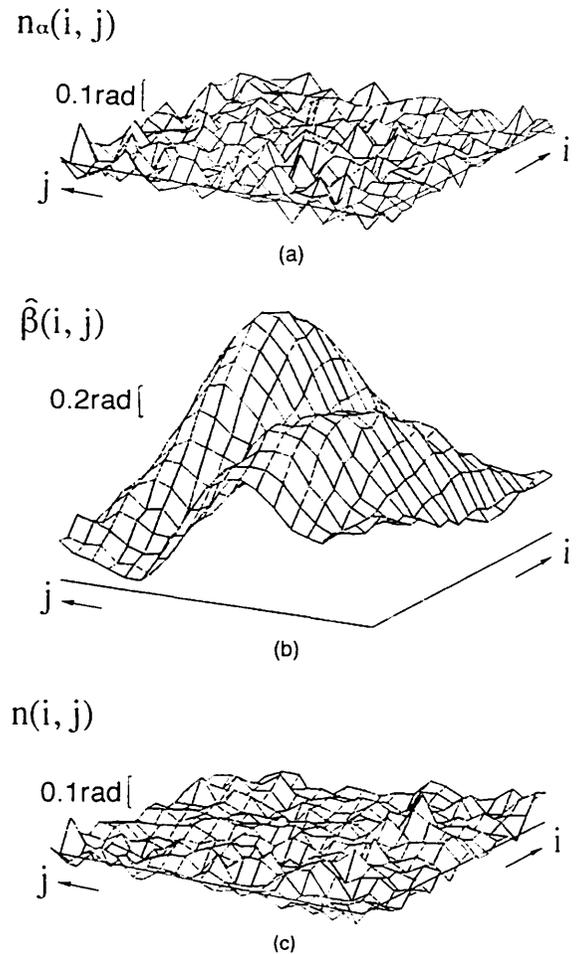
in the  $\alpha$ ,  $\alpha_h$ , and  $\alpha_v$ . We used Gaussian noise whose mean value and standard deviation  $\sigma$  were zero and  $3.1 \times 10^{-2}$  rad, respectively. The standard deviation  $3.1 \times 10^{-2}$  rad is expressed as  $\lambda/200$  in wavelength  $\lambda$ . We made three different noises  $n_\alpha$ ,  $n_h$ , and  $n_v$  for the  $\alpha$ ,  $\alpha_h$ , and  $\alpha_v$ , respectively. Figure 2(a) shows the noise  $n_\alpha(i, j)$ , where  $L = M = 20$ . Standard deviation and peak-valley distance (P-V) of a surface profile of the object  $\beta(i, j)$  were  $3.1 \times 10^{-1}$  rad ( $\lambda/20$ ) and 1.5 rad ( $\lambda/4$ ), respectively. The standard deviation of the noise is one-tenth that of the surface profile. We made the distributions of  $h(i, j)$  and  $v(i, j)$  from the object  $\beta(i, j)$  and added the noises of  $n_\alpha - n_h$  and  $n_\alpha - n_v$  to the  $h$  and  $v$ , respectively. We obtained an estimated phase distribution of the surface profile  $\hat{\beta}(i, j)$  which is shown in Fig. 2(b). The noise  $n(i, j)$  contained in the  $\hat{\beta}(i, j)$  is shown in Fig. 2(c), and the standard deviation of the noise  $n(i, j)$  is  $1.2\sigma$ . This result indicates that this method provides a good solution  $\hat{\beta}(i, j)$  without increasing the noises contained in the detected phase distributions.

Next we consider how much the measurement error occurs when the shifts of the object are not equal to the interval  $d_x$  or  $d_y$  of the measuring points. The surface profile  $\beta(i, j)$  is given at the measuring point  $(i, j)$  before the shifts. When the object is shifted by  $rd_x$  or  $rd_y$ , the value of  $\beta$  on the measuring point  $(i, j)$  after the shift is calculated with a linear interpolation from the two adjacent values of  $\beta$  that are close to the point  $(i, j)$ . We estimated the  $\hat{\beta}(i, j)$  from the data calculated in the existence of the shift error and obtained the standard deviation of the difference  $\hat{\beta}(i, j) - \beta(i, j)$ . The standard deviation of the difference divided by that of  $\beta(i, j)$  is referred to as the error  $E_R$ . In Fig. 3 the error  $E_R$  expressed in percentages is shown as a function of ratio of shift  $r$ . Three different cases are considered. In case (1) the horizontal shift in the  $x$  direction is  $rd_x$ , and the vertical shift in the  $y$  direction is  $d_y$ . We also have case (2) of  $d_x$  and  $rd_y$ , and case (3) of  $rd_x$  and  $rd_y$ . The errors in case (1) are larger than those in case (2). This result is due to the fact that the variation of the surface profile  $\beta(i, j)$  along the  $x$  axis is larger than that along the  $y$  axis. If the error  $E_R$  is expected to be less than 5%, the ratio of shift must be between 0.92 and 1.08 in case (3). It is not so difficult to get the accuracy of the shift corresponding to these ratios.

## 5 Exact Measurements of 2-D Surface Profiles

We measured the surface profile of a 40-mm-diam flat mirror in the interferometer shown in Fig. 1. The lens L1 is a  $40 \times$  microscopic objective lens, and the focal lengths of lenses L2 and L3 were 500 and 70 mm, respectively. The object was placed at 20 mm from lens L2. The distance between L3 and the glass plate was 79 mm to make the object field on the glass plate. The spatial intervals  $d_x$  and  $d_y$  of the measuring points in the two directions were 0.9 and 1.0 mm, respectively. The flat mirror surface was imaged on the CCD image sensor with a magnification of 0.027. The  $40 \times 40$  elements of the CCD image sensor were used to detect the interference pattern. The frequency of the sinusoidal phase modulation is 120 Hz. The surface profile was obtained through the integrating-bucket method.

We detect three phase distributions  $\bar{\alpha}$ ,  $\bar{\alpha}_h$ , and  $\bar{\alpha}_v$ , and we subtracted the tilts and the average heights, that is,  $P_\alpha$ ,  $P_h + D_h$ , and  $P_v + D_v$ , from them to obtain  $\alpha$ ,  $\alpha_h$ , and  $\alpha_v$ , respectively. Figures 4(a), 4(b), and 4(c) show these three



**Fig. 2** Effect of noise contained in measured phase distributions: (a) noise  $n_\alpha(i, j)$  contained in the phase distribution  $\alpha(i, j)$ ; (b) estimated phase distribution of surface profile  $\hat{\beta}(i, j)$ ; and (c) noise  $n(i, j)$  contained in (b).

measured phase distributions  $\alpha$ ,  $\alpha_h$ , and  $\alpha_v$  in roughness on a circular region in the  $40 \times 40$  measuring region. Because these distributions contain the undesirable phase  $\phi$ , the root mean square (RMS) and the P-V of the surface profile are large. The RMS and P-V values are  $\sim 115$  and  $\sim 470$  nm, respectively, in these results. The exact surface profile  $\beta$  obtained from the three surface profiles of Figs. 4(a), 4(b), and 4(c) is shown in Fig. 4(d). The RMS and P-V values are 31 and 161 nm, respectively. These results indicate that we can eliminate the undesirable phase and achieve an exact measurement.

## 6 Discussions

To eliminate the additional displacements  $D_h$  and  $D_v$ , we define the three different reference planes for the three measurements. Then the difference values of  $\beta(i, j)$  contain the  $\Delta P_h$  and  $\Delta P_v$ . We examine how much the existence of the  $\Delta P_h$  and  $\Delta P_v$  affects the solution of  $\beta(i, j)$ . We use the experimental results of  $\beta(i, j)$  shown in Fig. 4(d) and  $\phi(i, j)$  as data of simulations. The distribution of  $\phi(i, j)$  is obtained by subtracting the  $\beta(i, j)$  from the  $\alpha(i, j)$  shown in Fig. 4(a). We made the following distributions for the simulations:

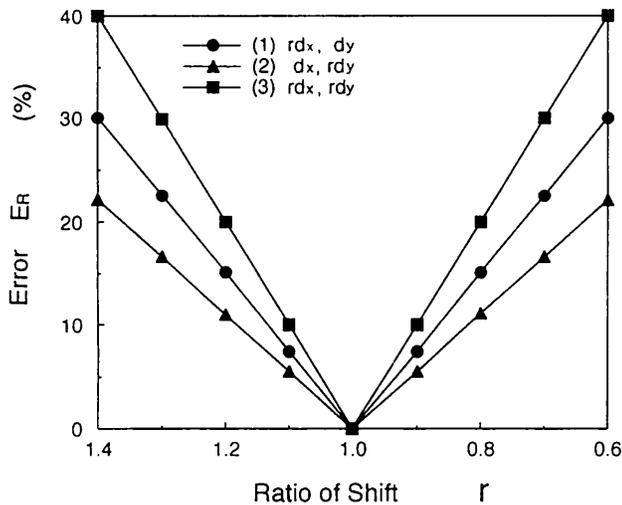


Fig. 3 Effect of error in distance of the shifts on estimated surface profile.

$$\bar{\alpha}(i, j) = \beta(i, j) + \phi(i, j) ,$$

$$\bar{\alpha}_h(i, j) = \beta(i + 1, j) + \phi(i, j) ,$$

$$\bar{\alpha}_v(i, j) = \beta(i, j + 1) + \phi(i, j) , \quad i = 1 \sim 39, j = 1 \sim 39 . \quad (22)$$

We calculated the reference planes  $P_\alpha$ ,  $P_h$ , and  $P_v$  for the distributions  $\bar{\alpha}$ ,  $\bar{\alpha}_h$ , and  $\bar{\alpha}_v$ , respectively, and obtained  $\Delta P_h$  and  $\Delta P_v$ . The results are shown in Table 1. The A and B are the tilts of a plane for the x-axis and y-axis directions, re-

spectively, and the C is the height of a plane in the direction perpendicular to the x-y plane at the central point  $(i_c, j_c)$  of the measuring region. Figure 5 shows the  $h(i, j)$  and  $v(i, j)$  calculated from the distributions given by Eq. (22). We can see that the values of  $\Delta P_h(i, j)$  and  $\Delta P_v(i, j)$  are very small compared with the  $h(i, j)$  and  $v(i, j)$ . The P-V value of the  $h(i, j)$  is  $\sim 55$  nm, while the P-V value of the  $\Delta P_h(i, j)$  is  $\sim 2$  nm in the measuring region of  $\sim 40$  mm as seen from Table 1.

Next, we made the  $h_d(i, j)$  and  $v_d(i, j)$  by adding the  $\Delta P_h$  and  $\Delta P_v$  to the  $h(i, j)$  and  $v(i, j)$ , respectively. We estimated the phase distribution  $\hat{\beta}_{39}(i, j)$  in the measuring region of  $L = M = 39$  from the  $h_d(i, j)$  and  $v_d(i, j)$  that have the small errors  $\Delta P_h(i, j)$  and  $\Delta P_v(i, j)$ . Figure 6 shows the  $\hat{\beta}_{39}(i, j)$  in a circular region, which is almost the same as the  $\beta(i, j)$  shown in Fig. 4(d). Figure 7 shows the difference  $\hat{\beta}_{39}(i, j) - \beta(i, j)$  whose RMS and P-V values are 20% of those of the  $\hat{\beta}_{39}(i, j)$ . This result indicates that the measurement error of this method is 20% in RMS and P-V values for the surface profile shown in Fig. 4(d). This error depends on the values of  $\Delta P_h$  and  $\Delta P_v$ , or the surface profile of the object to be measured.

Finally, we calculated the  $P_\alpha$ ,  $P_h + D_h$ , and  $P_v + D_v$  from the raw phase distributions  $\bar{\alpha}$ ,  $\bar{\alpha}_h$ , and  $\bar{\alpha}_v$  detected in the experiments to obtain the values of  $\Delta P_h - D_h$  and  $\Delta P_v - D_v$ . These values are also shown in Table 1. The additional displacements of the object surface involved in the shifts of the object are very large compared with the  $\Delta P_h$  and  $\Delta P_v$ . Subtracting the tilt and the average height from the raw detected phase distribution is very effective processing to eliminate the additional displacements, although the processing pro-

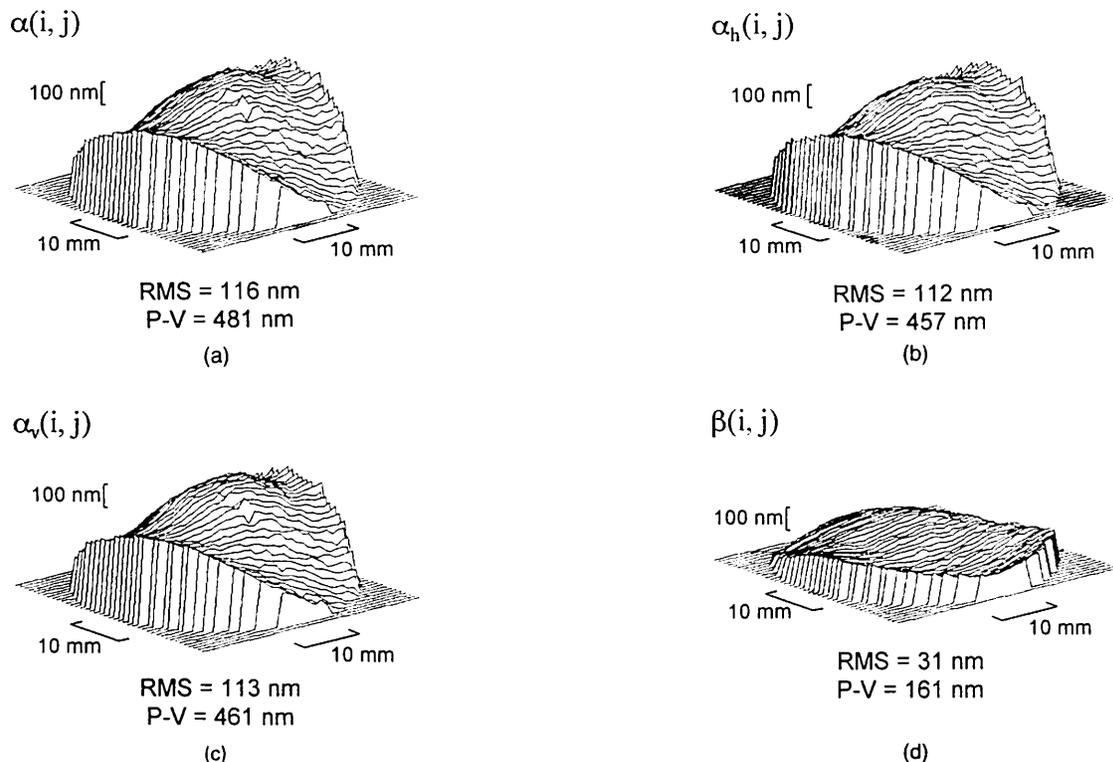
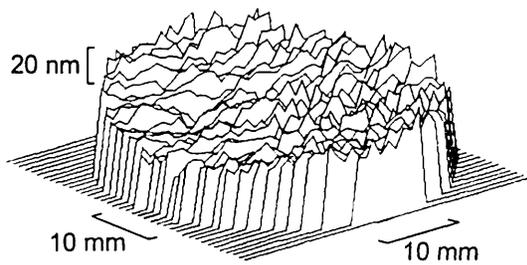


Fig. 4 Experimental results of exact measurement. Phase distributions are expressed as roughness: (a)  $\alpha(i, j)$  before the shifts; (b)  $\alpha_h(i, j)$  after the shift in the x-axis direction; (c)  $\alpha_v(i, j)$  after the shift in the y-axis direction; and (d) estimated surface profile  $\beta(i, j)$  of the flat mirror.

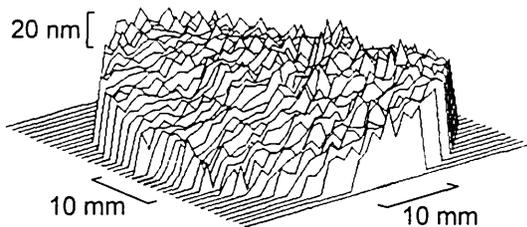
Table 1 Values of tilts and average height of planes.

	A (nm/mm)	B (nm/mm)	C (nm)
$\Delta P_h$	-0.03	0.03	0.001
$\Delta P_v$	0.04	0.009	0.05
$\Delta P_h - D_h$	7.6	6.8	13.9
$\Delta P_v - D_v$	-6.1	2.2	-3.9

 $h(i, j)$ 

RMS = 8 nm P-V = 55 nm

(a)

 $v(i, j)$ 

RMS = 9 nm P-V = 52 nm

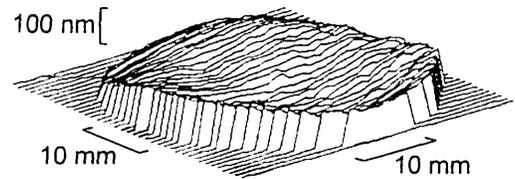
(b)

Fig. 5 Distributions (a)  $h(i, j) = \beta(i+1, j) - \beta(i, j)$  and (b)  $v(i, j) = \beta(i, j+1) - \beta(i, j)$ , where  $i, j = 1 \sim 39$ , obtained from the  $\beta(i, j)$  shown in Fig. 4(d).

duces the three different reference planes and the existence of the  $\Delta P_h$  and  $\Delta P_v$  in the difference values of  $\beta(i, j)$ . If there are no additional displacements, only the reference plane  $P_a$  is defined. Then we can make an absolute measurement.

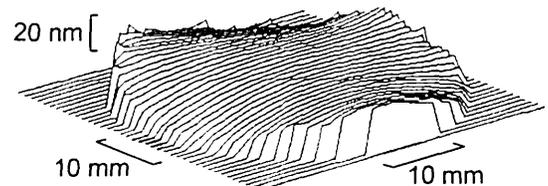
## 7 Conclusions

We have proposed a method of exact measurement of flat surface profiles in the phase-conjugate Fizeau interferometer. We tried to measure exactly a surface profile of a 40-mm-diam flat mirror. We shifted the object in the two directions orthogonal to each other by  $\sim 1$  mm, and we obtained the difference values of the surface profile of the object. Although the additional displacements of the object surfaces involved

 $\hat{\beta}_{39}(i, j)$ 

RMS = 26 nm P-V = 128 nm

Fig. 6 Phase distribution  $\hat{\beta}_{39}(i, j)$  estimated from the  $h_d(i, j)$  and  $v_d(i, j)$  that have  $\Delta P_h(i, j)$  and  $\Delta P_v(i, j)$ , respectively.

 $\hat{\beta}_{39}(i, j) - \beta(i, j)$ 

RMS = 4 nm P-V = 28 nm

Fig. 7 Differences of  $\hat{\beta}_{39}(i, j) - \beta(i, j)$ , where the  $\beta(i, j)$  is shown in Fig. 4(d).

in the shifts were very large, the displacements were eliminated by subtracting the tilt and the average height from the raw detected phase distributions. However, this processing defines the three different reference planes for the three measurements and produced the small errors in the difference values of the surface profile. The numerical simulations indicated that the small errors caused the measurement error of 20% in the RMS and P-V values. Exact measurements showed that the RMS and P-V values of the flat mirror were 31 and 161 nm, respectively. On the other hand those values of the flat mirror were  $\sim 70$  and  $\sim 300$  nm, respectively, when the undesirable phase distribution caused by aberration of the lenses was not eliminated. The experimental results clearly show that we can measure exactly surface profiles in the phase-conjugate Fizeau interferometer with the method proposed. We will study further in the future about the measurement accuracy that depends on the surface profile of the object.

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