

# Exact measurements of surface profiles of a glass plate by a superluminescent diode interferometer

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**Abstract.** A superluminescent diode interferometer that uses sinusoidal phase-modulating interferometry and the shifting method is applied to measure the front and rear surface profiles of a glass plate with an accuracy of a few nanometers. Since the roughness of the reference surface is large, a glass plate is put behind the object as another reference surface, which is estimated by the shifting method with an accuracy of a few nanometers. © 1999 Society of Photo-Optical Instrumentation Engineers. [S0091-3286(99)01310-0]

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## 1 Introduction

Low coherence interferometers with a superluminescent diode (SLD) are widely used for reflectometry<sup>1-3</sup> and optical coherence tomography<sup>4</sup> in which the positions of multiple reflecting surfaces are measured by detecting amplitudes of the interference signals. If a low coherence interferometer is applied for measurement of front and rear surface profiles of a glass plate, phase distributions of the interference signals must be detected. It has been reported that front and rear surface profiles and distribution of refractive index of a glass plate were measured by a wavelength-scanning interferometer.<sup>5</sup> In this case, phase-shifting interferometry was employed to detect the phase of the interference signal, and the root mean square (rms) error in the measurement of surface profiles was less than 15 nm.

In this paper, sinusoidal phase-modulating (SPM) interferometry<sup>6,7</sup> is employed for the phase detection in a low coherence interferometer with an SLD. It is assumed that distribution of refractive index of a glass plate is homogenous and known beforehand. Then the SLD interferometer can measure front and rear surface profiles of a glass plate, and SPM interferometry provides us measurement accuracy of a few nanometers. The sinusoidal phase modulation is generated by sinusoidally vibrating reference glass plate GP1 with a piezoelectric transducer (PZT). The attachment of the PZT to a rear surface of GP1 causes a large roughness in the reference surface of the interferometer. To eliminate the effects of the reference surface profile on the measurement of surface profiles of an object, glass plate GP2 is put behind the object. The front surface of GP2 can be considered to be another reference surface of the interferometer. However, the surface roughness of GP2 is larger than a few nanometers, which requires that the front surface profile of GP2 is known beforehand. For this requirement the method proposed in Ref. 8 is applied to estimate the front surface profile of GP2. Since the surface roughness of GP2 is smaller than that of GP1 and the object, it is a good way to estimate the front surface profile of

GP2. This paper describes how to measure front and rear surface profiles of a glass plate with an accuracy of a few nanometers through exact estimation of the surface profile of GP2.

## 2 SLD Interferometer Using Sinusoidal Phase Modulation

Figure 1 shows a configuration of an interferometer for an exact measurement of front and rear surface profilers of a glass plate. A central wavelength  $\lambda_0$  of a SLD is 840 nm, and the half width of the spectrum is about 20 nm, which leads to the characteristic that the coherence length of the SLD is shorter than about 20  $\mu\text{m}$ . A reference light of the interferometer is a light reflected by a front surface of glass plate GP1. A PZT is attached to the rear surface of GP1 to vibrate GP1 with a waveform of  $a\cos(\omega_c t + \theta)$ . The reference light is phase-modulated sinusoidally with amplitude of  $z = (4\pi/\lambda_0)a$ . Lights reflected by front and rear surfaces of an object, and a front surface of glass plate GP2 become an object light of the interferometer. When an optical path difference (OPD)  $L$  is less than the coherence length of the SLD, we detect an interference signal

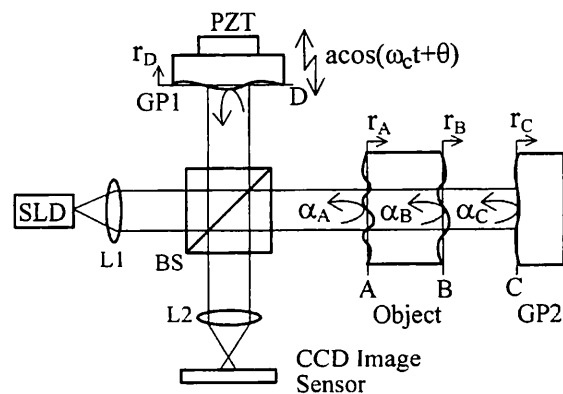


Fig. 1 SLD interferometer using sinusoidal phase modulation for measurement of surface profiles of a glass plate.

$$S(t) = S_0 \cos [z \cos (\omega_c t + \theta) + \alpha], \quad (1)$$

where phase  $\alpha$  is  $(2\pi/\lambda_0)L$ . We can detect an interference signal expressed by Eq. (1) for each reflecting surface separately by displacing glass plate GP1 so that the OPD becomes almost zero for the respective surface. From the detected interference signal a phase  $\alpha$  is calculated with the method of SPM interferometry.

### 3 Principle of Exact Measurement

The object is a glass plate whose front and rear surface profilers are represented by  $r_A$  and  $r_B$ , which are deviations from reference planes A and B, respectively, as shown in Fig. 1. Attachment of the PZT to GP1 with glue causes a large roughness in the surface profile of GP1. The front surface profile of glass plate GP1 is represented by  $r_D$ , and its reference plane is D. To eliminate effects of surface profile  $r_D$  on the measured surface profiles  $r_A$  and  $r_B$ , glass plate GP2 is put behind the object. The surface profile of GP2 is represented by  $r_C$ , and its reference plane is C. By displacing glass plate GP1, three different interference signals can be detected that are produced from three different lights reflected by the front and rear surfaces of the object, and the front surface of GP2, respectively. Phases of the three interference signals are given by

$$\alpha_A = (4\pi/\lambda_0)(r_A - r_D), \quad (2)$$

$$\alpha_B = \alpha_A + (4\pi/\lambda_0)n(r_B - r_A), \quad (3)$$

$$\alpha_C = \alpha_B + (4\pi/\lambda_0)(r_C - r_B), \quad (4)$$

where  $n$  is the refractive index of the object glass plate. From Eqs. (2)–(4) we have

$$r_A = (\lambda_0/4\pi)\{(1/n)\alpha_A + [1 - (1/n)]\alpha_B - \alpha_C\} + r_C, \quad (5)$$

$$r_B = (\lambda_0/4\pi)(\alpha_B - \alpha_C) + r_C. \quad (6)$$

Equations (5) and (6) indicate that surface profiles  $r_A$  and  $r_B$  can be measured from the three phases of the three interference signals without being influenced by surface profile  $r_D$  if surface profile  $r_C$  is a perfect plane. Since surface profile  $r_C$  is not a perfect plane in a practical situation, measurement of the surface profile  $r_C$  is required. For this measurement we adopt the method proposed in Ref. 8, which is referred to here as the shifting method.

We consider 2-D phase distribution  $\alpha_C(x, y)$  to explain how to measure surface profile  $r_C$  by the shifting method. After detecting phase distribution  $\alpha_C(x, y)$ , glass plate GP2 is shifted by an interval  $d_x$  of measuring points in the direction of  $x$ -axis to detect phase distribution  $\alpha_C(x + d_x, y)$ . In a similar way, phase distribution  $\alpha_C(x, y + d_y)$  is detected by shifting GP2 in the direction of  $y$ -axis. From these phase distributions, we obtain difference values of  $r_C(x, y)$  with respect to the  $x$ -axis and the  $y$ -axis as follows:

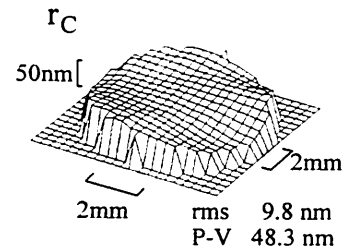


Fig. 2 Estimated surface profile of  $r_C$ .

$$\begin{aligned} h(x, y) &= (\lambda_0/4\pi)[\alpha_C(x + d_x, y) - \alpha_C(x, y)] \\ &= r_C(x + d_x, y) - r_C(x, y), \end{aligned} \quad (7)$$

$$\begin{aligned} v(x, y) &= (\lambda_0/4\pi)[\alpha_C(x, y + d_y) - \alpha_C(x, y)] \\ &= r_C(x, y + d_y) - r_C(x, y). \end{aligned} \quad (8)$$

By solving the difference equations we can estimate the surface profile of  $r_C(x, y)$ . However, the shifts of GP2 involve inclinations of the surface, which requires us to subtract mathematical reference planes from the detected raw phase distributions. Since the phase distributions  $\alpha_C(x, y)$ ,  $\alpha_C(x + d_x, y)$ , and  $\alpha_C(x, y + d_y)$  are a little different from each other, the three mathematical reference planes are also a little different from each other. Then two different planes inclining from the  $x$ - $y$  plane by very small angles are added to the right sides of Eqs. (7) and (8), respectively, which causes a small error in estimation of the surface profile of  $r_C(x, y)$ . Root mean square (rms) and peak-to-valley (P-V) values of the error are proportional to those values of the surface profile of GP2. Since rms and P-V values of the surface profile  $r_C$  are much smaller than those of surface profiles  $r_A$  and  $r_B$ , the exact surface profiles  $r_A$  and  $r_B$  can be obtained through the measurement of the surface profile  $r_C$ .

### 4 Experimental Results and Discussions

The thickness of the object glass plate was 1 mm. The rear surface of the glass plate GP1 was not parallel to its front surface, so that only the light reflected by the front surface of the glass plate GP1 became the reference light. We used  $20 \times 20$  elements of a 2-D CCD image sensor to detect interference signals in a measuring region of  $7.6 \times 11.4$  mm. Intervals of the measuring points are 0.4 and 0.6 mm along the  $x$  and  $y$ -axes, respectively. The output signal of the CCD was sampled with an analog-to-digital converter. The phase modulation frequency  $\omega_c/2\pi$  was 100 Hz, and the sampling frequency of the interference signal for one measuring point was 800 Hz. We detected the three different interference signals, that is, phase distributions  $\alpha_A(x, y)$ ,  $\alpha_B(x, y)$ , and  $\alpha_C(x, y)$  by giving displacements to GP1. After detecting  $\alpha_C(x, y)$ , we detected  $\alpha_C(x + d_x, y)$  and  $\alpha_C(x, y + d_y)$  by giving shifts of  $d_x$  and  $d_y$  to GP2, respectively. The values of  $d_x$  and  $d_y$  were 0.4 and 0.6 mm, respectively. Calculations to obtain the phase distributions and surface profiles were done on a personal computer.

Figure 2 shows estimated surface profile of  $r_C$ . Figure 3 shows surface profiles of  $r_A$  and  $r_B$  calculated with Eqs. (5)

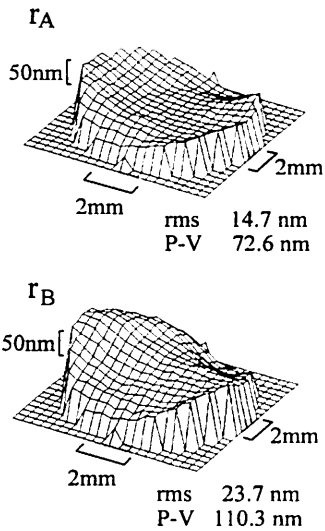


Fig. 3 Measured surface profiles of  $r_A$  and  $r_B$ .

and (6), where  $n=1.5$ . When we assumed that GP2 was a perfect plane, that is,  $r_C=0$ , we obtained surface profiles  $r_A-r_C$  and  $r_B-r_C$  as measured surface profiles of  $r_A$  and  $r_B$ , respectively, as shown in Fig. 4. Values of rms and P-V are indicated for the results of the surface profiles.

Measurement accuracy strongly depends on how exactly the surface profile  $r_C$  is estimated. We examined the accuracy in estimating the surface profile  $r_C$  by again estimating the surface profile  $r_C$  shown in Fig. 2 on a computer by the shifting method. We gathered data for  $h(x,y)$  and  $v(x,y)$  in the region of  $19 \times 19$  measuring points from the surface profile of  $r_C$  shown in Fig. 2. We compared a surface profile estimated by this computer simulation with the surface profile of  $r_C$  shown in Fig. 2. Values of rms and P-V of the difference between the two surface profiles were about 1 and 2 nm, respectively. This result assures us that the error

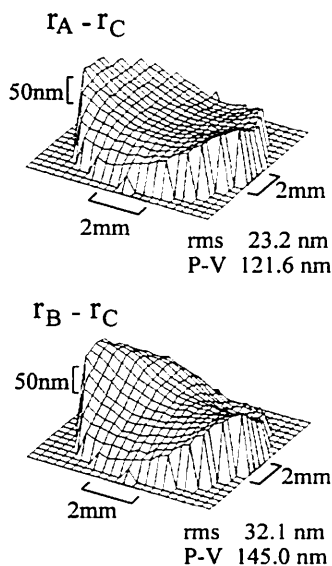


Fig. 4 Surface profiles  $r_A-r_C$  and  $r_B-r_C$  obtained as two measured surface profiles of the object when it was assumed that  $r_C=0$ .

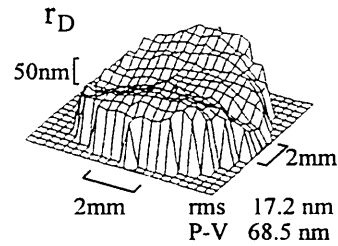


Fig. 5 Measured surface profile of  $r_D$ .

caused by the difference among the three reference planes for  $\alpha_C(x,y)$ ,  $\alpha_C(x+d_x,y)$  and  $\alpha_C(x,y+d_y)$  is smaller than the error in detection of the phases of the interference signals. The phase detection error was a few nanometers.

Figure 5 shows the surface profile  $r_D$  calculated from the detected phase of  $\alpha_A$  and the measured surface profile of  $r_A$  with Eq. (2). This result indicates that attachment of the PZT to GP1 with glue caused a large roughness in surface profile  $r_D$ . If we estimate the surface profile  $r_D$  with the shifting method, GP2 is not required to measure the surface profiles of  $r_A$  and  $r_B$ . However, this measurement is not recommended because of a large roughness in surface profile  $r_D$ .

## 5 Conclusions

We have proposed a SLD interferometer that uses SPM interferometry and the shifting method for an exact measurement of the front and rear surface profiles of a glass plate. The roughness of the reference surface was about 17 nm in rms value, and it was as large as the roughness of the object surfaces. To measure the object surfaces without being influenced by the roughness of the reference surface, we used glass plate GP2, whose surface roughness was about 10 nm. The shifting method estimated the surface profile of GP2 with an accuracy higher than that in detection of the phases of the interference signals. Therefore we could measure the front and rear surface profiles of the glass plate with an accuracy of a few nanometers.

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