# Sinusoidal wavelength-scanning interferometer with double feedback control for real-time measurement of one-dimensional step-profile

Osami Sasaki, Kunihiro Honma, and Takamasa Suzuki

Niigata University, Faculty of Engineering 8050 Ikarashi 2, Niigata-shi 950-2181, Japan Fax 81-25-262-6747 E-mail osami@eng.niigata-u.ac.jp

#### **ABSTRACT**

An optical path difference (OPD) and the amplitude of sinusoidal wavelength-scanning are controlled with double feedback control system in an interferometer, so that a ruler marking every wavelength and a ruler with scales smaller than a wavelength are generated. These two rulers enable us to measure an OPD longer than a wavelength in real time. A linear CCD image sensor is used to measure one-dimensional step-profiles in real-time. Two different step profiles with a step height of 1  $\mu$ m and 20  $\mu$ m, respectively, are measured with the measurement error less than 8 nm. Measuring time for one measuring point is 0.04 s.

Keywords: interferometer, wavelength-scanning, step-profile measurement, feedback control

# 1. INTRODUCTION

To measure a surface profile with step height larger than half a wavelength, wavelength-scanning interferometers have been used, where external cavity laser diodes<sup>1</sup>, a dye laser<sup>2</sup>, a Ti:Sapphire laser<sup>3</sup>, and broad spectrum sources with Fabry-Perot etalon<sup>4-6</sup> were employed to produce a scanning width more than 10 nm. We have proposed a sinusoidal wavelength-scanning light source using a superluminescent diode (SLD) with gratings and a vibrating slit as an inexpensive light source.<sup>7</sup> Sinusoidal wavelength-scanning (SWS) is unique in that it produces a time-varying interference signal which contains a phase-modulation amplitude  $Z_b$  due to the SWS besides the conventional phase  $\alpha$ . By detecting the values of  $Z_b$  and  $\alpha$  exactly and by combing them, an optical path difference (OPD) longer than a wavelength can be measured with a high accuracy of the order of nanometer.<sup>8</sup> Since the interference signals produced by sinusoidal-phase modulation or SWS are continuous with time, operation of the phase lock can be easily carried out with feedback control system.<sup>9</sup> By keeping the two values of  $Z_b$  and  $\alpha$  at specified values with double feedback control, we generates a ruler marking every wavelength and a ruler with scales smaller than a wavelength in the SWS interferometer. These two rulers enables us to measure an OPD longer than a wavelength in real time.<sup>10</sup>

In this paper we apply the SWS interferometer with double feedback control to measure one-dimensional step-profiles. Step-profile measurements with wavelength-scanning interferometers are generally made using a computer for processing the interference signal. We process the interference signal with electric circuits and feedback controls so that step-profiles are obtained in real time. Although the measurement is limited to one-dimensional step-profiles, the real-time measurement is useful, for an example, to a high-speed test of a step height in industrial products.

The principle of the SWS interferometer with double feedback control is reviewed first. Detection of the interference signal with a linear CCD image sensor is explained, and characteristics of the feedback controls involving the CCD image sensor is analyzed. In experiments a ruler marking every wavelength is obtained, and one-dimensional step-profile measurements are carried out for two different step heights of  $1~\mu m$  and  $20~\mu m$ .

# 2. PRINCIPLE

Figure 1 shows a SWS interferometer using a SLD for real-time step-profile measurement. The output beam from the SLD is collimated with lens L1 and incident on diffraction grating G1. The first-order reflection from the grating is Fourier transformed with lens L2 to perform a grating spectroscope. A continuous spectrum of the SLD appears on the focal plane of lens L2 and L3. A central wavelength of the spectrum is  $\lambda_0$ . Slit SL, put on the focal plane, transmits a portion of the spectrum. The slit is connected with a magnetic coil of a speaker and vibrated sinusoidally with an angular frequency of  $\omega_b$ . The central wavelength of the light passing through the slit is sinusoidally scanned, and it is expressed by

$$\lambda(t) = \lambda_0 + b \cos(\omega_b t) . \tag{1}$$

The light coming out of the slit is Fourier transformed with lens L3 and incident on grating G2 so that the first-order reflection from the grating produces a collimated beam whose propagating direction is constant for all of wavelengths contained in the spectrum of the SLD. The collimated beam becomes an output of a SWS light source for an interferometer. The intensity of the beam changes with time, and it is denoted by  $I_M(t)$ .

The reference beam is reflected by reference mirror  $M_R$  which is displaced by piezoelectric transducer PZT. Interference intensity on the CCD image sensor is denoted by  $I_M(t)S(t)$ . Although the CCD image sensor detects integration values of  $I_M(t)S(t)$ , it is assumed that we obtain the following interference signal by dividing the detected integration values by  $I_M(t)$ , which is detected with photodiode PD:

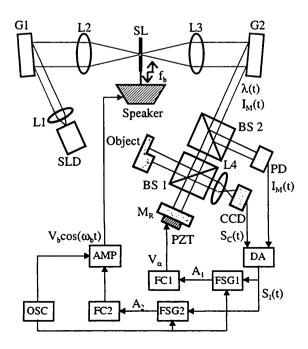
$$S(t) = A + B\cos(Z_b\cos\omega_b t + \alpha), \qquad (2)$$

where A and B are constants, and

$$Z_{b} = (2\pi b/\lambda_{0}^{2})L, \qquad (3)$$

$$\alpha = -(2\pi/\lambda_0)L$$
, (4)

where L is an OPD.



**Fig.1** SWS interferometer for real-time step-profile measurement.

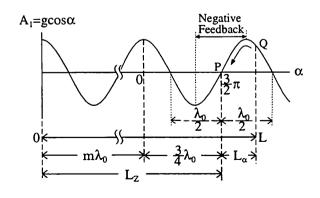


Fig.2 Change in the OPD by feedback, which keeps phase  $\alpha$  at  $3\pi/2$ .

First, we explain how to measure a fractional value of OPD L with a feedback control. By multiplying S(t) by  $cos(2\omega_b t)$  and using a low pass filter feedback signal generator FSG1 produces a feedback signal

$$A_1 = BJ_2(Z_b)\cos\alpha = g\cos\alpha , \qquad (5)$$

where  $J_2$  is the second-order Bessel function. Feedback controller FC1 produces voltage  $V_{\alpha}$  applied to the PZT. The feedback system controls the position of reference mirror  $M_R$  or the OPD so that the feedback signal  $A_1$  becomes zero. A change in the OPD caused by this feedback control is illustrated in Fig.2. First the OPD is L and the position of signal  $A_1$  is at point Q. The position of signal  $A_1$  is moved to a stable point P by the feedback control. Phase  $\alpha$  becomes  $3\pi/2+2m\pi$ , where m is an integer. The OPD at the stable point of the feedback control is given by

$$L_z = L - L_\alpha = 3\lambda_0/4 + m\lambda_0. \tag{6}$$

 $L_{\alpha}$  is a fractional value of OPD L to be measured. The range of  $L_{\alpha}$  is approximately between  $-\lambda_0/2$  and  $\lambda_0/2$ . If an initial condition is given in which  $L_{\alpha}=0$  at  $V_{\alpha}=0$ ,  $L_{\alpha}$  can be obtained by measuring the applied voltage  $V_{\alpha}$  and using the relation of  $L_{\alpha}=\beta V_{\alpha}$ , where  $\beta$  is a constant. Its measurement accuracy is of the order of nanometers.

Next, we explain how to measure an integer multiple of the wavelength in the OPD L. Since the phase  $\alpha$  is kept at  $3\pi/2$  by feedback control, the interference signal is

$$S(t) = A - B\sin(Z_h \cos \omega_h t) , \qquad (7)$$

where,

$$Z_b = (2\pi b/\lambda_0^2)L_z. \tag{8}$$

Signal S(t) is sampled with sample holders when  $\cos \omega_b t = 1$  and  $\cos \omega_b t = -1$  so that signals  $S_1 = A - B \sin Z_b$  and  $S_{-1} = A + B \sin Z_b$  are obtained. From these signals a feedback signal

$$A_2 = S_{-1} - S_1 = 2B \sin Z_b \tag{9}$$

is generated in feedback signal generator FSG2. Feedback controller FC2 produces voltage  $\Delta V_b$  that is fed to voltage control amplifier VCA and determins the amplitude  $V_b$  of the voltage applied to the speaker. The feedback system controls the amplitude  $V_b$  or the amplitude b of the wavelength scanning so that the signal  $A_2$  becomes zero. This makes modulation amplitude  $Z_b$  equal to  $\pi$ . Then we have:

$$b = \lambda_0^2 / 2L_z = 2\lambda_0 / (4m + 3)$$
. (10)

The values of b are discrete, corresponding to the values of m. Since amplitude b is proportional to amplitude  $V_b$  with a form of  $b=D_1V_b+D_0$ , the values of  $V_b$  are also discrete. These discrete values of the amplitude  $V_b$  at which  $Z_b$  is equal to  $\pi$  are referred to as stable points of  $V_b$ .

The measured value of b is obtained from the measured value of  $V_b$ , and a measured value of  $L_Z$  is calculated by the relation of  $L_Z = \lambda_0^2/2b$ . Since  $L_Z$  is given by Eq.(6), the following value is calculated by using the measured value of  $L_Z$ :

$$m_c = (L_z - 3\lambda_0/4)/\lambda_0. \tag{11}$$

Integer m can be decided by rounding off the value of  $m_c$  to an integer if a measurement error of  $L_z$  is smaller than  $\lambda_0/2$ . Finally the OPD is calculated with

$$L = 3\lambda_0/4 + m\lambda_0 + L_\alpha . \tag{12}$$

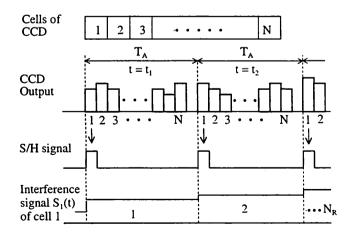
Note that once the relation between the integer values of m and the stable points of  $V_b$  is given, the OPD can be obtained directly from Eq.(12) without calculation of  $m_c$ . This means that the stable points of  $V_b$  are regarded as a ruler marking every'a wavelength and the voltage  $V_{\alpha}$  is regarded as a ruler with scales smaller than a wavelength. The calibration of the ruler produced by the voltage  $V_b$  can be made automatically by double feedback control by changing the OPD at intervals of approximately a wavelength.

### 3. SIGNAL DETECTION WITH A CCD IMAGE SENSOR

A linear CCD image sensor is used to measure step-surface profiles. The CCD image sensor detects a distribution of interference intensity on a number of cells at intervals of the integration time  $T_A$ , as shown in Fig.3. Number of the cells on which intensity is detected is N. Intensity on a specified cell is sampled and held within the integration time  $T_A$ . This sample-hold is repeated  $N_R$  times, so that an interference signal whose length is  $T_m = N_R T_A$  is obtained for one measuring point. This generation of the interference signal is shown in Fig.3, where number of the specified cell is 1. After detection for a specified cell, the point of the sample-hold is moved to the adjacent cell to scan the sampling points.

The integration time  $T_A$  is taken to be  $T_b/p$ , where  $T_b=1/f_b$ , and p is an integer. The CCD image sensor integrates  $S(t)I_M(t)$  over the period of  $T_A$  and outputs the integrated value. At the same time photodiode PD detects  $I_M(t)$ , and the integrated value is divided by  $I_M(t)$ . In this case integration values of S(t) can be obtained on the assumption that  $I_M(t)$  is almost constant within the period of  $T_A$ . Integer p is taken to be 16 or 32 to satisfy this assumption. Then amplitude of frequency component of  $m_b$  contained in the integration values of S(t) is attenuated by coefficient of  $[\sin(m/p)\pi]/[(m/p)\pi]$ , where m is an integer. Since feedback signal  $A_1$  is generated from frequency component of  $2f_b$ , the amplitude of  $A_1$  is effected by this attenuation due to the integral detection of the CCD image sensor. Feedback signal  $A_2$  is generated from the two values produced by integrating S(t) over the period of  $T_A$  whose central positions satisfy  $\cos\omega_b t=1$  and  $\cos\omega_b t=-1$ , respectively. In this case feedback signal  $A_2$  is given by

$$A_2 = 2B \sum_{n=0}^{\infty} (-1)^n J_{2n+1}(Z_b) \frac{\sin[\{(2n+1)(2\pi/p)\}]}{(2n+1)(2\pi/p)} . \tag{13}$$



**Fig.3** Generation of interference signal for a specified cell of CCD image sensor.

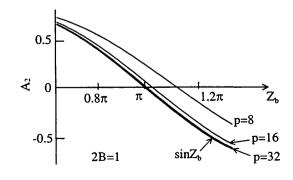


Fig.4 Feedback signal  $A_2$  when the integration time  $T_A$  is  $T_b/p$ .

When p is infinite, Eq(13) becomes  $2B\sin Z_b$  given by Eq.(9). Figure 4 shows the values of Eq.(13) for different values of p, where 2B=1.

#### 4. EXPERIMENTS

## 4.1. Fundamental Characteristics

An interferometer for real-time step-profile measurement shown in Fig.1 was constructed. Central wavelength  $\lambda_0$  and spectral bandwidth of the SLD were 788.7 nm and 20 nm, respectively. An 1200-line/mm holographic grating was used for G1 and G2. The focus length of lens L1 and L2 was 25 mm, and the width of slit SL was about 100  $\mu$ m. The frequency  $f_b = \omega_b/2\pi$  was 400 Hz.

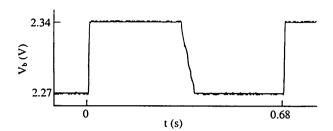
We tried to detect the stable points of  $V_b$  where a photo diode was used instead of the CCD image sensor. Gauge blocks fixed on a stage was used as an object. We displaced the object with a micrometer to change the OPD. By increasing the OPD at intervals of approximately one wavelength, we could move a stable point of  $V_b$  to the next point sequentially. We detected 83 stable points of  $V_b$  whose order is denoted by the number N of 0-82, as shown in Table 1.

We need the relation between b and  $V_b$  to convert the measured value of  $V_b$  into a value of b. We fixed the value of  $V_b$ , and detected the interference signal given by Eq.(7), in which the feedback control of  $V_b$  did not work. We calculated the value of  $Z_b$  from the interference signal with a computer by the method of sinusoidal phase modulating interferometry described in Ref.11. By changing the OPD L, we found the relation of  $Z_b = \gamma L$ . Since  $\gamma = 2\pi b/\lambda_0^2$ , we could calculate the value of b. By changing the value of  $V_b$ , we obtained a relation of  $b=1.59V_b+0.059$ .

We tried to decide integer m. We converted stable points of  $V_b$  shown in Table 1 into values of b with the relation of b=1.59 $V_b$ +0.059, and obtained measured values of  $L_z$ = $\lambda_0^2$ /2b. The values of  $m_c$  were calculated from the measured values of  $L_z$  with Eq.(11). Since the absolute value of the difference was less than 0.5 in the region of N=20-70, we could determine the values of integer m. From this result, the relation of m=46+N was obtained, as shown in Table 1. The measurement range was from 36 $\mu$ m to 101  $\mu$ m.

 $V_b$ m N  $V_b$ m m m N m 3.05 4.86 3.80 2.13 2.51 4.78 3.02 3.75 2.48 2.12 2.98 4.68 3.70 2.46 2.10 2.94 4.59 2.44 2.08 3.66 2.91 4.53 3.61 2.41 2.06 4.46 3.57 2.87 2.39 2.05 4.39 3.52 2.84 2.37 2.04 4.33 3.48 2.81 2.34 2.02 4.27 3.43 2.77 2.32 2.01 4.20 3.38 2.74 2.29 2.00 4.14 3.33 2.71 2.27 1.99 4.09 3.29 2.68 2.25 1.98 4.03 3.25 2.65 2.23 1.96 3.98 3.21 2.62 2.21 1.95 3.93 2.59 3.17 2.19 1.93 3.88 3.13 2.56 2.17 2.15 3.84 3.09 2.53 

Table 1 Stable points of V<sub>b</sub> and integer m



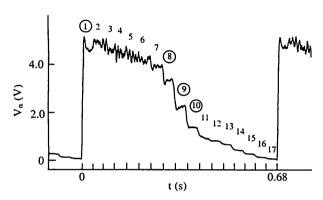
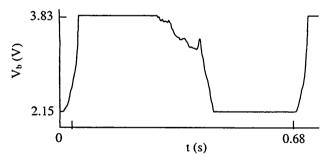


Fig.5 Detected signals of  $V_b$  and  $V_\alpha$  for step height of 1 $\mu$ m.

Table 2 Measured Values for step height of  $1\mu m$ .

Cell No.	$V_b(V)$	$V_{\alpha}(V)$	m	$L_{\alpha}$ (nm)	L (nm)
5	2.34	4.31	104	359	82758
6	2.34	4.13	104	344	82743
7	2.34	3.98	104	332	82731
8	2.34	3.35	104	279	82678
9	2.29	2.28	106	190	84173
10	2.27	1.61	107	134	84910
11	2.27	0.92	107	77	84853
12	2.27	0.78	107	65	84841
_13	2.27	0.69	107	50	84826



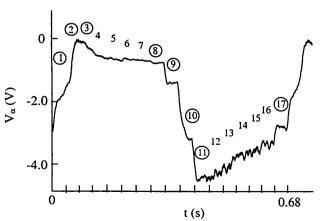


Fig.6 Detected signals of  $V_b$  and  $V_\alpha$  for step height of 20 $\mu m$  .

Table 3 Measured Values for step height of  $20\mu m$ .

Cell No.	V <sub>b</sub> (V)	$V_{\alpha}(V)$	m	$L_{\alpha}$ (nm)	L (nm)
5	3.38	-0.67	62	-56	49067
6	3.38	-0.69	62	-57	49066
7	3.38	-0.70	62	-58	49065
8	3.66	-0.81	66	-68	52224
9	3.29	-1.37	74	-114	58516
10	3.09	-3.19	79	-266	62326
11	2.65	-4.44	92	-370	72522
12	2.15	-4.30	113	-357	89171
13	2.15	-4.04	113	-337	89192
14	2.15	-3.71	113	-309	89220

## 4.2. Step-Profile Measurement

We tried to measure a step profile which was made by sticking two gauge blocks of different thickness together. We changed the value of p and made the measurements. When p=8, the feedback control of  $V_{\alpha}$  was unstable because  $I_M(t)$  was not regarded to be almost constant within the period of  $T_A=T_b/8$ . When p=32, the measurement was impossible because the amplitude of the interference signal detected with the CCD image sensor was too small. The most appropriate value of p was 16. Since a low-pass filter with cutoff frequency of  $f_b/10$  was used in the feedback control of  $V_{\alpha}$ , measuring time of  $T_m=N_RT_A$  for one measuring must be longer than  $10T_b$ . This means that number of the repetition  $N_R$  is must be more than 160 at p=16. When  $N_R=128$ , the feedback control of  $V_{\alpha}$  was unstable. When  $N_R=512$ , the measurement results were almost the same as  $N_R=256$ . Therefore the most suitable condition was that p=16,  $N_R=256$ , and  $T_m=0.04$  s. Number of the measuring points N was 17, and the interval of measuring points was 119  $\mu$ m on the object surface.

The step height in the two gauge blocks stuck together was 1 $\mu$ m. We detected signals  $V_b(t)$  and  $V_\alpha(t)$  as shown in Fig.5. Number of the cell that represents the position of the measuring point is indicated above the signal of  $V_\alpha(t)$ . The number surrounded with a circle represents the measuring point where exact measurement did not made. Table 2 shows the measured values at the cells of 5-13. The values of m were determined with Table 1, and the values of  $L_\alpha(t)$  were calculated with relationship  $L_\alpha = \beta V_\alpha$ , where  $\beta = 83.37$  nm/V. Exact measured values cannot be obtained at the cells of 8-10 around the boundary of the two gauge blocks. When the measuring point returns to the first measuring point (cell 1) from the last one (cell 17), exact measurements also cannot be made at cell 1. The measured height of the step between cells 7 and 11 was 1.061  $\mu$ m. The feedback control of  $V_\alpha$  was sensitive to the amplitude of the interference signal. When the amplitude of signal  $A_1$  was not suitable to feedback controller FC1, a large fluctuation occured in the signal of  $V_\alpha$  between cells 3 and 6, as shown in Fig.5. The measurement error caused by fluctuations of  $V_\alpha$  was estimated to be less than 8 nm.

Next we measured a step profile with a step height of 20  $\mu m$ . The results are shown in Fig.6 and Table 3. Compared with the results shown in Fig.5, a longer time is required to reach the stable vales of  $V_b$  and  $V_\alpha$  when the measuring point returns to the first measuring point from the last one. Effect of the boundary of the two gauge blocks also becomes stronger. The measured height of the step between cells 7 and 12 was 20.053  $\mu m$ .

# 5. CONCLUSION

The SWS light source using the SLD was simple for generating a large scanning width and exact for changing the scanning width. The OPD and the amplitude of the sinusoidal wavelength-scanning were controlled with the double feedback control system, so that a ruler marking every wavelength and a ruler with scales smaller than a wavelength could be generated. These two rulers enabled us to measure an OPD longer than a wavelength in real time. The linear CCD image sensor was used to measure one-dimensional step-profiles in real-time. The most suitable values of p and  $N_R$  were made clear. Two different step profiles with a step height of 1  $\mu$ m and 20  $\mu$ m, respectively, were measured with the measurement error less than 8 nm. Measuring time for one measuring point was 0.04 s, and the number of the measuring points were 17. The measurement range in the OPD was from 36  $\mu$ m to 101  $\mu$ m corresponding to the scanning width from 14 nm to 6 nm.

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234